FY 2001

Trade Compliance Report

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INTRODUCTION

The Customs Modernization Act, included as part of the North American Free Trade Agreement (NAFTA) Implementation Act (Public Law 103-182), mandated that the United States Customs Service (Customs) provide a report on the status of trade compliance. To gather data for this report, as well as for operational enhancement needs, Customs has conducted a Compliance Measurement (CM) annually since FY 1995 to survey the universe of all import transactions entering the United States for consumption during the fiscal year (FY). This report contains the results of such an effort for FY 2001. It is a shortened version to present only numerical results and necessary explanations, i.e., no narrative or verbal analysis is included.

Note that FY 2001 CM exams were interrupted by September 11 events and henceforth suspended. Many inspectors were pulled away from inspecting CM samples to perform urgent security related functions. This report utilizes only samples that were selected prior to September 11, but it was weighted to the universe of the whole fiscal year, assuming that the 20 days without CM samples can be fairly represented by samples selected prior to September 11 of the year.

This report includes the following sections in a similar format as in the previous year:

- Highlights of FY 2001 compliance measurement and summary tables on specific import areas

- A section containing an in-depth explanation of import processing and sampling methodologies

- Two sections containing detailed compliance measurement statistical tables

- A section containing compliance rates according to various discrepancy definitions

- A section containing NAFTA compliance measurement methodologies and survey results

FY 2001 COMPLIANCE MEASUREMENT HIGHLIGHTS

- **Nationwide port of entry compliance was 84 percent in FY 2001, compared to 83 percent in FY 2000, 82 percent in FY 1999 and 81 percent in FY 1998, showing a steady increase for four consecutive years.**

- **The compliance rate for all transactions using major transactional discrepancy (MTD) definitions was 91 percent, compared to 90 percent in FY 2000 and FY 1999, and 89% in FY98, showing a slight increasing trend. (MTD definitions considered only discrepancies that had a non-negligible impact.)**

- **Primary Focus Industry (PFI) compliance as a whole was 86 percent, compared to 85 percent in FY 2000 and FY 1999. In terms of MTD, it was 91 percent compliant, the same as FY 2000 and FY 1999. (Note that PFI definitions were modified for FY 2001.)**

- **Classification remained the most frequent compliance problem with a non-compliance rate of 10 percent on all transactions, but improved by 1 percent from the previous two years. Marking remained the next most frequent compliance problem with a non-compliance rate of 2 percent, the same as in the previous two years.**

- **The estimated total net underpayment due to improper duty, fee and tax payments was $198 million in FY 2001, much lower than $282 million in FY 2000, and $252 million in FY 1999. This represents a revenue gap of 0.90 percent, compared to 1.26 percent in FY 2000, 1.22 percent in FY 1999 and 1.65% in FY 1998.**

- **Compliance of Mexico-origin commodities was approximately 92 percent, which is more than seven percent higher than the compliance rate of all import transactions. Mexico was the most compliant country of origin among the United States' top 20 trade partners.**

- **Compliance of Canada-origin commodities was approximately 79 percent, about five percent lower than the compliance rate of all import transactions. Canada was the least compliant country of origin among the United States' top 20 trade partners.**

- **A separate NAFTA Compliance Measurement with detailed verifications yielded a compliance rate of 96 percent in FY 2001, compared to 94 percent in FY 2000 and FY 1999. (Note that a procedural change was made in FY 2001 NAFTA CM that was believed to be the primary cause of the higher compliance rate; i.e., it was not a total reflection of the NAFTA universe.)**

Table 1.   FY 2001 CM Statistics by Release Type

Release Type	Sample Size	Population Size	LOL Compl Rate	MTD Compl Rate	LOL Disc Lines	Total Net Underpayment
All Imports (AR and BR Combined)	**64,695**	**33,817,605**	**84.46%**	**90.53%**	**5,254,468**	**197,871,176**
ACS Release (AR) Overall	57,169	28,995,425	84.64%	90.44%	4,455,040	181,611,998
BRASS Release (BR) Overall	7,526	4,822,180	83.42%	91.06%	799,428	16,259,179

Notes:

1. Automated Commercial System (ACS) and Border Release Advanced Screening and Selectivity (BRASS) are two import processing systems used by the Customs Service to identify import transactions for examination and/or release of the merchandise. See Section I for more details of Customs processing.

2. The CM survey only covers import transactions entering into the U.S. commerce for consumption with a value over $2,000.

3. The LOL compliance rate is defined as the percentage of entry lines in the CM universe that are compliant according to letter-of-the-law (LOL) definitions of discrepancies.

4. The MTD compliance rate is defined as the percentage of entry lines that are compliant according to definitions of major transactional discrepancies (MTD) discussed in Section IV. The MTD definitions considered only discrepancies that had a non-negligible impact.

5. The total LOL discrepant lines in the CM universe are calculated based on LOL definitions of discrepancies.

6. The total net underpayment is defined as the estimated total underpayment less the estimated total overpayment of the CM universe.

7. These statistics, as well as those in other tables, are estimates of their corresponding characteristics of the sampled universe using the CM sample; as such they contain sampling errors.

8. The precision for the estimated compliance rates is high. The margin of error is about 0.3% for AR and All Imports, and about 0.9% for BR. Any difference or increase/decrease is considered statistically significant, if its magnitude is greater than or equal to the margin of error.

9. The precision is moderately high for the estimated Discrepant Lines and relatively low for the estimated Net Underpayment.

Table 2. FY 2001 CM Statistics by Primary Focus Industry (PFI)

PFI Description	Sample Size	Population Size	LOL Compl Rate	MTD Compl Rate	LOL Disc Lines	Total Net Underpayment
ACS Release (AR) Overall	**57,169**	**28,995,425**	**84.64%**	**90.44%**	**4,455,040**	**181,611,998**
AR: Non PFI	**28,916**	**15,974,746**	**83.83%**	**89.74%**	**2,583,440**	**90,611,600**
AR: All PFI Combined	**28,253**	**13,020,679**	**85.63%**	**91.30%**	**1,871,600**	**91,000,397**
AGRICULTURE	4,924	2,462,511	88.31%	93.86%	287,878	13,573,149
AUTOMOTIVE	2,519	1,425,097	85.84%	91.03%	201,793	3,527,300
INFORMATION TECHNOLOGY	8,068	3,885,305	83.65%	90.19%	635,379	11,521,949
PRODUCTION EQUIPMENT	3,272	768,439	78.56%	87.81%	164,744	8,570,905
STEEL MILL PRODUCTS	1,326	348,410	81.66%	90.72%	63,883	10,795,094
TEXTILES & WEARING APPAREL	5,498	3,643,583	88.24%	91.72%	428,442	42,035,042
WOOD PRODUCTS	2,646	487,334	81.64%	90.88%	89,481	976,959

Notes:

1. Primary Focus Industries (PFI) are defined based on ranges of Harmonized Tariff Schedule (HTS) numbers. The definitions were modified for FY 2001 and no longer comparable with previous fiscal years. PFI is only defined for ACS release, not for BRASS release.

2. The precision for the estimated compliance rates is high for most industries. The margin of error for a single industry varies from under 1% to 5% depending on the sample size.

3. The precision is moderate for the estimated Discrepant Lines for most industries and is low for the estimated Net Underpayment for all industries individually.

Table 3.   FY 2001 CM Statistics by Harmonized Tariff Schedule (HTS) Section

Section Number	Section Description	Sample Size	Population Size	LOL Compl Rate	MTD Compl Rate	LOL Disc Lines	Total Net Underpayment
A. ACS Release							
.	**All Sections Combined**	**57,169**	**28,995,425**	**84.64%**	**90.44%**	**4,455,040**	**181,611,998**
1	Animals, Animal Products	1,249	507,861	89.09%	94.42%	55,427	159,327
2	Vegetable Products	1,635	1,042,930	88.51%	94.34%	119,871	2,205,695
4	Foodstuffs, Beverages, Tobacco	1,858	887,503	87.79%	93.18%	108,334	10,952,840
6	Chemical Products	3,111	847,602	84.60%	90.28%	130,507	15,251,876
7	Plastic and Rubber	2,208	1,328,822	79.73%	85.69%	269,403	2,722,846
8	Leathers, Furskins	652	442,743	83.85%	89.66%	71,515	-3,213,483
9	Wood Products	2,718	530,751	82.23%	90.78%	94,312	2,694,058
10	Pulp Products	1,064	472,415	79.48%	87.57%	96,960	24,805,899
11	Textiles	5,172	3,413,273	88.32%	91.80%	398,721	42,466,514
12	Footwear, Headgear	1,223	848,034	89.65%	92.14%	87,796	16,443,541
13	Plastic, Glass, and Products	1,397	908,299	85.89%	91.04%	128,175	-12,989,473
14	Precious Stone and Metal	909	448,143	82.59%	90.42%	78,038	1,247,951
15	Base Metals and Articles	4,412	2,105,399	80.94%	87.51%	401,196	30,354,412
16	Machinery, Elect Equipment	18,087	8,130,291	83.70%	90.16%	1,325,294	18,351,428
17	Transportation Equipment	3,218	1,472,174	83.81%	89.69%	238,315	4,055,074
18	Hi-Tech Equipment/Instruments	2,752	1,603,928	84.11%	89.86%	254,800	-5,274,849
20	Misc Manufactured Articles	3,099	2,393,308	85.95%	90.91%	336,250	12,384,349
22	Special Legislation	1,420	1,409,116	83.22%	91.69%	236,504	18,337,506
B. BRASS Release							
.	**All Sections Combined**	**7,526**	**4,822,180**	**83.42%**	**91.06%**	**799,428**	**16,259,179**
4	Foodstuffs, Beverages, Tobacco	467	310,749	82.64%	93.10%	53,947	1,397,302
6	Chemical Products	478	278,624	92.55%	96.07%	20,750	261,981
7	Plastic and Rubber	689	348,261	76.97%	84.47%	80,191	8,196,816
9	Wood Products	577	570,333	87.77%	96.24%	69,731	0
10	Pulp Products	904	729,230	87.38%	92.03%	92,033	150,743
15	Base Metals and Articles	1,129	601,172	76.42%	87.71%	141,757	300,599
16	Machinery, Elect Equipment	974	422,253	86.00%	91.86%	59,124	2,493,376
17	Transportation Equipment	768	586,187	82.72%	90.22%	101,313	1,683,066
20	Misc Manufactured Articles	341	268,142	78.51%	84.61%	57,620	114,187

Notes:

1. Only those HTS Sections with an estimated population of at least 200,000 were listed. More detailed statistics can be found in Sections II, III and IV of this report.

Table 4.   FY 2001 CM Statistics by Harmonized Tariff Schedule (HTS) Chapter

Chapter Number	Chapter Description	Sample Size	Population Size	LOL Compl Rate	MTD Compl Rate	LOL Disc Lines	Total Net Underpayment
A. ACS Release							
	All Chapters Combined	**57,169**	**28,995,425**	**84.64%**	**90.44%**	**4,455,040**	**181,611,998**
3	Fish	593	322,343	89.50%	95.35%	33,833	-573,123
6	Live Trees, Plants	171	278,327	88.41%	93.91%	32,263	-45,907
7	Vegetables	372	428,465	87.81%	94.95%	52,243	957,979
22	Beverages	578	351,161	91.60%	96.52%	29,501	-2,125,017
39	Plastics	1,467	866,603	76.98%	82.96%	199,459	-2,161,760
40	Rubber	741	462,219	84.87%	90.81%	69,944	4,884,606
42	Articles of Leather	486	397,702	83.31%	89.19%	66,369	-3,577,390
44	Articles of Wood	2,646	487,334	81.64%	90.88%	89,481	976,959
48	Paper Products	605	279,744	81.59%	87.49%	51,501	6,223,938
61	Knitted Apparel	1,400	1,129,793	90.57%	93.14%	106,537	23,692,922
62	Non-Knitted Apparel	1,811	1,455,620	89.63%	93.25%	150,972	4,548,173
63	NSPF Textile Articles	448	298,611	83.20%	88.15%	50,158	6,124,122
64	Footwear	932	692,816	90.52%	92.92%	65,662	15,889,852
68	Stone Articles	420	233,124	79.52%	84.04%	47,740	4,517,111
69	Ceramic Products	567	413,022	87.21%	93.21%	52,827	-16,243,813
70	Glassware	410	262,152	89.47%	93.84%	27,608	-1,262,772
71	Precious Metals/Stones	909	448,143	82.59%	90.42%	78,038	1,247,951
72	Iron, Steel	1,136	278,182	82.39%	90.04%	48,974	6,879,669
73	Steel Articles	1,472	891,138	78.97%	86.88%	187,413	9,961,817
82	Tools	474	298,954	79.34%	84.40%	61,767	2,137,781
83	Misc Metal Articles	419	312,658	85.18%	89.72%	46,352	1,242,890
84	Mechanical Apparatus	9,859	3,887,389	83.20%	89.60%	653,015	-592,362
85	Electrical Equipment	8,228	4,242,902	84.16%	90.67%	672,280	18,943,790
87	Vehicles	2,692	1,311,902	84.56%	90.33%	202,573	2,854,696
90	Hi-Tech Instruments	2,375	1,269,404	84.59%	90.51%	195,612	-734,451
91	Clocks, Watches	229	291,581	81.95%	86.86%	52,622	-6,016,437
94	Furniture	1,658	1,479,389	86.02%	92.09%	206,828	2,408,226
95	Toys, Games	1,091	742,536	85.89%	88.68%	104,797	9,181,445
98	Special Class Provisions	1,420	1,409,116	83.22%	91.69%	236,504	18,337,506
B. BRASS Release							
	All Chapters Combined	**7,526**	**4,822,180**	**83.42%**	**91.06%**	**799,428**	**16,259,179**
39	Plastics	504	257,677	79.66%	85.63%	52,419	7,344,209
44	Articles of Wood	577	570,333	87.77%	96.24%	69,731	0
48	Paper Products	688	620,422	88.85%	93.49%	69,202	76,908
72	Iron, Steel	296	211,489	74.19%	88.69%	54,584	47,356
84	Mechanical Apparatus	538	239,149	82.72%	88.93%	41,316	2,245,020
87	Vehicles	702	573,051	82.56%	90.13%	99,965	1,683,066
94	Furniture	287	249,302	77.89%	84.34%	55,113	15,841

Notes:

1.   Only those HTS Chapters with an estimated population of at least 200,000 were listed. More detailed statistics can be found in Sections II, III and IV of this report.

Table 5.   FY 2001 CM Statistics by Harmonized Tariff Schedule (HTS) Headings (4-Digit)

HTS Heading	Sample Size	Population Size	LOL Compl Rate	MTD Compl Rate	LOL Disc Lines	Total Net Underpayment
A. ACS Release						
Overall	**57,169**	**28,995,425**	**84.64%**	**90.44%**	**4,455,040**	**181,611,998**
0603	117	223,794	90.60%	94.87%	21,040	-45,907
3926	261	238,764	74.53%	78.70%	60,806	-20,907,839
4202	326	273,450	84.76%	89.30%	41,677	-5,807,509
6110	451	431,073	93.68%	96.13%	27,238	9,785,153
6203	314	242,324	92.40%	95.48%	18,405	18,808,011
6204	543	525,248	88.42%	92.72%	60,819	-49,358,247
6403	560	438,813	91.69%	94.41%	36,447	3,013,383
7113	258	227,189	78.79%	86.65%	48,185	336,405
7318	298	223,946	83.73%	88.05%	36,443	4,795,342
8471	1,897	756,228	87.39%	91.58%	95,364	2,913,442
8473	1,272	466,172	77.74%	86.53%	103,759	-133,145
8481	296	289,948	85.98%	91.68%	40,661	-504,939
8483	230	320,685	82.07%	88.51%	57,492	-1,416,571
8504	488	319,608	85.58%	92.81%	46,072	-714,524
8517	647	238,895	76.51%	83.78%	56,106	750,408
8536	401	400,596	79.57%	88.81%	81,832	1,680,309
8542	1,183	589,132	84.80%	91.49%	89,551	2,672,932
8544	442	312,691	88.72%	93.16%	35,270	360,604
8703	837	256,600	93.09%	96.74%	17,724	-523,577
8708	1,299	839,223	81.61%	88.21%	154,297	2,358,647
9018	273	206,128	89.49%	94.70%	21,658	116,042
9102	119	217,746	81.85%	86.24%	39,527	-4,480,643
9401	469	394,074	88.35%	93.72%	45,900	0
9403	801	768,220	85.58%	91.75%	110,765	2,221,719
9405	261	254,777	83.89%	91.58%	41,045	-138,878
9503	388	352,900	84.49%	86.72%	54,738	5,193,951
9801	1,420	1,409,116	83.22%	91.69%	236,504	18,337,506
B. BRASS Release						
Overall	**7,526**	**4,822,180**	**83.42%**	**91.06%**	**799,428**	**16,259,179**
4407	246	291,669	88.32%	96.87%	34,069	0
8708	393	462,922	81.27%	89.83%	86,693	1,804,868

Notes:

1.   Only those HTS Headings (4-Digit) with an estimated population of at least 200,000 were listed. More detailed statistics can be found in Sections II, III and IV of this report.

Table 6.   FY 2001 CM Statistics by Country of Origin

Country Code	Country Name	Sample Size	Population Size	LOL Compl Rate	MTD Compl Rate	LOL Disc Lines	Total Net Underpayment
A. ACS Release							
	All Countries	**57,169**	**28,995,425**	**84.64%**	**90.44%**	**4,455,040**	**181,611,998**
BR	BRAZIL	656	267,983	88.19%	93.12%	31,659	3,922,069
CA	CANADA	9,178	3,444,194	74.58%	85.73%	875,469	13,738,954
CH	SWITZERLAND	558	270,288	85.17%	89.50%	40,073	-946,430
CN	CHINA	6,860	4,180,693	84.89%	89.00%	631,869	57,500,629
DE	GERMANY	3,337	1,468,285	81.97%	89.22%	264,695	-8,241,011
ES	SPAIN	474	256,822	84.87%	92.50%	38,860	2,557,934
FR	FRANCE	1,580	744,535	85.74%	90.75%	106,192	-1,301,932
GB	UNITED KINGDOM	2,320	996,674	83.84%	89.77%	161,083	10,777,869
HK	HONG KONG	725	477,522	85.19%	90.90%	70,725	4,001,446
ID	INDONESIA	613	360,782	90.75%	93.98%	33,378	708,685
IL	ISRAEL	493	211,251	85.29%	89.04%	31,080	2,888,792
IN	INDIA	881	479,848	84.32%	87.42%	75,221	1,813,145
IT	ITALY	2,239	1,253,673	86.03%	90.78%	175,154	19,293,359
JP	JAPAN	5,047	2,258,737	87.84%	91.77%	274,738	-26,193,294
KR	KOREA	1,559	701,888	84.12%	90.20%	111,470	5,936,450
MX	MEXICO	6,284	3,612,359	91.54%	95.15%	305,528	63,801,675
MY	MALAYSIA	951	496,270	87.19%	91.45%	63,560	14,689,155
NL	NETHERLANDS	550	261,234	83.32%	87.43%	43,570	3,598,022
PH	PHILIPPINES	582	345,864	86.54%	92.43%	46,540	3,804,796
SE	SWEDEN	551	217,329	87.51%	93.38%	27,142	-1,053,719
SG	SINGAPORE	550	236,281	88.23%	93.99%	27,812	343,879
TH	THAILAND	904	492,624	88.49%	93.00%	56,681	1,029,471
TW	TAIWAN	2,411	1,283,860	79.86%	86.64%	258,616	13,408,419
US	UNITED STATES	1,949	1,649,745	82.49%	91.24%	288,835	18,795,388
B. BRASS Release							
	All Countries	**7,526**	**4,822,180**	**83.42%**	**91.06%**	**799,428**	**16,259,179**
CA	CANADA	6,852	4,462,098	82.84%	90.90%	765,800	12,928,216
MX	MEXICO	587	312,299	93.86%	95.85%	19,181	2,016,948

Notes:

1.   Only those countries of origin with an estimated population of at least 200,000 were listed. More detailed statistics can be found in Sections II, III and IV of this report.

Table 7. FY 2001 CM Statistics of Special Programs

Special Agreement Name	Sample Size	Population Size	LOL Compl Rate	SPI Compl Rate	SPI Disc Lines	Total Net Underpayment
All Special Programs Combined	**1,898**	**1,068,693**	**83.82%**	**99.51%**	**5,253**	**9,048,313**
Agreement on Trade in Civil Aircraft	84	54,193	87.43%	98.52%	805	800,012
Agreement on Trade in Pharmaceutical Products	122	18,964	80.28%	96.00%	759	781,210
Andean Trade Preference Act	136	178,228	89.83%	100.00%	0	0
Caribbean Basin Economic Recovery Act	210	122,920	82.13%	98.62%	1,701	297,906
Generalized System of Preferences	1,029	516,692	83.48%	99.67%	1,680	4,279,146
US Insular Possessions	64	38,124	95.33%	100.00%	0	0
US-Israel Free Trade Area	151	88,715	87.71%	100.00%	0	2,819,327

Notes:

1. The statistics in this table are calculated using those CM sample lines that claimed preferential treatment under special trade programs or agreements, as indicated by Special Program Indicators (SPI), except for North America Free Trade Agreement (NAFTA). A separate NAFTA compliance measurement survey was conducted using a detailed and lengthy verification process. The results of this separate survey are reported in Table 8 below, as well as in Section V.

2. Only programs with 25 or more CM verifications are included in this table.

Table 8.   FY 2001 NAFTA CM Statistics

By HTS Section

Section Number	Section Description	Sample Size	Population Size	Compliance Rate	Total Disc Lines
.	**All Sections Combined**	**2,481**	**9,851,691**	**95.93%**	**401,102**
1	Animals, Animal Products	101	305,662	97.20%	8,544
2	Vegetable Products	94	593,501	97.19%	16,656
4	Foodstuffs, Beverages, Tobacco	116	408,454	99.33%	2,749
6	Chemical Products	135	444,788	92.37%	33,938
7	Plastic and Rubber	151	659,008	88.68%	74,633
9	Wood Products	215	681,403	97.17%	19,308
10	Pulp Products	151	760,434	97.88%	16,108
11	Textiles	114	406,700	94.27%	23,297
13	Plastic, Glass, and Products	52	256,208	87.84%	31,165
15	Base Metals and Articles	257	901,468	97.00%	27,050
16	Machinery, Elect Equipment	523	1,962,752	95.24%	93,362
17	Transportation Equipment	319	1,307,912	97.07%	38,330
18	Hi-Tech Equipment/Instruments	58	257,249	98.07%	4,973
20	Misc Manufactured Articles	106	618,225	99.12%	5,424

By HTS Chapter

Chapter Number	Chapter Description	Sample Size	Population Size	Compliance Rate	Total Disc Lines
7	Vegetables	37	341,088	95.12%	16,656
39	Plastics	115	512,044	88.63%	58,203
44	Articles of Wood	215	681,403	97.17%	19,308
48	Paper Products	100	576,740	98.65%	7,769
72	Iron, Steel	73	221,039	96.03%	8,766
73	Steel Articles	74	342,531	97.56%	8,372
84	Mechanical Apparatus	269	887,360	95.24%	42,232
85	Electrical Equipment	254	1,075,392	95.25%	51,130
87	Vehicles	294	1,256,068	97.14%	35,902
90	Hi-Tech Instruments	50	231,870	97.86%	4,973
94	Furniture	89	556,795	99.24%	4,224

Table 8. FY 2001 NAFTA CM Statistics (Continued)

By HTS Heading

HTS Heading	Sample Size	Population Size	Compliance Rate	Total Disc Lines
4407	97	288,290	99.74%	742
8544	48	231,616	94.01%	13,873
8703	133	347,909	100.00%	0
8708	108	787,233	95.44%	35,902
9403	38	287,410	100.00%	0

By Country of Origin

Country Code	Country Name	Sample Size	Population Size	Compliance Rate	Total Disc Lines
CA	CANADA	1,793	6,792,017	95.73%	290,046
MX	MEXICO	688	3,059,674	96.37%	111,060

Notes:

1. FY 2001 NAFTA mandatory CM was subsampled monthly from those summary lines of FY 2000 and FY 2001 national CM samples that were selected between July 2000 and May 2001, and the summary lines were associated with a CM entry line in months between October 2000 and June 2001.

2. A total of 2,642 summary lines were sampled. Of these lines, 161 lines were excluded from tabulation. Among these excluded lines, 45 were due to incomplete NAFTA reviews and the rest due to exclusions applied to the national CM or incomplete CM summary review. Three types of exclusions were made for the national CM: low value, non-consumption entry types, or tariff headings of hazardous materials or special legislation. (Because of the sampling method, the NAFTA weight is calculated from the CM weight. The excluded and incomplete CM lines do not carry a weight and hence do not have a NAFTA weight and cannot be included in the tabulation.)

3. For selected tariff headings and/or importers that were traditionally highly NAFTA compliant, the selected lines were not reviewed but treated as compliant. This treatment of sampled lines was different from previous years' practice of excluding such tariff headings or importers from sampling and hence excluding them from NAFTA CM. A total of 429 sampled summary lines fell into this category: 428 from compliant tariff headings and 4 from compliant importers.

4. As a result of the changes to include highly compliant headings, the prescribed treatment of sampled lines perceived to be highly compliant, and the fact that compliant tariff headings were expanded for this fiscal year, this year's compliance rate was estimated to be higher than in the past few years.

5. Only those rows with an estimated population of at least 200,000 were listed.

SECTION I. CUSTOMS PROCESSING
AND FY 2001 SAMPLING METHODOLOGIES

PROCESSING IMPORTATIONS

Customs uses a two-step procedure to process imports entering the United States. The first step involves Customs direct control of the merchandise and release of the cargo after it is determined that the importation is allowed to enter the United States and related information is properly and accurately documented (cargo entry). A selective inspection procedure is utilized in this process. The second step involves a selective review of the detailed paperwork (entry summary) subsequently submitted, and liquidation of the importation after it is determined that all appropriate trade statistics have been reported and duty payment tendered. For trade compliance purposes, this two-step procedure is referred to as cargo release and entry summary liquidation, respectively.

For more than a decade, the Automated Commercial System (ACS) has been the means for storing and speedily processing import information and managing import-related activities. Importation data are usually electronically transmitted into ACS. A unique number provides for systematic identity tracking and document control during cargo release and entry summary liquidation processing. It also allows an easy retrieval of information about the transaction whenever needed.

ACS RELEASE (AR) PROCESSING

Customs identifies entry lines for examination by using an ACS module, referred to as cargo selectivity, to systematically compare entry documentation data with existing data stored in the mainframe computer about actual or suspected import violations and enforcement records or information concerning narcotics smuggling. In addition to cargo selectivity processing, examinations can also result from either a random selection of a shipment for compliance measurement purposes, or a non-systematic targeted selection by a seasoned examination officer. Cargo entry lines that pass the cargo selectivity checks and are not otherwise identified for examination are released immediately.

Examination of cargo, usually performed by a Customs Inspector, means physically examining the imported merchandise, comparing the merchandise with the accompanying entry documents and information, and ensuring that the importation complies with import laws governing admissibility, classification, valuation and duty. This process also includes quantity verifications, and when necessary, extracting merchandise samples for import specialist review and/or laboratory analysis. Violations of import related laws and discrepancies between the submitted documentation and the merchandise found during physical examination are recorded for an appropriate action. Such an action could range from correcting clerical errors to seizure of the imported merchandise. The results of the examination are recorded in ACS by the examination officer and become a permanent part of the importation record.

BRASS RELEASE (BR) PROCESSING

A cargo release mechanism, called Border Release Advanced Screening and Selectivity (BRASS), was implemented in FY 1999 to replace the Line Release system. Line Release was a sub-system of ACS that was specifically designed to expedite low-risk, repetitive shipments entered at land border ports of entry. Importers or their brokers typically apply for Line Release approval on behalf of a Canadian or Mexican shipper. After approval, Customs issues a code that identifies the commodity, country of origin, filer and shipper. This code is known as the Consolidated Common Commodity Code or C4 code. This code is entered into a local Customs database when the shipment enters at a land border port. By means of periodic upload to ACS, an audit trail is provided to ensure that an entry summary is properly filed. BRASS works the same way as Line Release. However, it has an enhanced capability of screening import transactions to identify high-risk shipments for inspection similar to ACS cargo selectivity.

The BRASS system is not totally integrated with the ACS and does not allow for a random selection of a sample for compliance measurement purposes in the same way as a selection is made in ACS. Thus, a separate sample is selected for BRASS Release (BR) transactions. BRASS processes about 12 percent of all import transactions.

ENTRY SUMMARY LIQUIDATION PROCESSING

Within ten working days after the time of cargo entry, regardless which cargo release mechanism is used, importers are legally required to submit to Customs more detailed information, referred to as entry summary, of the importation. The Import Specialist selectively uses this information to make expert determinations of compliance. In cases where a shipment has been examined, the Import Specialist uses the recorded annotations of the examination officer to ensure the importation is properly classified and the correct duty is paid. The number and complexity of enforcement issues involved in each import transaction will vary based on the nature of merchandise imported. The Import Specialists also must consider whether a specific importation is subject to the provisions of any trade agreements when reviewing the entry summary. To accurately complete an entry summary review, an Import Specialist must sometimes request additional descriptive information from importers, which may lead to further analysis or even an importer interview.

Although many simple reviews can be completed expeditiously, some reviews will take longer to complete because of the issues and complexity involved. Generally, all entry summary reviews are completed within three months. The entry is liquidated at the conclusion of entry summary review processing, when Customs has determined the importer obligation for the entry. However, because of trade issues, as well as logistical problems inherent in a massive national system, there may be occasional delays in completing this review process

COMPLIANCE MEASUREMENT PROGRAM

The primary purpose of the compliance measurement (CM) program is to ascertain trade law port-of-entry compliance from the time of cargo entry submission through entry summary liquidation. The CM program has been conducted annually since FY 1995 to survey about eighty thousand import transactions to assess the compliance status of commercial imports into the United States. The CM data has been instrumental in Customs risk management process and accountability reporting of Customs operations.

The CM program covers transactions processed through both ACS Release (AR) and BRASS Release (BR). A CM examination includes detailed cargo inspection before the cargo is released and a thorough entry summary review before the summary is liquidated, regardless if it is originated from AR or BR. Various types of discrepancies were recorded in ACS during the cargo inspection and summary review, including classification, marking, trademark and copy right, special programs and trade agreements, clerical, miscellaneous, etc. CM results are reported in Sections II, III and IV.

There are many Special Programs and Trade Agreements that extend preferential treatment to particular commodities and/or countries. Other than the North America Free Trade Agreement (NAFTA) that governs the U.S. trade with Canada and Mexico, the claim for preferential treatment under Special Programs and Agreements must be filed at the time the summary is submitted to Customs via ACS. The NAFTA preferential treatment can be claimed either with summary submission or after liquidation using a 520D form. The claims submitted with summary were reviewed as part of CM examinations. For FY 2001, those CM sample lines that claimed NAFTA preferential treatment were subsampled for NAFTA compliance measurement survey and undergone a detailed complete and lengthy verification process to determine the legitimacy of the NAFTA claim. This verification was not part of the regular CM examination. More details of the NAFTA verification process and the results of this NAFTA survey are included in Section V.

NON-MEASURED POPULATION

Merchandise imported into the United States through the Border Release Program (BREL) was excluded from the FY 2001 CM, as in the previous years. BREL processing is not automated and does not allow for implementation of the sampling scheme used in AR or BR. BREL transactions are mainly of Canadian origin, and enter through small northern border ports of entry. In most cases these border ports (called Permit Ports) have no alternative but to use BREL because of the absence of automation. Operationally, the inspector manually reviews the entry documents submitted by the broker to determine whether an examination is necessary. The 10-day clock for the filing deadline of entry summary starts when the cargo is released. An entry

number is assigned afterward when the entry is input into the ACS system for tracking entry summary filing.

In FY 2001, Customs processed 116,497 lines, valued at $5.1 billion, via BREL. These imports constituted about 0.4 percent of the total value Customs processed in the year.

FY 2001 SAMPLING METHODOLOGY

The sampling units for AR and BR are slightly different. For AR, a cargo entry line that identifies commodity-specific to10-digit Harmonized Tariff Schedule (HTS) numbers on the entry is used as a sampling unit.   All imported entry lines that were declared to Customs during FY 2001 constituted the potential sampling population. For BR, the sampling unit is defined as a C4 transaction that identifies commodity, country of origin, filer and shipper by a C4 code as previously mentioned. A C4 transaction is the minimum identifiable unit of import through BR processing. All C4 transactions of FY 2001 constituted the potential sampling population for BR segment of CM population. Since a C4 code can only identify commodities by a 4-digit HTS number, a C4 transaction may become multiple cargo entry lines when it is transmitted to ACS after being selected as a CM sample. The CM program covers all entry lines and transactions entering the United States commerce for consumption valued over $2,000.

For AR, the sampling consists of two segments: tariff-based (TB) and account-based (AB). On an ongoing basis, Customs conducts Compliance Assessment (CA), a sampling-based audit process, on selected accounts. All cargo entry lines imported by accounts with a completed CA or accounts scheduled for CA in FY 2001 are separated from the rest of the AR population to form the AB segment of CM sampling. The rest of AR entry lines constitute the TB segment of CM sampling. This separation of the AB segment from the rest of AR transactions provides stable populations for both AB and TB sampling throughout the fiscal year.

For TB (tariff-based AR) and BR segments, the sample size was allocated to each 4-digit HTS number (i.e., header) based on the total value, average value, total volume and compliance rate using a mathematical allocation method. Similarly, for the AB (account based AR) segment, the sample size was allocated to each account based on the total value, average value, total volume and compliance rate. A maximal and minimal sampling rates were also set to limit the range of allocated sampling rate. Details of the allocation method are discussed in a separate section below. For BR segment, the allocated sample size represents the final sample size. For TB and AB segments, a further adjustment of the sample size was made.

The allocated sample size for AB accounts was adjusted based on the results of a CA audit. There were three different risk categories designated for the account after the completion of a CA audit: highly compliant, compliant but lacking an effective internal-control system, and low compliance. The allocated sample size for a CA account was adjusted based on the CA risk

category for completed CA accounts, and not adjusted for accounts pending a CA audit. Compliant accounts were adjusted to a smaller sample size, and vice versa.

There are commodities that Customs considers important and has been focusing attention on in recent years. These commodities are categorized into several Primary Focus Industries (PFI) that are defined by ranges of HTS numbers. An adjustment factor was applied in the sample size allocation for the 4-digit HTS numbers where PFI commodities are highly concentrated to boost sample entry lines from PFI.

In summary, the sample size was determined by 4-digit HTS numbers for TB (with a PFI adjustment) and BR segments, and by account for the AB segment (with a CA risk category adjustment). The actual sampling rate was then calculated as a percentage of the corresponding population and implemented to select the sample from the population. The following table summarizes the population size and realized sample size of each sampling segment. (For BR, the population and sample counts are C4 transactions, not cargo entry lines. These counts are different from the population and sample counts reported in Section III where the counts reflect estimated and actual cargo entry lines, respectively. For BR transactions, there are no cargo entry lines submitted to Customs, unless the transaction is selected for CM, as previously discussed.)

	TB (ACS Release)	AB (ACS Release)	BR (BRASS)
Population Size	23,533,265	5,462,160	4,665,133
Sample Size	47,781	9,388	7,287

It should be noted that Customs chose only to expend valuable resources to examine entry lines that have a value of more than $2,000. However, within ACS and BRASS, there exists no systematic way to exclude all such entry lines from being sampled. Such exclusions can only be made automatically by ACS when line value is conveyed with cargo entry. A manual unset procedure was implemented that allowed supervisory Customs inspectors to override CM examination when the line-value was $2,000 or less, when the low-valued line was not screened out by ACS. For purposes of this report, these low value lines were excluded from the tabulation, even if it was accidentally examined. These low value lines are also excluded in the above estimate of the sampled population sizes. In addition, exclusions are also made for both AR and BR for commodities, identified by 4-digit HTS numbers, for which a cargo examination is not feasible or necessary. These commodities include hazardous materials, bulk raw materials, oils and importation under special legislation.

Even though CM sampling was planned for the fiscal year, the exam works on CM sampled lines stopped on September 11 due tragedies occurred on that day. A large portion of inspection resource was diverted to enhance security at ports of entry. No sample lines selected after September 10 were included in this report. However, the statistics reflect the import universe for

the whole fiscal year, assuming that those 20 days not surveyed can be represented by the rest of the year's sample.

ALLOCATION METHOD

An allocation method allows Customs to allocate a larger sample for commodities that are considered important and a smaller sample for commodities that are deemed less important. It also allows Customs the flexibility to define or choose specific factors that reflect the importance of the commodities. For FY 2001 TB and BR segments of CM, the sample size was allocated based on the 4-digit HTS numbers. A total of four variables was used in the sample allocation process: population size (total entry line count), total value, average value per line, and HTS discrepancy rate as determined by the FY 1998 CM program. The sample size was allocated according to an allocation score, which is the product of population size, average value, and variance of discrepancy rate raised to specific powers. The powers for the adopted allocation are shown below.

Allocation Variable	Power for TB	Power for AB	Power for BR
Population	0.50	0.50	0.50
Total Value	0.25	0.25	0.25
Average Value	0.25	0.25	0.25
Variance	0.50	0.50	0.50

These powers were selected to achieve the desired sample distribution among the 4-digit HTS numbers or CA accounts. The powers could be changed to obtain different allocations reflecting the Customs workload in some other ways; e.g., a sharper increase of the allocated sample sizes when the average value is increased.

EVALUATION OF SAMPLE SIZES

Before the final (i.e., allocated and adjusted) sample sizes were adopted, they were evaluated from two prospective angles: the expected precision of the compliance estimates (at 95 percent confidence level), and the expected number of entry lines that would be available for revenue estimations. The expected precision, i.e., margin of error at 95 percent confidence, of the estimates by 4-digit HTS numbers indicates that the allocated sample sizes indeed provide highly reliable estimates of compliance for major commodities of Customs importing workload and focus, as measured by the allocation variables.

In addition, the sample size was assessed by rolling it up to HTS chapter and section levels. The expected margin of error varies by chapter, depending on the allocation parameters. For major chapters, a small margin of error was observed. Because of the rare occurrences, only a few

HTS chapters are expected to have sufficient entry lines for reliable revenue estimation. Sample size fallout was also calculated to assess the workload impact at the service port level.

DEFINING STRATA AND WEIGHTING SCHEME

Even though the sample size was allocated by 4-digit HTS numbers or by CA account, these HTS numbers or accounts should not be confused with sampling strata. The sample size for each 4-digit HTS number or account varies widely. To stabilize the sample weight, these 4-digit HTS numbers were combined according to the comparability of the sampling rate to form broader sampling strata. Similarly, the CA accounts were grouped according to the comparability of the sampling rate to form a sampling stratum. A weighting scheme was developed by such stratum to account for the different sampling rates in the estimation procedures.

COMPLETENESS OF CM DATA

To formulate data and provide the statistics in the tables appearing in Sections II and III, it was necessary to extract the data from ACS before all FY 2001 entry summary reviews were complete. As of January 8, 2000, the date when the data quality review process and tabulation for this report commenced, 193 entry summaries had not been finalized. However, all cargo examinations were complete and inputs reviewed.

FY 2001 SAMPLING CHANGES

The same basic sampling framework of FY 2001 was implemented in FY 2001 sampling. A few changes were made. The maximal and minimal sampling rate range was reduced to reduce the range of weight. During the fiscal year, Customs redefined the CA risk categories to reflect recently modified CA policies. The number of risk categories was increased to five, including a Standard category. A different adjustment factor was applied for the five CA risk categories in the AB segment of CM sampling, as well as for PFI in the TB segment to avoid sampling too many low-value lines in PFI.

SECTION II. CM RESULTS FOR ACS RELEASE

This section contains three sets of tables showing the FY 2001 CM results for ACS Release (AR). These three sets of tables show, respectively, the compliance rates, discrepancy rates and revenue summary, by Primary Focus Industry (PFI), by Harmonized Tariff Schedule (HTS) section, by HTS chapter, by HTS heading (4-digit) and by country of origin, as listed below. The population size that is included in the tables is an estimate based on the sample lines that fall in the specific row of the table. This population size estimate should be exact for the total overall row at the top of each table; it would contain sampling errors for all other rows. The sample size shown represents the number of entry lines in the sample (i.e., CM examined and reviewed) that fall in the row.

A. Compliance Rates

Table II.A.1   FY 2001 CM Compliance Rates for ACS Release (AR) by Primary Focus Industry (PFI)

Table II.A.2   FY 2001 CM Compliance Rates for ACS Release (AR) by HTS Section

Table II.A.3   FY 2001 CM Compliance Rates for ACS Release (AR) by HTS Chapter

Table II.A.4   FY 2001 CM Compliance Rates for ACS Release (AR) by 4-Digit HTS

Table II.A.5   FY 2001 CM Compliance Rates for ACS Release (AR) by Country of Origin

For Tables II.A.4 and II.A.5, only those 4-digit HTS numbers or countries of origin that included at least 25 examinations (i.e., sample size of at least 25) are listed. The reasons for this are to not display estimates that are not expected to be reliable, and to reduce the size of the table. This implies that the estimates displayed in the tables are at least somewhat accurate and that these HTS numbers or countries have a sufficient volume of entry lines.

These tables show (weighted) compliance rates, and 95% confidence intervals of the compliance rates. The compliance rate is calculated by dividing the estimated population into the estimated total number of compliant lines in the population. A 95% confidence interval is a means to show the precision of the compliance rate estimate. This means that if a sample of the same size were repeatedly taken from the same population and a 95% confidence interval were calculated based on the sample, then the chances would be 95% that the calculated confidence interval would encompass the actual compliance rate in the population.

The confidence interval on each table was calculated using a normal approximation method which only works for a moderately large sample size, e.g., 25 or 50 (or even 100), depending on the range of the actual compliance rate, and with at least a few discrepant lines found. The normal approximation method failed in some situations, e.g., where the 95% confidence has the same lower and upper bounds of 100% or is extremely narrow for a moderate sample size. This

is misleading due to a normal approximation with a moderately small sample size under an extreme compliance situation. (In this case, there are alternative estimation methods that may yield a more reliable confidence interval.)

B.   Discrepancy Rates

The discrepancy rate is calculated by dividing the estimated total number of discrepant lines of the specific discrepancy type in the population by the estimated population. In the above tables, discrepancy rates were displayed for those types of discrepancies or violations that were detected with at least a noticeable frequency. These discrepancy or violation types include: Overall, Discrepancies at Cargo, Discrepancies at Summary, Quantity, Quota, Marking, Classification, Other Agency, Trademark and Copy Rights, Value, Country of Origin, AD/CVD, Clerical, Health and Safety, Forced Labor and Miscellaneous. As before, for Tables II.B.4 and II.B.5, only those 4-digit HTS numbers or countries of origin that included at least 25 examinations (i.e., sample size of at least 25) were displayed.

The estimated total number of discrepant lines in the population is not included in the tables. This kind of estimate can be calculated easily by multiplying the (estimated) population size by the corresponding discrepancy rate. (It is noted that when the actual population size for the particular row is available, one should use the actual in the calculation instead of the estimate shown in the table.)

C. Revenue Summary

For Tables II.C.4 and II.C.5, only those 4-digit HTS numbers or countries of origin that included at least 50 examinations (i.e., sample size of at least 50) or with at least two examinations resulting in revenue changes are displayed. This exclusion is to avoid displaying revenue estimates whose reliability is extremely questionable and to show only those HTS numbers or countries that had a sufficient volume of entry lines during FY 2001.

The above tables contain (total) refunds and recoveries in the sample, as well as estimated total overpayment, total underpayment, and total net underpayment of the population. The estimated total overpayment represents the total amount the government should refund the importers with total compliance. The estimated total underpayment represents the total increase in revenue the government should receive from the importers with total compliance. (The estimated total activity is the sum of estimated total overpayment and estimated total underpayment, constituting the total revenue impropriety due to non-compliance. This estimated total activity is included to indicate the absolute magnitude of the impact due to non-compliance.) The estimated total net underpayment represents the total net revenue loss (i.e., total underpayment less total overpayment) to the government due to non-compliance.

A 95% confidence interval is also included in Table II.C.1 through Table II.C.3 to show the precision of the estimated total net underpayment. This means that if a sample of the same size were repeatedly taken from the same population and a 95% confidence interval of the total net underpayment were calculated based on the sample, then the chances would be 95% that the calculated confidence interval would encompass the actual total net underpayment in the population.

A confidence interval provides an indication of the precision of the estimate of the actual total net underpayment in the population. It is noted that the 95% confidence intervals in Table II.C.1 through Table II.C.3 are in general very wide, indicating that the estimates in these tables are not precise. This is because there were not many sample lines with a revenue change and the variability of the change is very large when the change does occur. Those estimates in the tables by 4-digit HTS and by country of origin have an even worse precision because of smaller sample sizes. Nevertheless, it should be stressed that the point estimate is estimated total net underpayment and it represents the most likely occurrence of actual total net underpayment that the statistical method can provide with the given data.

Table II.A.1. FY 01 CM Compliance Rates for AR by PFI

PFI Description	Sample Size	Population Size	Compliance Rate	95% Confidence Interval Lower Bound	Upper Bound
ACS Release (AR) Overall	**57,169**	**28,995,425**	**84.64%**	**84.34%**	**84.93%**
AR: Non PFI	**28,916**	**15,974,746**	**83.83%**	**83.40%**	**84.25%**
AR: All PFI Combined	**28,253**	**13,020,679**	**85.63%**	**85.22%**	**86.03%**
AGRICULTURE	4,924	2,462,511	88.31%	87.41%	89.21%
AUTOMOTIVE	2,519	1,425,097	85.84%	84.48%	87.20%
INFORMATION TECHNOLOGY	8,068	3,885,305	83.65%	82.84%	84.45%
PRODUCTION EQUIPMENT	3,272	768,439	78.56%	77.15%	79.97%
STEEL MILL PRODUCTS	1,326	348,410	81.66%	79.58%	83.75%
TEXTILES & WEARING APPAREL	5,498	3,643,583	88.24%	87.39%	89.09%
WOOD PRODUCTS	2,646	487,334	81.64%	80.16%	83.11%

Table II.A.2. FY 01 Compliance Rates for AR by HTS Section

HTS Section	HTS Section Description	Sample Size	Population Size	Compliance Rate	95% Confidence Interval	
					Lower Bound	Upper Bound
.	**All Sections Combined**	**57,169**	**28,995,425**	**84.64%**	**84.34%**	**84.93%**
1	Animals, Animal Products	1,249	507,861	89.09%	87.36%	90.82%
2	Vegetable Products	1,635	1,042,930	88.51%	86.96%	90.05%
3	Fats, Oils	182	24,217	82.47%	76.94%	87.99%
4	Foodstuffs, Berverages, Tobacc	1,858	887,503	87.79%	86.30%	89.28%
5	Mineral Products	404	73,961	86.38%	83.03%	89.72%
6	Chemical Products	3,111	847,602	84.60%	83.33%	85.87%
7	Plastic and Rubber	2,208	1,328,822	79.73%	78.05%	81.40%
8	Leathers, Furskins	652	442,743	83.85%	81.02%	86.67%
9	Wood Products	2,718	530,751	82.23%	80.79%	83.67%
10	Pulp Products	1,064	472,415	79.48%	77.05%	81.90%
11	Textiles	5,172	3,413,273	88.32%	87.44%	89.19%
12	Footwear, Headgear	1,223	848,034	89.65%	87.94%	91.35%
13	Plastic, Glass, and Products	1,397	908,299	85.89%	84.06%	87.71%
14	Precious Stone and Metal	909	448,143	82.59%	80.12%	85.05%
15	Base Metals and Articles	4,412	2,105,399	80.94%	79.79%	82.10%
16	Machinery, Elect Equipment	18,087	8,130,291	83.70%	83.16%	84.24%
17	Transportation Equipment	3,218	1,472,174	83.81%	82.54%	85.08%
18	Hi-Tech Equipment/Instruments	2,752	1,603,928	84.11%	82.75%	85.48%
19	Arms and Ammunition	79	19,123	94.04%	88.82%	99.26%
20	Misc Manufactured Articles	3,099	2,393,308	85.95%	84.73%	87.17%
21	Artwork, Antiques	320	85,532	90.46%	87.24%	93.68%
22	Special Legislation	1,420	1,409,116	83.22%	81.27%	85.16%

Table II.A.3. FY 01 CM Compliance Rates for AR by HTS Chapter

HTS Chapter	Chapter Description	Sample Size	Population Size	Compliance Rate	95% Confidence Interval Lower Bound	Upper Bound
	All Chapters Combined	**57,169**	**28,995,425**	**84.64%**	**84.34%**	**84.93%**
1	Live Animals	230	54,320	81.69%	76.70%	86.69%
2	Meat	242	79,628	91.92%	88.48%	95.35%
3	Fish	593	322,343	89.50%	87.04%	91.97%
4	Dairy Products	86	32,983	90.50%	84.30%	96.70%
5	Misc Animal Products	98	18,588	88.79%	82.55%	95.04%
6	Live Trees, Plants	171	278,327	88.41%	83.61%	93.21%
7	Vegetables	372	428,465	87.81%	84.48%	91.13%
8	Fruits	408	167,532	92.79%	90.28%	95.30%
9	Coffee, Tea, etc.	320	73,177	86.82%	83.11%	90.53%
10	Cereals	138	31,682	82.57%	76.24%	88.90%
11	Grain Products	45	15,900	93.88%	86.88%	100.00%
12	Oil Seeds	102	33,562	83.27%	76.03%	90.51%
13	Gums, Resins	64	10,644	90.67%	83.55%	97.80%
15	Fats	182	24,217	82.47%	76.94%	87.99%
16	Prepared Meat, Fish	253	58,543	87.41%	83.33%	91.50%
17	Sugars	138	38,245	87.51%	81.99%	93.03%
18	Cocoa	114	23,030	86.21%	79.88%	92.54%
19	Prepared Cereals, Flour	198	136,713	82.80%	77.54%	88.05%
20	Prepared Vegie, Fruits	266	171,218	87.45%	83.46%	91.43%
21	Misc Edible	180	81,983	83.13%	77.66%	88.60%
22	Berverages	578	351,161	91.60%	89.34%	93.86%
23	Food Residues	54	19,020	84.66%	75.05%	94.27%
24	Tobacco	77	7,590	76.93%	67.51%	86.34%
25	Salt, Sulfur, Cement	276	50,380	84.09%	79.77%	88.40%
26	Ores, Slag and Ash	41	5,624	87.80%	77.79%	97.82%
27	Oils	87	17,957	92.36%	86.78%	97.94%
28	Inorganic Chemicals	418	86,023	86.86%	83.62%	90.10%
29	Organic Chemicals	988	160,775	85.63%	83.44%	87.81%
30	Pharmaceutical	261	41,264	85.61%	81.35%	89.86%
31	Fertilizers	66	11,152	82.57%	73.41%	91.72%
32	Inks and Dyes	291	91,704	85.49%	81.44%	89.53%
33	Perfumes, Cosmetics	222	149,337	84.33%	79.55%	89.11%
34	Soaps	170	99,707	78.95%	72.82%	85.07%
35	Starches, Glues	135	34,606	79.27%	72.43%	86.11%
37	Photographic Goods	174	58,554	87.75%	82.88%	92.62%
38	Misc Chemicals	386	114,481	85.88%	82.41%	89.36%
39	Plastics	1,467	866,603	76.98%	74.83%	79.14%

Table II.A.3. FY 01 CM Compliance Rates for AR by HTS Chapter

HTS Chapter	Chapter Description	Sample Size	Population Size	Compliance Rate	95% Confidence Interval	
					Lower Bound	Upper Bound
40	Rubber	741	462,219	84.87%	82.29%	87.45%
41	Leather Products	88	33,663	89.60%	83.23%	95.98%
42	Articles of Leather	486	397,702	83.31%	80.00%	86.63%
43	Furskins	78	11,378	85.53%	77.73%	93.34%
44	Articles of Wood	2,646	487,334	81.64%	80.16%	83.11%
46	Basketware	55	39,697	87.83%	79.19%	96.47%
47	Pulp	156	22,653	77.38%	70.81%	83.94%
48	Paper Products	605	279,744	81.59%	78.50%	84.68%
49	Printed Books	303	170,018	76.28%	71.49%	81.07%
50	Silk	28	21,197	96.20%	89.13%	100.00%
51	Wool	62	19,819	87.07%	78.72%	95.42%
52	Cotton	256	88,346	83.94%	79.44%	88.44%
53	Natural Fibers	44	14,533	76.16%	63.57%	88.75%
54	Man-Made Filaments	248	87,522	84.10%	79.55%	88.65%
55	Man-Made fibers	187	50,391	83.09%	77.72%	88.47%
56	Ropes, Twine	137	35,304	79.47%	72.71%	86.24%
57	Carpets	173	59,505	83.94%	78.47%	89.41%
58	Woven Fabrics	105	57,348	78.54%	70.69%	86.40%
59	Coated Fabrics	101	32,595	75.77%	67.41%	84.12%
60	Knitted Fabrics	172	62,689	83.10%	77.50%	88.70%
61	Knitted Apparel	1,400	1,129,793	90.57%	89.04%	92.10%
62	Non-Knitted Apparel	1,811	1,455,620	89.63%	88.22%	91.03%
63	NSPF Textile Articles	448	298,611	83.20%	79.74%	86.66%
64	Footwear	932	692,816	90.52%	88.64%	92.40%
65	Headgear	115	75,330	86.15%	79.84%	92.46%
66	Umbrellas	33	14,790	86.96%	75.47%	98.45%
67	Feathers, Down	143	65,098	84.99%	79.13%	90.84%
68	Stone Articles	420	233,124	79.52%	75.66%	83.38%
69	Ceramic Products	567	413,022	87.21%	84.46%	89.96%
70	Glassware	410	262,152	89.47%	86.50%	92.44%
71	Precious Metals/Stones	909	448,143	82.59%	80.12%	85.05%
72	Iron, Steel	1,136	278,182	82.39%	80.18%	84.61%
73	Steel Articles	1,472	891,138	78.97%	76.89%	81.05%
74	Copper	280	105,828	82.37%	77.91%	86.84%
75	Nickel	56	11,725	97.66%	93.70%	100.00%
76	Aluminum	402	170,329	82.11%	78.36%	85.86%
79	Zinc	54	12,136	71.79%	59.79%	83.79%
81	Other Base Metals	94	16,254	87.38%	80.66%	94.09%

Table II.A.3. FY 01 CM Compliance Rates for AR by HTS Chapter

HTS Chapter	Chapter Description	Sample Size	Population Size	Compliance Rate	95% Confidence Interval Lower Bound	Upper Bound
82	Tools	474	298,954	79.34%	75.69%	82.98%
83	Misc Metal Articles	419	312,658	85.18%	81.77%	88.58%
84	Mechanical Apparatus	9,859	3,887,389	83.20%	82.46%	83.94%
85	Electrical Equipment	8,228	4,242,902	84.16%	83.37%	84.94%
86	Locomotives	88	25,988	92.23%	86.63%	97.82%
87	Vehicles	2,692	1,311,902	84.56%	83.19%	85.92%
88	Aircraft	370	124,120	75.27%	70.87%	79.66%
89	Ships, Boats	68	10,165	70.24%	59.37%	81.11%
90	Hi-Tech Instruments	2,375	1,269,404	84.59%	83.14%	86.04%
91	Clocks, Watches	229	291,581	81.95%	76.97%	86.93%
92	Musical Instruments	148	42,944	84.71%	78.91%	90.51%
93	Arms and Ammunition	79	19,123	94.04%	88.82%	99.26%
94	Furniture	1,658	1479389.25	86.02%	84.35%	87.69%
95	Toys, Games	1,091	742,536	85.89%	83.82%	87.95%
96	Misc Manufactured Articl	350	171,383	85.63%	81.96%	89.31%
97	Art Work	320	85,532	90.46%	87.24%	93.68%
98	Special Class Provisions	1,420	1,409,116	83.22%	81.27%	85.16%

Table II.A.4. FY 01 CM Compliance Rates for AR by 4 Digit HTS

4-Digit HTS	Sample Size	Population Size	Compliance Rate	95% Confidence Interval Lower Bound	Upper Bound
Overall	**57,169**	**28,995,425**	**84.64%**	**84.34%**	**84.93%**
0101	61	4,778	83.61%	74.32%	92.90%
0102	111	27,450	83.36%	76.43%	90.29%
0103	33	12,583	75.93%	61.35%	90.52%
0201	38	6,798	78.95%	65.98%	91.91%
0202	83	42,153	98.67%	96.21%	100.00%
0203	48	8,076	71.20%	58.39%	84.01%
0210	32	2,507	71.88%	56.30%	87.45%
0302	88	82,636	92.05%	86.39%	97.70%
0303	72	12,880	77.78%	68.17%	87.38%
0304	146	79,763	89.48%	84.50%	94.45%
0306	218	114,520	90.31%	86.38%	94.24%
0307	38	20,467	83.59%	71.82%	95.37%
0406	51	28,091	91.81%	84.28%	99.34%
0505	29	2,272	86.21%	73.66%	98.76%
0511	39	11,983	87.03%	76.48%	97.57%
0602	35	30,228	79.34%	65.92%	92.75%
0603	117	223,794	90.60%	85.31%	95.89%
0702	74	72,898	89.19%	82.11%	96.26%
0707	29	28,568	79.31%	64.57%	94.05%
0709	102	195,103	92.16%	86.94%	97.37%
0710	35	33,081	97.02%	91.39%	100.00%
0713	32	8,048	68.75%	52.69%	84.81%
0801	37	10,211	100.00%	100.00%	100.00%
0802	34	2,663	82.35%	69.54%	95.17%
0803	67	10,740	93.63%	87.78%	99.48%
0804	37	26,683	91.27%	82.17%	100.00%
0805	29	22,521	89.66%	78.57%	100.00%
0806	43	10,624	95.82%	89.83%	100.00%
0807	59	44,740	95.60%	90.36%	100.00%
0808	34	5,817	97.06%	91.38%	100.00%
0810	27	21,722	88.89%	77.03%	100.00%
0901	216	37,338	92.80%	89.36%	96.25%
0904	36	9,291	75.71%	61.70%	89.72%
1001	40	5,581	80.60%	68.35%	92.86%
1006	33	13,500	84.85%	72.62%	97.08%

Table II.A.4. FY 01 CM Compliance Rates for AR by 4 Digit HTS

4-Digit HTS	Sample Size	Population Size	Compliance Rate	95% Confidence Interval Lower Bound	Upper Bound
1302	55	9,410	90.91%	83.31%	98.51%
1509	102	14,180	84.32%	77.26%	91.38%
1515	34	4,417	85.29%	73.39%	97.20%
1602	53	12,818	86.79%	77.68%	95.91%
1604	106	27,014	90.51%	84.93%	96.09%
1605	84	16,429	86.93%	79.73%	94.14%
1701	32	2,377	86.44%	74.57%	98.30%
1704	86	32,448	87.53%	80.55%	94.51%
1806	45	14,996	80.34%	68.72%	91.95%
1902	52	39,872	86.49%	77.20%	95.78%
1904	30	9,939	76.98%	61.92%	92.04%
1905	98	74,795	83.32%	75.93%	90.70%
2001	27	21,220	88.63%	76.65%	100.00%
2005	68	39,161	82.32%	73.25%	91.38%
2008	64	53,733	93.36%	87.26%	99.46%
2009	56	25,042	83.66%	73.98%	93.35%
2101	43	10,989	71.07%	57.52%	84.63%
2103	46	36,505	93.39%	86.21%	100.00%
2106	54	21,532	75.30%	63.80%	86.80%
2202	41	39,248	87.02%	76.73%	97.31%
2203	116	112,268	95.30%	91.45%	99.15%
2204	216	133,563	91.81%	88.15%	95.47%
2208	149	38,222	89.01%	83.99%	94.03%
2401	25	1,804	89.27%	77.14%	100.00%
2402	48	5,342	72.92%	60.34%	85.49%
2523	136	24,330	97.06%	94.22%	99.90%
2712	27	3,704	77.78%	62.10%	93.46%
2713	28	3,841	89.29%	77.83%	100.00%
2804	25	4,472	92.00%	81.37%	100.00%
2811	30	8,068	86.67%	74.50%	98.83%
2818	44	6,156	88.64%	79.26%	98.01%
2901	60	8,362	80.31%	70.25%	90.38%
2902	27	3,727	92.64%	82.79%	100.00%
2905	52	8,994	86.68%	77.45%	95.92%
2909	30	4,138	93.37%	84.47%	100.00%
2914	27	4,405	96.30%	89.17%	100.00%

Table II.A.4. FY 01 CM Compliance Rates for AR by 4 Digit HTS

4-Digit HTS	Sample Size	Population Size	Compliance Rate	95% Confidence Interval Lower Bound	Upper Bound
2915	38	12,207	92.31%	83.84%	100.00%
2916	27	6,530	85.19%	71.79%	98.59%
2917	28	6,772	78.57%	63.37%	93.77%
2918	92	12,871	86.96%	80.07%	93.84%
2921	38	6,922	82.70%	70.68%	94.73%
2922	59	10,094	83.05%	73.48%	92.62%
2924	38	5,508	79.68%	66.89%	92.47%
2930	35	4,801	85.71%	74.12%	97.31%
2931	35	4,917	97.15%	91.65%	100.00%
2932	43	5,898	76.74%	64.12%	89.37%
2933	90	12,534	77.02%	68.33%	85.71%
2934	37	5,098	91.93%	83.15%	100.00%
2936	32	7,265	90.63%	80.53%	100.00%
3004	170	23,479	85.98%	80.76%	91.20%
3005	35	8,316	82.60%	70.04%	95.16%
3006	35	6,588	88.02%	77.26%	98.78%
3204	84	35,430	88.45%	81.62%	95.29%
3206	53	12,780	94.34%	88.12%	100.00%
3208	41	11,026	82.93%	71.41%	94.44%
3214	32	8,606	75.00%	60.00%	90.00%
3301	30	11,357	80.00%	65.69%	94.31%
3303	42	22,630	92.86%	85.07%	100.00%
3304	82	78,726	84.18%	76.28%	92.08%
3402	72	45,047	78.94%	69.52%	88.36%
3406	43	30,122	82.12%	70.67%	93.57%
3506	48	18,495	72.95%	60.38%	85.52%
3507	25	4,472	92.00%	81.37%	100.00%
3701	38	16,003	92.53%	84.17%	100.00%
3702	48	15,290	91.21%	83.19%	99.22%
3707	40	11,260	74.13%	60.56%	87.70%
3808	40	5,550	69.77%	55.54%	84.00%
3809	25	7,683	93.27%	83.44%	100.00%
3815	33	4,527	81.82%	68.66%	94.98%
3818	53	25,742	89.25%	80.90%	97.59%
3822	74	22,403	84.74%	76.54%	92.93%
3824	62	25,363	91.94%	85.16%	98.71%

Table II.A.4. FY 01 CM Compliance Rates for AR by 4 Digit HTS

4-Digit HTS	Sample Size	Population Size	Compliance Rate	95% Confidence Interval Lower Bound	95% Confidence Interval Upper Bound
3901	44	11,101	77.19%	64.79%	89.59%
3903	40	14,565	85.00%	73.93%	96.07%
3904	34	9,817	82.35%	69.54%	95.17%
3907	152	24,906	79.92%	73.55%	86.29%
3909	28	8,705	75.00%	58.96%	91.04%
3915	25	14,212	71.67%	54.01%	89.33%
3916	29	10,560	65.52%	48.22%	82.82%
3917	53	33,512	74.97%	63.31%	86.63%
3918	35	12,046	81.93%	69.19%	94.68%
3919	43	23,781	67.05%	53.00%	81.10%
3920	126	68,011	83.51%	77.03%	89.99%
3921	54	27,392	72.80%	60.93%	84.67%
3923	180	156,196	78.56%	72.57%	84.56%
3924	139	130,020	75.98%	68.88%	83.08%
3925	58	34,263	79.85%	69.53%	90.18%
3926	261	238,764	74.53%	69.25%	79.82%
4001	30	8,236	97.95%	92.87%	100.00%
4002	43	17,591	93.02%	85.41%	100.00%
4009	50	35,120	89.31%	80.74%	97.87%
4010	32	20,822	90.51%	80.35%	100.00%
4011	291	119,516	85.96%	81.97%	89.95%
4015	50	27,982	90.00%	81.68%	98.32%
4016	150	198,947	82.81%	76.77%	88.84%
4104	40	21,553	92.50%	84.34%	100.00%
4202	326	273,450	84.76%	80.86%	88.66%
4203	117	106,196	79.97%	72.72%	87.23%
4303	71	9,739	83.10%	74.38%	91.82%
4401	95	6,699	84.60%	77.34%	91.86%
4403	54	13,581	57.41%	44.22%	70.60%
4407	1,260	140,217	84.21%	82.19%	86.22%
4408	37	8,922	86.49%	75.47%	97.50%
4409	283	31,387	76.27%	71.31%	81.22%
4410	32	17,909	84.38%	71.79%	96.96%
4411	72	21,776	81.48%	72.50%	90.45%
4412	101	31,400	78.22%	70.17%	86.27%
4414	37	22,505	88.47%	78.18%	98.76%

Table II.A.4. FY 01 CM Compliance Rates for AR by 4 Digit HTS

4-Digit HTS	Sample Size	Population Size	Compliance Rate	95% Confidence Interval Lower Bound	Upper Bound
4415	39	21,902	89.78%	80.27%	99.29%
4416	27	2,115	81.48%	66.83%	96.13%
4418	425	55,385	79.12%	75.26%	82.99%
4420	56	42,507	84.46%	74.97%	93.95%
4421	85	50,668	76.04%	66.97%	85.11%
4602	47	36,783	89.84%	81.20%	98.48%
4703	64	8,954	76.56%	66.18%	86.94%
4707	71	9,739	83.10%	74.38%	91.82%
4802	49	13,516	71.47%	58.82%	84.11%
4805	40	5,487	75.00%	61.58%	88.42%
4810	81	30,663	86.42%	78.96%	93.88%
4811	58	23,547	73.68%	62.35%	85.02%
4818	52	27,397	89.77%	81.53%	98.00%
4819	77	56,059	78.04%	68.80%	87.29%
4820	52	34,057	87.40%	78.38%	96.42%
4823	54	31,000	84.98%	75.46%	94.51%
4901	154	82,552	77.16%	70.52%	83.79%
4911	49	47,936	70.90%	58.18%	83.61%
5007	26	20,917	96.15%	88.76%	100.00%
5205	51	10,770	90.84%	82.92%	98.76%
5208	66	28,812	82.07%	72.81%	91.32%
5209	67	25,925	86.23%	77.97%	94.48%
5211	28	11,515	85.26%	72.14%	98.39%
5402	100	22,852	90.06%	84.20%	95.93%
5407	97	51,593	80.25%	72.32%	88.17%
5503	47	13,571	89.36%	80.55%	98.18%
5516	35	12,956	71.89%	57.00%	86.79%
5603	58	11,492	79.40%	68.99%	89.81%
5701	62	17,782	86.06%	77.44%	94.68%
5702	62	25,755	83.83%	74.67%	93.00%
5703	35	12,541	85.48%	73.81%	97.15%
5806	37	16,376	86.49%	75.47%	97.50%
5903	26	10,636	84.62%	70.75%	98.48%
5911	33	11,656	70.98%	55.49%	86.46%
6001	47	11,333	82.98%	72.23%	93.72%
6002	125	51,356	83.13%	76.56%	89.69%

Table II.A.4. FY 01 CM Compliance Rates for AR by 4 Digit HTS

4-Digit HTS	Sample Size	Population Size	Compliance Rate	95% Confidence Interval Lower Bound	Upper Bound
6101	31	14,471	98.00%	93.08%	100.00%
6102	31	15,290	86.62%	74.64%	98.61%
6103	33	27,147	90.69%	80.77%	100.00%
6104	94	90,907	91.74%	86.18%	97.31%
6105	132	82,503	92.47%	87.97%	96.97%
6106	68	37,687	84.53%	75.93%	93.12%
6107	35	23,805	79.45%	66.06%	92.84%
6108	107	97,635	95.79%	91.98%	99.59%
6109	142	127,017	84.13%	78.13%	90.14%
6110	451	431,073	93.68%	91.44%	95.93%
6111	84	88,893	87.06%	79.88%	94.24%
6112	48	15,776	84.26%	73.95%	94.56%
6114	25	19,302	80.07%	64.41%	95.73%
6115	44	25,384	85.27%	74.80%	95.74%
6116	41	15,332	83.99%	72.77%	95.22%
6201	107	61,295	84.69%	77.86%	91.51%
6202	78	49,844	92.23%	86.29%	98.17%
6203	314	242,324	92.40%	89.47%	95.33%
6204	543	525,248	88.42%	85.73%	91.11%
6205	178	122,546	91.13%	86.95%	95.30%
6206	140	139,105	93.34%	89.20%	97.47%
6207	33	15,788	83.79%	71.22%	96.37%
6208	49	38,072	80.78%	69.74%	91.81%
6209	54	43,186	94.73%	88.78%	100.00%
6210	62	39,099	96.70%	92.25%	100.00%
6211	81	80,633	81.67%	73.25%	90.10%
6212	85	46,865	90.61%	84.41%	96.81%
6214	30	23,080	90.00%	79.26%	100.00%
6302	154	120,733	84.82%	79.15%	90.49%
6303	43	26,696	88.30%	78.69%	97.91%
6304	27	20,360	84.74%	71.18%	98.31%
6305	32	8,048	84.38%	71.79%	96.96%
6307	143	105,137	79.73%	73.14%	86.32%
6402	210	147,032	89.72%	85.61%	93.83%
6403	560	438,813	91.69%	89.41%	93.98%
6404	100	82,379	91.32%	85.80%	96.84%

Table II.A.4. FY 01 CM Compliance Rates for AR by 4 Digit HTS

4-Digit HTS	Sample Size	Population Size	Compliance Rate	95% Confidence Interval Lower Bound	Upper Bound
6405	31	13,521	75.62%	60.51%	90.74%
6505	59	59,174	86.80%	78.17%	95.44%
6506	35	10,106	77.14%	63.23%	91.05%
6702	76	42,237	82.89%	74.42%	91.36%
6704	53	20,064	88.68%	80.15%	97.21%
6802	181	96,678	78.45%	72.46%	84.44%
6804	26	13,344	92.31%	82.06%	100.00%
6805	31	16,346	81.16%	67.40%	94.93%
6810	70	43,237	80.23%	70.90%	89.56%
6815	31	16,959	75.36%	60.19%	90.53%
6908	95	179,964	90.43%	84.52%	96.35%
6910	25	23,474	84.79%	70.72%	98.87%
6911	39	26,714	87.60%	77.25%	97.94%
6912	139	76,057	87.92%	82.50%	93.34%
6913	94	61,258	79.29%	71.10%	87.48%
6914	80	11,307	80.59%	71.92%	89.26%
7007	59	25,254	96.76%	92.24%	100.00%
7009	32	25,741	92.70%	83.69%	100.00%
7010	42	41,375	92.86%	85.07%	100.00%
7013	98	96,743	86.31%	79.50%	93.12%
7019	41	16,916	80.49%	68.36%	92.62%
7101	33	10,320	75.76%	61.14%	90.38%
7102	230	66,411	95.22%	92.46%	97.98%
7103	119	21,289	89.08%	83.47%	94.68%
7108	42	5,761	88.10%	78.30%	97.89%
7110	41	5,790	76.31%	63.29%	89.32%
7113	258	227,189	78.79%	73.80%	83.78%
7117	80	78,226	83.63%	75.52%	91.74%
7202	27	3,704	92.59%	82.71%	100.00%
7204	37	13,473	78.38%	65.11%	91.64%
7207	27	1,741	66.67%	48.89%	84.45%
7208	165	30,386	74.33%	67.67%	81.00%
7209	93	17,646	81.83%	73.99%	89.66%
7210	55	23,128	85.87%	76.66%	95.07%
7211	33	12,059	81.53%	68.29%	94.77%
7213	97	7,932	88.82%	82.54%	95.09%

Table II.A.4. FY 01 CM Compliance Rates for AR by 4 Digit HTS

4-Digit HTS	Sample Size	Population Size	Compliance Rate	95% Confidence Interval Lower Bound	Upper Bound
7214	38	6,371	84.63%	73.17%	96.10%
7216	48	20,826	86.84%	77.28%	96.41%
7217	52	27,503	74.53%	62.69%	86.37%
7219	61	17,613	88.52%	80.53%	96.52%
7222	41	13,607	90.81%	81.96%	99.65%
7223	29	7,799	86.21%	73.66%	98.76%
7225	76	11,267	84.17%	75.97%	92.38%
7228	79	26,379	84.16%	76.11%	92.21%
7302	37	2,386	78.38%	65.11%	91.64%
7304	90	25,535	90.52%	84.47%	96.57%
7305	31	3,450	61.29%	44.14%	78.44%
7306	109	59,230	75.72%	67.67%	83.77%
7307	82	65,019	64.17%	53.79%	74.55%
7308	75	50,220	73.45%	63.46%	83.45%
7312	41	15,754	73.81%	60.35%	87.27%
7314	26	17,667	81.02%	65.94%	96.09%
7315	31	16,840	84.00%	71.10%	96.91%
7317	49	42,286	89.13%	80.42%	97.85%
7318	298	223,946	83.73%	79.54%	87.92%
7320	36	55,613	76.83%	63.05%	90.61%
7321	84	35,664	81.23%	72.88%	89.58%
7323	182	73,327	82.13%	76.56%	87.69%
7325	40	21,553	77.50%	64.56%	90.44%
7326	172	146,517	76.94%	70.65%	83.24%
7403	31	7,038	93.55%	84.90%	100.00%
7407	32	11,652	84.38%	71.79%	96.96%
7408	26	6,724	92.31%	82.06%	100.00%
7409	43	10,125	85.71%	75.25%	96.17%
7419	45	25,475	69.52%	56.07%	82.97%
7601	78	12,037	87.47%	80.12%	94.81%
7602	36	19,385	86.10%	74.80%	97.40%
7606	52	22,732	80.53%	69.77%	91.29%
7607	40	14,960	81.50%	69.47%	93.53%
7610	37	15,136	70.27%	55.54%	85.00%
7615	30	11,938	96.83%	90.56%	100.00%
7616	75	55,125	84.65%	76.49%	92.81%

Table II.A.4. FY 01 CM Compliance Rates for AR by 4 Digit HTS

4-Digit HTS	Sample Size	Population Size	Compliance Rate	95% Confidence Interval Lower Bound	Upper Bound
8201	25	14,411	78.63%	62.56%	94.70%
8202	35	16,551	83.79%	71.58%	96.00%
8204	35	17,396	83.50%	71.20%	95.80%
8205	59	61,862	67.58%	55.63%	79.52%
8207	114	62,591	80.18%	72.87%	87.50%
8209	29	10,560	89.66%	78.57%	100.00%
8211	31	19,670	86.60%	74.61%	98.59%
8215	39	29,469	80.89%	68.55%	93.23%
8301	55	40,507	82.13%	72.00%	92.25%
8302	182	164,101	84.54%	79.29%	89.80%
8306	66	51,147	86.35%	78.06%	94.63%
8407	112	33,733	73.32%	65.13%	81.51%
8408	49	19,629	86.82%	77.35%	96.29%
8409	229	135,352	85.66%	81.12%	90.20%
8411	475	127,745	87.58%	84.61%	90.55%
8412	62	49,747	84.29%	75.24%	93.35%
8413	206	157,671	88.12%	83.71%	92.54%
8414	395	136,773	79.57%	75.59%	83.55%
8415	116	49,956	90.73%	85.46%	96.01%
8418	99	36,647	89.07%	82.92%	95.22%
8419	142	58,529	80.16%	73.61%	86.72%
8421	214	114,486	85.26%	80.51%	90.01%
8422	134	37,343	84.91%	78.85%	90.97%
8423	35	9,413	71.43%	56.46%	86.40%
8424	96	37,148	74.82%	66.14%	83.50%
8425	50	19,690	81.18%	70.35%	92.02%
8427	59	12,394	82.49%	72.79%	92.19%
8428	370	24,942	80.13%	76.06%	84.19%
8429	78	24,029	92.55%	86.73%	98.38%
8430	40	5,487	72.50%	58.66%	86.34%
8431	202	155,561	85.24%	80.35%	90.13%
8432	53	17,338	73.65%	61.79%	85.51%
8433	75	22,539	81.63%	72.87%	90.40%
8438	60	15,459	73.23%	62.03%	84.44%
8439	54	7,742	66.79%	54.22%	79.35%
8441	77	10,042	80.94%	72.16%	89.71%

Table II.A.4. FY 01 CM Compliance Rates for AR by 4 Digit HTS

4-Digit HTS	Sample Size	Population Size	Compliance Rate	95% Confidence Interval Lower Bound	Upper Bound
8442	36	7,011	85.47%	73.96%	96.98%
8443	139	26,051	78.67%	71.86%	85.48%
8448	28	22,526	96.43%	89.55%	100.00%
8451	64	13,546	88.15%	80.24%	96.07%
8452	29	12,646	82.04%	68.07%	96.01%
8454	35	4,716	72.45%	57.65%	87.25%
8455	41	5,665	80.63%	68.53%	92.73%
8456	26	4,230	90.85%	79.77%	100.00%
8457	38	3,345	92.29%	83.81%	100.00%
8458	52	4,583	83.11%	72.93%	93.30%
8459	54	4,230	68.52%	56.13%	80.91%
8460	51	3,995	80.39%	69.50%	91.29%
8461	37	4,238	84.93%	73.41%	96.46%
8462	144	12,204	77.28%	70.43%	84.12%
8463	26	1,677	84.62%	70.75%	98.48%
8464	59	4,636	79.41%	69.09%	89.73%
8465	154	20,008	80.29%	74.01%	86.57%
8466	156	100,018	75.70%	68.97%	82.43%
8467	81	47,688	85.92%	78.34%	93.49%
8470	73	19,542	75.55%	65.69%	85.41%
8471	1,897	756,228	87.39%	85.90%	88.88%
8472	66	23,013	90.14%	82.94%	97.33%
8473	1,272	466,172	77.74%	75.46%	80.03%
8474	96	23,218	70.83%	61.74%	79.93%
8476	27	4,619	77.78%	62.10%	93.46%
8477	202	36,772	80.10%	74.60%	85.61%
8479	321	93,943	75.07%	70.34%	79.80%
8480	124	21,372	73.58%	65.82%	81.34%
8481	296	289,948	85.98%	82.02%	89.93%
8482	158	121,117	87.79%	82.69%	92.90%
8483	230	320,685	82.07%	77.11%	87.03%
8484	29	17,101	82.53%	68.71%	96.35%
8501	229	168,025	83.26%	78.43%	88.10%
8502	42	5,761	90.48%	81.60%	99.35%
8503	85	46,313	78.45%	69.71%	87.19%
8504	488	319,608	85.58%	82.47%	88.70%

Table II.A.4. FY 01 CM Compliance Rates for AR by 4 Digit HTS

4-Digit HTS	Sample Size	Population Size	Compliance Rate	95% Confidence Interval Lower Bound	Upper Bound
8505	35	25,005	90.77%	81.18%	100.00%
8506	50	12,565	87.74%	78.65%	96.83%
8507	152	63,980	84.75%	79.03%	90.47%
8508	76	38,852	83.75%	75.46%	92.04%
8509	87	31,163	96.01%	91.90%	100.00%
8510	31	5,602	78.62%	64.19%	93.05%
8511	136	79,339	92.09%	87.56%	96.63%
8512	105	80,754	88.63%	82.56%	94.70%
8514	39	10,257	87.74%	77.45%	98.03%
8515	71	16,246	74.73%	64.62%	84.84%
8516	180	106,593	93.29%	89.64%	96.95%
8517	647	238,895	76.51%	73.25%	79.78%
8518	179	110,501	87.30%	82.42%	92.18%
8519	71	20,462	96.46%	92.17%	100.00%
8520	37	9,224	86.42%	75.39%	97.46%
8521	115	19,361	94.22%	89.95%	98.48%
8522	46	18,348	80.11%	68.58%	91.65%
8523	107	31,584	81.07%	73.65%	88.49%
8524	108	90,632	77.76%	69.92%	85.60%
8525	645	145,116	84.96%	82.21%	87.72%
8526	61	23,734	93.11%	86.76%	99.47%
8527	270	79,884	88.75%	84.99%	92.52%
8528	152	39,642	89.92%	85.13%	94.70%
8529	261	138,288	82.13%	77.48%	86.78%
8531	162	81,560	80.46%	74.36%	86.57%
8532	97	61,380	86.05%	79.15%	92.94%
8533	67	66,684	81.43%	72.12%	90.75%
8534	269	142,780	78.44%	73.52%	83.35%
8535	35	14,087	63.89%	47.98%	79.80%
8536	401	400,596	79.57%	75.63%	83.52%
8537	174	95,777	89.02%	84.37%	93.66%
8538	98	91,321	84.58%	77.42%	91.73%
8539	137	74,597	80.09%	73.41%	86.78%
8540	60	16,943	85.76%	76.92%	94.60%
8541	344	195,476	85.03%	81.26%	88.80%
8542	1,183	589,132	84.80%	82.75%	86.85%

Table II.A.4. FY 01 CM Compliance Rates for AR by 4 Digit HTS

4-Digit HTS	Sample Size	Population Size	Compliance Rate	95% Confidence Interval Lower Bound	Upper Bound
8543	143	84,809	85.12%	79.28%	90.95%
8544	442	312,691	88.72%	85.77%	91.67%
8607	51	18,571	92.16%	84.78%	99.54%
8701	56	22,575	89.28%	81.18%	97.38%
8702	28	4,568	89.29%	77.83%	100.00%
8703	837	256,600	93.09%	91.37%	94.81%
8704	126	55,443	87.39%	81.59%	93.18%
8707	27	4,144	71.76%	54.77%	88.74%
8708	1,299	839,223	81.61%	79.51%	83.72%
8711	78	12,022	87.45%	80.10%	94.80%
8712	51	18,302	88.11%	79.23%	96.99%
8714	71	45,247	85.39%	77.17%	93.60%
8716	65	39,149	84.28%	75.43%	93.13%
8802	26	3,378	76.92%	60.73%	93.12%
8803	320	116,996	74.99%	70.25%	79.74%
8903	56	7,681	75.00%	63.66%	86.34%
9001	94	36,741	87.97%	81.39%	94.54%
9002	49	24,309	82.98%	72.45%	93.50%
9003	76	32,843	79.70%	70.66%	88.75%
9004	55	41,070	84.33%	74.72%	93.94%
9005	31	8,555	80.65%	66.74%	94.55%
9006	96	24,510	74.25%	65.51%	83.00%
9009	147	48,466	92.26%	87.94%	96.58%
9010	48	11,630	75.54%	63.38%	87.70%
9013	139	47,188	84.94%	78.99%	90.88%
9014	45	22,685	77.38%	65.15%	89.60%
9015	45	17,035	80.00%	68.31%	91.69%
9017	93	12,789	86.06%	79.02%	93.10%
9018	273	206,128	89.49%	85.86%	93.13%
9019	42	23,427	72.76%	59.29%	86.22%
9021	67	52,032	82.09%	72.91%	91.27%
9022	77	51,833	89.78%	83.02%	96.55%
9023	39	11,261	74.36%	60.65%	88.06%
9025	36	23,306	82.60%	70.21%	94.98%
9026	65	55,661	71.70%	60.75%	82.65%
9027	188	110,635	84.11%	78.88%	89.33%

Table II.A.4. FY 01 CM Compliance Rates for AR by 4 Digit HTS

4-Digit HTS	Sample Size	Population Size	Compliance Rate	95% Confidence Interval Lower Bound	Upper Bound
9028	25	6,205	85.12%	71.16%	99.07%
9029	58	43,487	85.10%	75.93%	94.26%
9030	163	103,105	90.73%	86.28%	95.18%
9031	155	92,351	84.26%	78.52%	89.99%
9032	157	130,426	84.50%	78.84%	90.16%
9101	29	15,626	75.86%	60.29%	91.44%
9102	119	217,746	81.85%	74.92%	88.77%
9105	36	30,796	85.51%	74.01%	97.01%
9209	34	13,909	82.35%	69.54%	95.17%
9401	469	394,074	88.35%	85.45%	91.26%
9403	801	768,220	85.58%	83.15%	88.01%
9404	71	38,997	89.47%	82.33%	96.61%
9405	261	254,777	83.89%	79.43%	88.35%
9406	37	13,570	78.20%	64.90%	91.50%
9501	26	14,501	84.13%	70.09%	98.18%
9502	120	89,744	92.11%	87.29%	96.94%
9503	388	352,900	84.49%	80.89%	88.09%
9504	138	45,773	93.42%	89.28%	97.55%
9505	179	129,965	86.59%	81.59%	91.58%
9506	177	92,879	82.89%	77.34%	88.44%
9507	41	13,697	70.32%	56.33%	84.30%
9603	75	47,186	85.68%	77.75%	93.61%
9608	67	38,956	85.06%	76.53%	93.60%
9615	44	24,443	78.15%	65.94%	90.36%
9701	183	25,102	90.16%	85.85%	94.48%
9703	35	5,988	88.57%	78.03%	99.11%
9706	75	48,387	92.00%	85.86%	98.14%
9801	1,420	1,409,116	83.22%	81.27%	85.16%

Table II.A.5. FY 01 CM Compliance Rates for AR by Country of Origin

Country Code	Country Name	Sample Size	Population Size	Compliance Rate	95% Confidence Interval Lower Bound	Upper Bound
	All Countries	**57,169**	**28,995,425**	**84.64%**	**84.34%**	**84.93%**
AE	UNITED ARAB EMIRATI	38	27,075	89.00%	79.06%	98.95%
AR	ARGENTINA	119	50,149	87.35%	81.38%	93.33%
AS	AMERICAN SAMOA	32	7,716	90.63%	80.53%	100.00%
AT	AUSTRIA	254	99,590	86.45%	82.24%	90.65%
AU	AUSTRALIA	300	126,140	84.49%	80.40%	88.59%
BD	BANGLADESH	93	63,899	90.94%	85.10%	96.77%
BE	BELGIUM	416	171,202	80.61%	76.81%	84.41%
BR	BRAZIL	656	267,983	88.19%	85.72%	90.66%
CA	CANADA	9,178	3,444,194	74.58%	73.69%	75.47%
CH	SWITZERLAND	558	270,288	85.17%	82.23%	88.12%
CL	CHILE	279	85,926	90.43%	86.98%	93.88%
CN	CHINA	6,860	4,180,693	84.89%	84.04%	85.73%
CO	COLOMBIA	214	179,701	88.98%	84.78%	93.17%
CR	COSTA RICA	206	125,274	84.55%	79.61%	89.48%
CZ	CZECH REPUBLIC	102	53,172	89.71%	83.82%	95.61%
DE	GERMANY	3,337	1,468,285	81.97%	80.67%	83.28%
DK	DENMARK	231	106,843	81.92%	76.95%	86.88%
DO	DOMINICAN REP	205	111,639	85.45%	80.62%	90.27%
EC	ECUADOR	106	57,300	90.16%	84.49%	95.83%
EG	EGYPT	35	17,226	90.53%	80.83%	100.00%
ES	SPAIN	474	256,822	84.87%	81.64%	88.10%
FI	FINLAND	164	52,851	83.42%	77.73%	89.11%
FR	FRANCE	1,580	744,535	85.74%	84.01%	87.46%
GB	UNITED KINGDOM	2,320	996,674	83.84%	82.34%	85.34%
GR	GREECE	50	20,967	72.90%	60.57%	85.22%
GT	GUATEMALA	114	76,146	86.99%	80.82%	93.17%
HK	HONG KONG	725	477,522	85.19%	82.60%	87.77%
HN	HONDURAS	136	95,820	87.06%	81.42%	92.70%
HU	HUNGARY	84	44,642	87.18%	80.04%	94.33%
ID	INDONESIA	613	360,782	90.75%	88.45%	93.04%
IE	IRELAND	220	107,006	87.19%	82.77%	91.61%
IL	ISRAEL	493	211,251	85.29%	82.16%	88.41%
IN	INDIA	881	479,848	84.32%	81.92%	86.72%
IS	ICELAND	27	14,576	94.93%	86.66%	100.00%
IT	ITALY	2,239	1,253,673	86.03%	84.59%	87.46%
JP	JAPAN	5,047	2,258,737	87.84%	86.93%	88.74%
KR	KOREA	1,559	701,888	84.12%	82.30%	85.93%

Table II.A.5. FY 01 CM Compliance Rates for AR by Country of Origin

Country Code	Country Name	Sample Size	Population Size	Compliance Rate	95% Confidence Interval Lower Bound	Upper Bound
LK	SRI LANKA	128	88,927	88.85%	83.39%	94.30%
LU	LUXEMBOURG	27	8,099	75.04%	58.72%	91.37%
MO	MACAO (MACAU)	82	65,435	91.50%	85.46%	97.53%
MP	NORTHERN MARIANA I	31	28,494	96.30%	89.65%	100.00%
MX	MEXICO	6,284	3,612,359	91.54%	90.85%	92.23%
MY	MALAYSIA	951	496,270	87.19%	85.07%	89.32%
NI	NICARAGUA	35	17,229	93.80%	85.81%	100.00%
NL	NETHERLANDS	550	261,234	83.32%	80.21%	86.44%
NO	NORWAY	98	39,516	73.66%	64.94%	82.38%
NZ	NEW ZEALAND	187	69,218	92.73%	89.00%	96.45%
PA	PANAMA	28	14,563	95.39%	87.63%	100.00%
PE	PERU	84	52,436	88.05%	81.11%	94.99%
PH	PHILIPPINES	582	345,864	86.54%	83.77%	89.32%
PK	PAKISTAN	184	113,998	78.89%	72.99%	84.79%
PL	POLAND	98	60,173	84.04%	76.79%	91.29%
PT	PORTUGAL	132	71,407	90.07%	84.97%	95.18%
RO	ROMANIA	32	22,727	77.50%	63.03%	91.97%
RU	RUSSIA	112	35,653	85.13%	78.54%	91.72%
SE	SWEDEN	551	217,329	87.51%	84.75%	90.27%
SG	SINGAPORE	550	236,281	88.23%	85.54%	90.92%
SK	SLOVAKIA	25	9,368	97.89%	92.26%	100.00%
SV	EL SALVADOR	94	74,127	88.74%	82.35%	95.13%
TH	THAILAND	904	492,624	88.49%	86.41%	90.57%
TR	TURKEY	225	131,004	87.79%	83.52%	92.07%
TW	TAIWAN	2,411	1,283,860	79.86%	78.26%	81.46%
US	UNITED STATES	1,949	1,649,745	82.49%	80.80%	84.18%
VE	VENEZUELA	95	39,551	72.62%	63.66%	81.59%
VN	VIETNAM	65	29,712	81.60%	72.18%	91.02%
ZA	SOUTH AFRICA	139	58,243	82.33%	75.99%	88.67%

Table II.B.1. FY 01 CM Discrepancy Rates for AR by PFI

PFI Description	Sample Size	Population Size	Overall Disc	Cargo Disc	Summ Disc	Qntity Disc	Quota Disc	Markg Disc	Class Disc	OAgy Disc	TM/CR Disc	Value Disc	COrgn Disc	AD/CV Disc	Cleric Disc	Health Disc	Labor Disc	Misc Disc	SPI Disc	Curcy Disc
ACS Release (AR) Overall	**57,169**	**28,995,425**	**15.36%**	**12.81%**	**8.33%**	**0.86%**	**0.19%**	**2.12%**	**9.75%**	**0.18%**	**0.09%**	**0.89%**	**0.39%**	**0.04%**	**1.29%**	**0.46%**	**0.49%**	**1.98%**	**0.26%**	**0.04%**
AR: Non PFI	**28,916**	**15,974,746**	**16.17%**	**13.76%**	**8.46%**	**0.85%**	**0.07%**	**2.50%**	**10.41%**	**0.14%**	**0.12%**	**0.96%**	**0.48%**	**0.03%**	**1.14%**	**0.44%**	**0.47%**	**2.10%**	**0.20%**	**0.04%**
AR: All PFI Combined	**28,253**	**13,020,679**	**14.37%**	**11.66%**	**8.18%**	**0.87%**	**0.34%**	**1.65%**	**8.95%**	**0.22%**	**0.05%**	**0.80%**	**0.27%**	**0.06%**	**1.47%**	**0.48%**	**0.52%**	**1.84%**	**0.33%**	**0.04%**
AGRICULTURE	4,924	2,462,511	11.69%	8.26%	6.20%	1.77%	0.16%	1.47%	5.63%	0.57%	0.03%	1.11%	0.24%	0.04%	1.68%	0.31%	0.24%	1.13%	0.33%	0.03%
AUTOMOTIVE	2,519	1,425,097	14.16%	12.08%	7.03%	0.66%	0.05%	1.72%	10.23%	0.06%	0.05%	0.67%	0.05%	0.07%	1.63%	0.22%	0.19%	1.24%	0.48%	0.02%
INFORMATION TECHNOLOGY	8,068	3,885,305	16.35%	14.39%	9.32%	0.57%	0.01%	1.48%	10.79%	0.03%	0.04%	0.70%	0.56%	0.00%	1.05%	1.06%	1.19%	2.86%	0.16%	0.01%
PRODUCTION EQUIPMENT	3,272	768,439	21.44%	17.72%	11.72%	0.46%	0.00%	1.65%	15.78%	0.01%	0.00%	0.91%	0.31%	0.09%	1.21%	0.52%	0.46%	3.26%	0.39%	0.30%
STEEL MILL PRODUCTS	1,326	348,410	18.34%	13.97%	10.76%	0.45%	0.02%	1.35%	12.24%	0.00%	0.00%	1.61%	0.09%	0.88%	3.47%	0.42%	0.39%	1.35%	0.20%	0.05%
TEXTILES & WEARING APPAREL	5,498	3,643,583	11.76%	8.81%	7.81%	0.79%	1.06%	1.80%	6.46%	0.34%	0.10%	0.66%	0.09%	0.01%	1.62%	0.15%	0.19%	1.28%	0.46%	0.03%
WOOD PRODUCTS	2,646	487,334	18.36%	15.91%	7.76%	0.84%	0.00%	2.77%	12.76%	0.02%	0.00%	0.75%	0.27%	0.30%	1.09%	0.00%	0.06%	1.45%	0.40%	0.02%

Table II.B.2. FY 01 CM Discrepancy Rates for AR by HTS Section

HTS Section	HTS Section Description	Sample Size	Population Size	Overall Disc	Cargo Disc	Summ Disc	Qntity Disc	Quota Disc	Markg Disc	Class Disc	OAgy Disc	TM/CR Disc	Value Disc	COrgn Disc	AD/CV Disc	Cleric Disc	Health Disc	Labor Disc	Misc Disc	SPI Disc	Curcy Disc
.	All Sections Combined	57,169	28,995,425	15.36%	12.81%	8.33%	0.86%	0.19%	2.12%	9.75%	0.18%	0.09%	0.89%	0.39%	0.04%	1.29%	0.46%	0.49%	1.98%	0.26%	0.04%
1	Animals, Animal Products	1,249	507,861	10.91%	7.95%	5.49%	1.10%	0.15%	1.11%	5.99%	0.92%	0.00%	0.86%	0.03%	0.00%	1.91%	0.15%	0.02%	1.03%	0.31%	0.02%
2	Vegetable Products	1,635	1,042,930	11.49%	8.19%	5.90%	1.96%	0.02%	1.60%	5.07%	0.20%	0.00%	1.20%	0.24%	0.00%	1.88%	0.45%	0.39%	1.08%	0.60%	0.00%
3	Fats, Oils	182	24,217	17.53%	14.50%	7.55%	2.56%	0.00%	5.40%	9.32%	0.27%	0.00%	2.18%	0.00%	0.00%	1.13%	0.44%	0.00%	0.00%	0.54%	0.00%
4	Foodstuffs, Berverages, Tobacc	1,858	887,503	12.21%	8.34%	6.92%	1.92%	0.35%	1.41%	5.98%	0.82%	0.07%	1.11%	0.38%	0.12%	1.34%	0.24%	0.21%	1.29%	0.02%	0.07%
5	Mineral Products	404	73,961	13.62%	10.36%	8.79%	1.18%	0.00%	0.51%	10.13%	0.00%	0.00%	0.96%	0.22%	0.00%	1.50%	0.00%	0.00%	0.78%	0.37%	0.00%
6	Chemical Products	3,111	847,602	15.40%	12.42%	8.61%	0.63%	0.00%	1.86%	9.08%	0.38%	0.21%	0.88%	0.17%	0.09%	0.86%	1.49%	0.16%	2.14%	0.39%	0.02%
7	Plastic and Rubber	2,208	1,328,822	20.27%	16.74%	12.04%	1.38%	0.15%	3.09%	14.52%	0.15%	0.07%	1.46%	0.47%	0.01%	1.64%	0.29%	0.17%	1.67%	0.56%	0.07%
8	Leathers, Furskins	652	442,743	16.15%	13.19%	10.69%	1.60%	1.33%	3.29%	9.19%	0.03%	0.20%	0.48%	0.23%	0.00%	2.14%	0.00%	0.75%	1.80%	0.46%	0.00%
9	Wood Products	2,718	530,751	17.77%	15.25%	7.87%	0.77%	0.00%	2.71%	12.62%	0.02%	0.00%	0.69%	0.25%	0.27%	1.17%	0.00%	0.05%	1.33%	0.36%	0.02%
10	Pulp Products	1,064	472,415	20.52%	18.12%	8.26%	0.53%	0.04%	2.65%	14.80%	0.00%	0.00%	0.98%	0.17%	0.00%	0.81%	0.32%	0.29%	2.25%	0.67%	0.00%
11	Textiles	5,172	3,413,273	11.68%	8.72%	7.75%	0.78%	1.01%	1.80%	6.39%	0.37%	0.10%	0.67%	0.11%	0.01%	1.59%	0.16%	0.16%	1.28%	0.49%	0.03%
12	Footwear, Headgear	1,223	848,034	10.35%	8.89%	4.38%	1.11%	0.12%	1.57%	6.61%	0.07%	0.00%	0.73%	0.22%	0.00%	0.72%	0.00%	0.24%	1.15%	0.00%	0.00%
13	Plastic, Glass, and Products	1,397	908,299	14.11%	12.09%	7.84%	1.24%	0.00%	3.76%	7.64%	0.13%	0.18%	1.32%	0.02%	0.00%	0.97%	0.09%	0.07%	1.13%	0.23%	0.00%
14	Precious Stone and Metal	909	448,143	17.41%	15.09%	6.81%	1.11%	0.00%	5.77%	8.16%	0.00%	0.00%	0.91%	0.11%	0.00%	1.13%	0.88%	0.65%	2.53%	0.35%	0.03%
15	Base Metals and Articles	4,412	2,105,399	19.06%	16.43%	10.14%	0.78%	0.00%	2.70%	13.56%	0.07%	0.00%	0.90%	0.10%	0.21%	1.63%	0.42%	0.62%	1.81%	0.15%	0.10%
16	Machinery, Elect Equipment	18,087	8,130,291	16.30%	14.08%	9.07%	0.56%	0.01%	1.71%	10.80%	0.05%	0.02%	0.76%	0.49%	0.02%	1.09%	0.74%	0.82%	2.69%	0.18%	0.04%
17	Transportation Equipment	3,218	1,472,174	16.19%	13.70%	8.54%	0.80%	0.06%	1.84%	12.12%	0.10%	0.07%	0.76%	0.05%	0.07%	1.84%	0.38%	0.35%	1.40%	0.52%	0.02%
18	Hi-Tech Equipment/Instruments	2,752	1,603,928	15.89%	13.84%	7.73%	0.75%	0.00%	2.52%	9.18%	0.04%	0.31%	1.45%	0.22%	0.02%	1.72%	0.63%	0.65%	2.75%	0.08%	0.13%
19	Arms and Ammunition	79	19,123	5.96%	5.07%	3.03%	2.03%	0.00%	0.00%	3.03%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.89%	0.00%
20	Misc Manufactured Articles	3,099	2,393,308	14.05%	12.23%	7.53%	0.92%	0.26%	2.62%	8.55%	0.31%	0.33%	0.80%	0.27%	0.02%	0.83%	0.07%	0.38%	1.43%	0.06%	0.00%
21	Artwork, Antiques	320	85,532	9.54%	7.31%	4.98%	0.00%	0.00%	0.32%	4.29%	0.00%	0.00%	0.75%	0.75%	0.00%	1.44%	0.16%	0.00%	2.90%	0.00%	0.00%
22	Special Legislation	1,420	1,409,116	16.78%	13.29%	7.54%	0.48%	0.00%	1.28%	11.05%	0.15%	0.00%	0.89%	2.56%	0.07%	0.64%	0.82%	0.89%	3.37%	0.00%	0.00%

Table II.B.3. FY 01 CM Discrepancy Rates for AR by HTS Chapter

HTS Chapter	Chapter Description	Sample Size	Population Size	Overall Disc	Cargo Disc	Summ Disc	Qntity Disc	Quota Disc	Markg Disc	Class Disc	OAgy Disc	TM/CR Disc	Value Disc	COrgn Disc	AD/CV Disc	Cleric Disc	Health Disc	Labor Disc	Misc Disc	SPI Disc	Curcy Disc
	All Chapters Combined	57,169	28,995,425	15.36%	12.81%	8.33%	0.86%	0.19%	2.12%	9.75%	0.18%	0.09%	0.89%	0.39%	0.04%	1.29%	0.46%	0.49%	1.98%	0.26%	0.04%
1	Live Animals	230	54,320	18.31%	13.69%	7.29%	0.77%	0.00%	0.00%	15.07%	1.58%	0.00%	1.48%	0.00%	0.00%	0.44%	0.00%	0.14%	0.44%	0.73%	0.00%
2	Meat	242	79,628	8.08%	6.34%	5.16%	0.32%	0.22%	0.00%	5.86%	0.10%	0.00%	0.97%	0.00%	0.00%	0.45%	0.22%	0.00%	0.93%	0.45%	0.10%
3	Fish	593	322,343	10.50%	7.33%	5.29%	1.31%	0.17%	1.27%	4.60%	1.11%	0.00%	0.81%	0.00%	0.00%	2.66%	0.17%	0.00%	1.09%	0.12%	0.00%
4	Dairy Products	86	32,983	9.50%	7.56%	5.85%	1.74%	0.00%	3.91%	5.20%	0.42%	0.00%	0.20%	0.42%	0.00%	0.00%	0.00%	0.00%	0.39%	0.00%	0.00%
5	Misc Animal Products	98	18,588	11.21%	9.54%	4.50%	0.74%	0.00%	1.26%	5.35%	0.00%	0.00%	0.74%	0.00%	0.00%	2.83%	0.00%	0.00%	3.35%	2.41%	0.00%
6	Live Trees, Plants	171	278,327	11.59%	7.95%	6.19%	1.37%	0.00%	0.69%	5.57%	0.00%	0.00%	2.38%	0.00%	0.00%	3.28%	0.69%	0.69%	1.01%	0.00%	0.00%
7	Vegetables	372	428,465	12.19%	8.49%	5.94%	2.15%	0.00%	2.80%	4.83%	0.42%	0.00%	0.61%	0.23%	0.00%	1.58%	0.45%	0.45%	0.69%	0.92%	0.00%
8	Fruits	408	167,532	7.21%	4.99%	3.79%	2.27%	0.00%	0.29%	2.37%	0.00%	0.00%	0.94%	0.46%	0.00%	1.39%	0.10%	0.00%	1.12%	1.02%	0.00%
9	Coffee, Tea, etc.	320	73,177	13.18%	11.54%	6.04%	1.69%	0.00%	1.50%	7.15%	0.00%	0.00%	0.23%	0.43%	0.00%	0.80%	0.34%	0.34%	3.87%	0.80%	0.00%
10	Cereals	138	31,682	17.43%	12.12%	10.73%	6.07%	0.43%	0.87%	8.21%	0.00%	0.00%	1.30%	0.00%	0.00%	1.86%	0.87%	0.00%	0.00%	0.00%	0.00%
11	Grain Products	45	15,900	6.12%	3.24%	5.25%	2.38%	0.00%	0.00%	0.86%	0.00%	0.00%	0.49%	2.38%	0.00%	0.00%	0.00%	0.00%	2.38%	0.00%	0.00%
12	Oil Seeds	102	33,562	16.73%	12.51%	9.00%	0.00%	0.19%	0.93%	11.17%	0.93%	0.00%	3.03%	0.00%	0.00%	0.00%	0.00%	0.00%	1.09%	0.00%	0.00%
13	Gums, Resins	64	10,644	9.33%	9.33%	4.82%	0.00%	0.00%	3.21%	4.50%	0.00%	0.00%	0.00%	0.00%	0.00%	1.61%	1.61%	0.00%	0.00%	0.00%	0.00%
15	Fats	182	24,217	17.53%	14.50%	7.55%	2.56%	0.00%	5.40%	9.32%	0.27%	0.00%	2.18%	0.00%	0.00%	1.13%	0.44%	0.00%	0.00%	0.54%	0.00%
16	Prepared Meat, Fish	253	58,543	12.59%	8.52%	7.24%	1.85%	0.41%	1.13%	7.29%	0.31%	0.00%	2.22%	0.00%	0.00%	0.83%	0.00%	0.00%	0.00%	0.00%	0.00%
17	Sugars	138	38,245	12.49%	5.04%	9.67%	2.06%	0.17%	1.98%	6.85%	0.00%	0.00%	2.50%	0.00%	0.00%	0.00%	0.17%	0.00%	0.00%	0.00%	0.00%
18	Cocoa	114	23,030	13.79%	8.89%	9.64%	0.00%	0.00%	0.99%	7.91%	0.00%	0.00%	1.74%	0.00%	0.00%	3.32%	0.00%	0.00%	1.58%	0.00%	0.00%
19	Prepared Cereals, Flour	198	136,713	17.20%	11.68%	8.33%	3.32%	0.00%	2.81%	10.92%	0.00%	0.00%	1.95%	1.13%	0.00%	2.75%	0.00%	0.00%	0.78%	0.12%	0.00%
20	Prepared Vegie, Fruits	266	171,218	12.55%	9.62%	6.35%	2.02%	0.00%	0.86%	5.61%	0.00%	0.38%	0.77%	0.00%	0.24%	1.16%	0.00%	0.47%	2.44%	0.00%	0.00%
21	Misc Edible	180	81,983	16.87%	14.13%	11.36%	0.97%	2.55%	4.13%	11.38%	1.48%	0.00%	0.00%	0.99%	0.00%	1.32%	0.38%	0.00%	1.97%	0.00%	0.00%
22	Berverages	578	351,161	8.40%	5.03%	5.19%	1.63%	0.19%	0.28%	2.44%	1.63%	0.00%	0.91%	0.28%	0.19%	1.00%	0.51%	0.30%	1.14%	0.00%	0.19%
23	Food Residues	54	19,020	15.34%	10.84%	4.49%	2.83%	0.00%	2.83%	7.79%	0.00%	0.00%	0.00%	0.00%	0.00%	0.94%	0.00%	0.00%	0.94%	0.00%	0.00%
24	Tobacco	77	7,590	23.07%	17.21%	8.18%	1.47%	0.85%	8.80%	6.10%	1.47%	0.00%	0.00%	0.00%	0.00%	1.47%	0.00%	0.00%	0.85%	0.00%	0.00%
25	Salt, Sulfur, Cement	276	50,380	15.91%	12.75%	9.91%	1.74%	0.00%	0.75%	11.88%	0.00%	0.00%	0.87%	0.32%	0.00%	1.12%	0.00%	0.00%	0.87%	0.27%	0.00%
26	Ores, Slag and Ash	41	5,624	12.20%	9.76%	7.32%	0.00%	0.00%	0.00%	12.20%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
27	Oils	87	17,957	7.64%	3.82%	6.11%	0.00%	0.00%	0.00%	4.58%	0.00%	0.00%	1.53%	0.00%	0.00%	3.06%	0.00%	0.00%	0.76%	0.76%	0.00%
28	Inorganic Chemicals	418	86,023	13.14%	10.63%	4.91%	1.26%	0.00%	1.98%	3.71%	0.91%	0.00%	1.45%	0.00%	0.00%	1.26%	3.14%	0.57%	2.00%	0.21%	0.16%
29	Organic Chemicals	988	160,775	14.37%	10.74%	8.92%	0.56%	0.00%	0.93%	8.30%	0.17%	0.00%	0.47%	0.09%	0.00%	1.12%	2.98%	0.26%	1.90%	0.75%	0.00%
30	Pharmaceutical	261	41,264	14.39%	12.59%	9.20%	0.00%	0.00%	2.14%	7.87%	0.33%	0.00%	1.00%	0.58%	0.00%	0.81%	1.66%	0.00%	1.25%	0.66%	0.00%
31	Fertilizers	66	11,152	17.43%	14.44%	7.05%	0.00%	0.00%	0.00%	8.44%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	5.99%	0.00%	2.93%	1.53%	0.00%
32	Inks and Dyes	291	91,704	14.51%	12.49%	8.06%	0.45%	0.00%	1.74%	10.06%	0.29%	0.00%	0.45%	0.00%	0.00%	0.26%	1.30%	0.00%	1.48%	0.00%	0.00%
33	Perfumes, Cosmetics	222	149,337	15.67%	14.09%	7.10%	1.02%	0.00%	2.18%	10.52%	0.43%	1.02%	0.00%	0.00%	0.00%	1.32%	0.51%	0.00%	2.47%	0.00%	0.00%
34	Soaps	170	99,707	21.05%	13.23%	15.54%	0.77%	0.00%	3.14%	13.12%	0.00%	0.00%	2.08%	0.77%	0.77%	0.83%	0.00%	0.00%	1.31%	1.21%	0.00%
35	Starches, Glues	135	34,606	20.73%	17.54%	9.03%	1.05%	0.00%	3.73%	10.90%	1.21%	0.00%	2.10%	0.00%	0.00%	1.05%	2.27%	0.00%	4.41%	0.00%	0.00%
37	Photographic Goods	174	58,554	12.25%	12.25%	6.98%	0.53%	0.00%	1.62%	7.05%	0.00%	0.46%	1.45%	0.00%	0.00%	0.00%	0.00%	0.00%	4.11%	0.00%	0.00%
38	Misc Chemicals	386	114,481	14.12%	11.46%	7.94%	0.00%	0.00%	1.28%	8.99%	0.62%	0.00%	0.84%	0.27%	0.00%	0.59%	0.91%	0.39%	1.95%	0.23%	0.00%
39	Plastics	1,467	866,603	23.02%	18.51%	14.55%	1.47%	0.22%	3.04%	16.79%	0.19%	0.11%	2.10%	0.41%	0.00%	1.68%	0.45%	0.15%	1.70%	0.85%	0.10%
40	Rubber	741	462,219	15.13%	13.41%	7.34%	1.21%	0.00%	3.19%	10.26%	0.08%	0.00%	0.27%	0.60%	0.04%	1.56%	0.00%	0.20%	1.62%	0.00%	0.00%
41	Leather Products	88	33,663	10.40%	8.21%	8.39%	4.09%	0.00%	0.41%	3.71%	0.00%	0.00%	0.00%	0.00%	0.00%	0.59%	0.00%	0.00%	1.60%	0.51%	0.00%
42	Articles of Leather	486	397,702	16.69%	13.65%	10.99%	1.37%	1.48%	3.46%	9.78%	0.04%	0.22%	0.46%	0.22%	0.00%	2.33%	0.00%	0.83%	1.80%	0.47%	0.00%
43	Furskins	78	11,378	14.47%	12.06%	7.23%	2.41%	0.00%	6.03%	4.82%	0.00%	0.00%	2.41%	1.21%	0.00%	0.00%	0.00%	0.00%	2.41%	0.00%	0.00%
44	Articles of Wood	2,646	487,334	18.36%	15.91%	7.76%	0.84%	0.00%	2.77%	12.76%	0.02%	0.00%	0.75%	0.27%	0.30%	1.09%	0.00%	0.06%	1.45%	0.40%	0.02%
46	Basketware	55	39,697	12.17%	8.58%	9.92%	0.00%	0.00%	2.25%	12.17%	0.00%	0.00%	0.00%	0.00%	0.00%	2.25%	0.00%	0.00%	0.00%	0.00%	0.00%

Table II.B.3. FY 01 CM Discrepancy Rates for AR by HTS Chapter

HTS Chapter	Chapter Description	Sample Size	Population Size	Overall Disc	Cargo Disc	Summ Disc	Qntity Disc	Quota Disc	Markg Disc	Class Disc	OAgy Disc	TM/CR Disc	Value Disc	COrgn Disc	AD/CV Disc	Cleric Disc	Health Disc	Labor Disc	Misc Disc	SPI Disc	Curcy Disc
	All Chapters Combined	57,169	28,995,425	15.36%	12.81%	8.33%	0.86%	0.19%	2.12%	9.75%	0.18%	0.09%	0.89%	0.39%	0.04%	1.29%	0.46%	0.49%	1.98%	0.26%	0.04%
47	Pulp	156	22,653	22.62%	20.79%	6.71%	1.24%	0.00%	0.00%	20.78%	0.00%	0.00%	0.00%	0.61%	0.00%	1.24%	0.00%	0.00%	1.21%	0.61%	0.00%
48	Paper Products	605	279,744	18.41%	15.82%	8.01%	0.60%	0.06%	3.52%	11.41%	0.00%	0.00%	0.49%	0.24%	0.00%	1.07%	0.34%	0.49%	1.65%	0.73%	0.00%
49	Printed Books	303	170,018	23.72%	21.56%	8.89%	0.32%	0.00%	1.58%	19.56%	0.00%	0.00%	1.92%	0.00%	0.00%	0.32%	0.32%	0.00%	3.38%	0.58%	0.00%
50	Silk	28	21,197	3.80%	3.80%	0.00%	0.00%	0.00%	0.00%	3.80%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
51	Wool	62	19,819	12.93%	7.20%	11.32%	0.54%	0.00%	4.43%	6.59%	0.00%	0.00%	0.00%	0.00%	0.00%	1.91%	0.00%	0.00%	0.00%	0.00%	0.00%
52	Cotton	256	88,346	16.06%	13.57%	12.14%	0.50%	3.37%	5.91%	8.51%	0.00%	0.00%	0.34%	0.22%	0.00%	1.39%	0.46%	0.46%	0.93%	0.00%	0.00%
53	Natural Fibers	44	14,533	23.84%	23.84%	13.08%	6.54%	2.84%	5.68%	9.77%	0.00%	0.00%	2.84%	0.00%	0.00%	4.69%	1.85%	1.85%	3.96%	0.00%	0.00%
54	Man-Made Filaments	248	87,522	15.90%	12.39%	11.68%	0.00%	0.00%	2.79%	10.14%	0.00%	0.00%	1.13%	0.26%	0.00%	1.32%	0.00%	0.00%	0.26%	0.87%	0.00%
55	Man-Made fibers	187	50,391	16.91%	12.44%	10.78%	0.00%	0.00%	5.58%	8.67%	0.00%	0.00%	0.62%	0.00%	0.57%	2.45%	0.00%	0.57%	1.65%	0.00%	0.00%
56	Ropes, Twine	137	35,304	20.53%	15.65%	10.05%	0.00%	0.78%	0.78%	11.47%	0.00%	0.00%	0.56%	0.78%	0.00%	1.82%	0.48%	1.04%	6.18%	0.00%	0.00%
57	Carpets	173	59,505	16.06%	11.72%	10.65%	0.28%	0.64%	2.88%	8.45%	0.00%	0.00%	0.77%	0.00%	0.00%	2.88%	0.49%	0.00%	1.85%	0.00%	0.00%
58	Woven Fabrics	105	57,348	21.46%	16.84%	9.66%	4.78%	1.13%	5.74%	5.20%	0.00%	0.00%	0.00%	0.00%	0.00%	3.57%	1.13%	1.13%	2.53%	0.77%	0.00%
59	Coated Fabrics	101	32,595	24.23%	13.30%	17.82%	0.43%	0.50%	3.49%	19.48%	0.00%	0.00%	0.00%	0.00%	0.00%	1.26%	0.00%	0.00%	0.83%	0.00%	0.00%
60	Knitted Fabrics	172	62,689	16.90%	15.58%	7.46%	1.04%	2.20%	1.70%	10.48%	0.00%	0.66%	0.00%	0.00%	0.00%	1.32%	0.66%	0.66%	0.66%	0.00%	0.00%
61	Knitted Apparel	1,400	1,129,793	9.43%	7.04%	6.48%	0.57%	0.87%	1.21%	5.08%	0.31%	0.12%	1.04%	0.18%	0.00%	0.77%	0.12%	0.12%	0.84%	0.40%	0.00%
62	Non-Knitted Apparel	1,811	1,455,620	10.37%	7.39%	6.94%	0.84%	1.18%	1.03%	5.68%	0.62%	0.12%	0.41%	0.03%	0.00%	2.08%	0.08%	0.12%	1.52%	0.66%	0.07%
63	NSPF Textile Articles	448	298,611	16.80%	13.15%	11.32%	0.95%	0.40%	4.38%	9.69%	0.00%	0.00%	0.84%	0.19%	0.00%	1.70%	0.26%	0.00%	1.43%	0.45%	0.00%
64	Footwear	932	692,816	9.48%	8.10%	3.60%	0.98%	0.00%	1.24%	6.20%	0.08%	0.00%	0.66%	0.14%	0.00%	0.83%	0.00%	0.29%	1.13%	0.00%	0.00%
65	Headgear	115	75,330	13.85%	12.06%	9.13%	1.78%	1.40%	1.53%	9.13%	0.00%	0.00%	1.40%	0.00%	0.00%	0.00%	0.00%	0.00%	0.38%	0.00%	0.00%
66	Umbrellas	33	14,790	13.04%	10.25%	9.14%	0.00%	0.00%	4.74%	2.79%	0.00%	0.00%	0.00%	6.35%	0.00%	0.00%	0.00%	0.00%	8.30%	0.00%	0.00%
67	Feathers, Down	143	65,098	15.01%	13.25%	6.07%	1.98%	0.00%	4.33%	8.92%	0.00%	0.00%	0.88%	0.00%	0.00%	0.58%	0.00%	0.00%	0.58%	0.00%	0.00%
68	Stone Articles	420	233,124	20.48%	17.91%	11.67%	0.91%	0.00%	5.56%	12.63%	0.22%	0.28%	1.21%	0.00%	0.00%	1.59%	0.00%	0.23%	2.90%	0.23%	0.00%
69	Ceramic Products	567	413,022	12.79%	10.90%	7.13%	1.49%	0.00%	3.72%	5.45%	0.06%	0.25%	1.54%	0.03%	0.00%	0.79%	0.19%	0.03%	0.56%	0.28%	0.00%
70	Glassware	410	262,152	10.53%	8.80%	5.56%	1.15%	0.00%	2.23%	6.65%	0.16%	0.00%	1.06%	0.00%	0.00%	0.71%	0.00%	0.00%	0.46%	0.16%	0.00%
71	Precious Metals/Stones	909	448,143	17.41%	15.09%	6.81%	1.11%	0.00%	5.77%	8.16%	0.00%	0.00%	0.91%	0.11%	0.00%	1.13%	0.88%	0.65%	2.53%	0.35%	0.03%
72	Iron, Steel	1,136	278,182	17.61%	14.07%	10.82%	0.41%	0.02%	0.88%	12.39%	0.00%	0.00%	1.12%	0.11%	0.87%	3.16%	0.32%	0.49%	1.59%	0.52%	0.06%
73	Steel Articles	1,472	891,138	21.03%	18.33%	11.64%	0.77%	0.00%	2.93%	15.86%	0.06%	0.00%	1.04%	0.00%	0.07%	1.61%	0.23%	0.44%	1.81%	0.12%	0.15%
74	Copper	280	105,828	17.63%	15.81%	10.83%	0.24%	0.00%	2.03%	14.11%	0.00%	0.00%	0.29%	0.00%	0.00%	0.97%	0.00%	0.21%	1.19%	0.55%	0.55%
75	Nickel	56	11,725	2.34%	1.17%	1.17%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	1.17%	0.00%	0.00%	1.17%	0.00%	0.00%
76	Aluminum	402	170,329	17.89%	13.61%	11.19%	1.56%	0.00%	2.70%	11.68%	0.00%	0.00%	0.69%	0.26%	0.00%	2.12%	0.24%	0.26%	1.39%	0.00%	0.00%
79	Zinc	54	12,136	28.21%	19.16%	25.93%	0.00%	0.00%	10.11%	18.10%	0.00%	0.00%	0.00%	1.15%	0.00%	5.68%	0.00%	0.00%	0.00%	0.00%	0.00%
81	Other Base Metals	94	16,254	12.62%	7.23%	10.29%	0.84%	0.00%	3.82%	6.39%	0.00%	0.00%	0.00%	1.49%	1.21%	1.85%	0.00%	0.00%	0.84%	0.00%	0.00%
82	Tools	474	298,954	20.66%	18.86%	7.64%	0.27%	0.00%	2.59%	14.45%	0.00%	0.00%	0.47%	0.08%	0.35%	0.95%	1.45%	1.76%	2.34%	0.00%	0.00%
83	Misc Metal Articles	419	312,658	14.83%	13.52%	6.65%	1.48%	0.00%	3.83%	8.55%	0.25%	0.00%	1.18%	0.26%	0.00%	0.85%	0.39%	0.55%	1.96%	0.00%	0.00%
84	Mechanical Apparatus	9,859	3,887,389	16.80%	14.44%	9.25%	0.48%	0.00%	1.75%	11.21%	0.05%	0.02%	0.78%	0.55%	0.04%	0.94%	0.66%	0.74%	2.83%	0.15%	0.07%
85	Electrical Equipment	8,228	4,242,902	15.84%	13.75%	8.91%	0.63%	0.02%	1.67%	10.43%	0.05%	0.02%	0.74%	0.42%	0.00%	1.22%	0.82%	0.90%	2.57%	0.22%	0.01%
86	Locomotives	88	25,988	7.77%	6.37%	3.04%	0.00%	0.00%	1.40%	3.86%	0.00%	0.00%	0.00%	1.11%	0.00%	1.11%	0.00%	0.00%	1.64%	1.40%	0.00%
87	Vehicles	2,692	1,311,902	15.44%	13.05%	7.90%	0.77%	0.07%	1.93%	11.44%	0.08%	0.08%	0.65%	0.00%	0.08%	1.56%	0.31%	0.20%	1.24%	0.50%	0.02%
88	Aircraft	370	124,120	24.73%	21.07%	15.59%	0.91%	0.00%	0.88%	20.16%	0.29%	0.00%	1.87%	0.29%	0.00%	4.80%	1.17%	2.05%	2.82%	0.59%	0.00%
89	Ships, Boats	68	10,165	29.76%	25.71%	19.60%	5.08%	0.00%	3.73%	23.33%	0.00%	0.00%	4.05%	1.35%	0.00%	2.70%	0.00%	0.00%	4.05%	0.00%	0.00%
90	Hi-Tech Instruments	2,375	1,269,404	15.41%	13.16%	8.05%	0.80%	0.00%	2.25%	9.30%	0.05%	0.06%	1.09%	0.27%	0.02%	2.13%	0.79%	0.78%	2.44%	0.11%	0.17%
91	Clocks, Watches	229	291,581	18.05%	17.11%	6.20%	0.40%	0.00%	3.60%	8.80%	0.00%	1.31%	2.93%	0.00%	0.00%	0.18%	0.00%	0.18%	4.22%	0.00%	0.00%
92	Musical Instruments	148	42,944	15.29%	11.51%	8.75%	1.48%	0.00%	2.96%	8.02%	0.00%	0.95%	2.11%	0.33%	0.00%	0.00%	0.00%	0.00%	1.68%	0.00%	0.00%

Table II.B.3. FY 01 CM Discrepancy Rates for AR by HTS Chapter

HTS Chapter	Chapter Description	Sample Size	Population Size	Overall Disc	Cargo Disc	Summ Disc	Qntity Disc	Quota Disc	Markg Disc	Class Disc	OAgy Disc	TM/CR Disc	Value Disc	COrgn Disc	AD/CV Disc	Cleric Disc	Health Disc	Labor Disc	Misc Disc	SPI Disc	Curcy Disc
93	Arms and Ammunition	79	19,123	5.96%	5.07%	3.03%	2.03%	0.00%	0.00%	3.03%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.89%	0.00%
94	Furniture	1,658	1,479,389	13.98%	11.93%	6.62%	1.03%	0.05%	2.77%	7.72%	0.13%	0.07%	1.00%	0.33%	0.00%	1.08%	0.06%	0.46%	1.80%	0.07%	0.00%
95	Toys, Games	1,091	742,536	14.11%	12.82%	9.27%	0.77%	0.51%	2.03%	10.25%	0.61%	0.87%	0.57%	0.13%	0.00%	0.51%	0.00%	0.19%	0.88%	0.05%	0.00%
96	Misc Manufactured Article	350	171,383	14.37%	12.31%	7.84%	0.68%	1.01%	3.92%	8.34%	0.52%	0.31%	0.00%	0.37%	0.26%	0.00%	0.45%	0.52%	0.67%	0.00%	0.00%
97	Art Work	320	85,532	9.54%	7.31%	4.98%	0.00%	0.00%	0.32%	4.29%	0.00%	0.00%	0.75%	0.75%	0.00%	1.44%	0.16%	0.00%	2.90%	0.00%	0.00%
98	Special Class Provisions	1,420	1,409,116	16.78%	13.29%	7.54%	0.48%	0.00%	1.28%	11.05%	0.15%	0.00%	0.89%	2.56%	0.07%	0.64%	0.82%	0.89%	3.37%	0.00%	0.00%

Table II.B.4. FY 01 CM Discrepancy Rates for AR by 4 Digit HTS

4-Digit HTS	Sample Size	Population Size	Overall Disc	Cargo Disc	Summ Disc	Qntity Disc	Quota Disc	Markg Disc	Class Disc	OAgy Disc	TM/CR Disc	Value Disc	COrgn Disc	AD/CV Disc	Cleric Disc	Health Disc	Labor Disc	Misc Disc	SPI Disc	Curcy Disc
All HTS4	57,169	28,995,425	15.36%	12.81%	8.33%	0.86%	0.19%	2.12%	9.75%	0.18%	0.09%	0.89%	0.39%	0.04%	1.29%	0.46%	0.49%	1.98%	0.26%	0.04%
0101	61	4,778	16.39%	11.48%	11.48%	0.00%	0.00%	0.00%	11.48%	0.00%	0.00%	1.64%	0.00%	0.00%	0.00%	0.00%	1.64%	0.00%	3.28%	0.00%
0102	111	27,450	16.64%	9.66%	6.98%	0.88%	0.00%	0.00%	13.13%	1.76%	0.00%	2.64%	0.00%	0.00%	0.88%	0.00%	0.00%	0.88%	0.88%	0.00%
0103	33	12,583	24.07%	24.07%	9.03%	0.00%	0.00%	0.00%	21.06%	3.01%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
0201	38	6,798	21.05%	10.53%	15.79%	0.00%	2.63%	0.00%	10.53%	0.00%	0.00%	2.63%	0.00%	0.00%	5.26%	0.00%	0.00%	0.00%	5.26%	0.00%
0202	83	42,153	1.33%	1.33%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	1.33%	0.00%	0.00%
0203	48	8,076	28.80%	26.58%	13.29%	0.00%	0.00%	0.00%	28.80%	0.00%	0.00%	2.22%	0.00%	0.00%	0.00%	2.22%	0.00%	2.22%	0.00%	0.00%
0210	32	2,507	28.13%	15.63%	21.88%	3.13%	0.00%	0.00%	15.63%	3.13%	0.00%	9.38%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	3.13%
0302	88	82,636	7.95%	4.55%	3.41%	1.14%	0.00%	1.14%	2.27%	2.27%	0.00%	0.00%	0.00%	0.00%	2.27%	0.00%	0.00%	0.00%	0.00%	0.00%
0303	72	12,880	22.22%	19.44%	12.50%	1.39%	0.00%	2.78%	18.06%	1.39%	0.00%	0.00%	0.00%	0.00%	4.17%	0.00%	0.00%	0.00%	0.00%	0.00%
0304	146	79,763	10.52%	9.12%	4.21%	0.70%	0.00%	2.10%	4.91%	0.70%	0.00%	0.70%	0.00%	0.00%	0.70%	0.00%	0.00%	3.51%	0.00%	0.00%
0306	218	114,520	9.69%	6.00%	6.13%	1.73%	0.49%	0.49%	3.91%	0.00%	0.00%	0.98%	0.00%	0.00%	4.40%	0.49%	0.00%	0.63%	0.00%	0.00%
0307	38	20,467	16.41%	13.67%	5.47%	2.73%	0.00%	2.73%	10.94%	2.73%	0.00%	2.73%	0.00%	0.00%	2.73%	0.00%	0.00%	0.00%	0.00%	0.00%
0406	51	28,091	8.19%	6.14%	6.14%	2.05%	0.00%	4.10%	4.10%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
0505	29	2,272	13.79%	13.79%	3.45%	0.00%	0.00%	10.34%	6.90%	0.00%	0.00%	0.00%	0.00%	0.00%	3.45%	0.00%	0.00%	0.00%	0.00%	0.00%
0511	39	11,983	12.97%	10.38%	5.19%	0.00%	0.00%	0.00%	5.19%	0.00%	0.00%	0.00%	0.00%	0.00%	2.59%	0.00%	0.00%	5.19%	2.59%	0.00%
0602	35	30,228	20.66%	20.66%	8.86%	0.00%	0.00%	0.00%	17.71%	0.00%	0.00%	2.95%	0.00%	0.00%	2.95%	0.00%	0.00%	2.95%	0.00%	0.00%
0603	117	223,794	9.40%	5.98%	4.27%	1.71%	0.00%	0.85%	3.42%	0.00%	0.00%	1.71%	0.00%	0.00%	3.42%	0.85%	0.85%	0.85%	0.00%	0.00%
0702	74	72,898	10.81%	6.76%	8.11%	2.70%	0.00%	0.00%	6.76%	1.35%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	1.35%	0.00%	0.00%
0707	29	28,568	20.69%	13.79%	13.79%	6.90%	0.00%	3.45%	3.45%	0.00%	0.00%	3.45%	3.45%	0.00%	10.34%	0.00%	0.00%	3.45%	0.00%	0.00%
0709	102	195,103	7.84%	6.86%	1.96%	0.00%	0.00%	2.94%	3.92%	0.00%	0.00%	0.00%	0.00%	0.00%	0.98%	0.98%	0.98%	0.00%	0.00%	0.00%
0710	35	33,081	2.98%	0.00%	2.98%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	2.98%	0.00%
0713	32	8,048	31.25%	25.00%	18.75%	6.25%	0.00%	6.25%	25.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
0801	37	10,211	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
0802	34	2,663	17.65%	8.82%	11.76%	0.00%	0.00%	2.94%	11.76%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	5.88%	5.88%	0.00%
0803	67	10,740	6.37%	3.19%	3.19%	3.19%	0.00%	0.00%	3.19%	0.00%	0.00%	0.00%	0.00%	0.00%	1.59%	0.00%	0.00%	0.00%	0.00%	0.00%
0804	37	26,683	8.73%	2.91%	5.82%	2.91%	0.00%	0.00%	2.91%	0.00%	0.00%	0.00%	2.91%	0.00%	2.91%	0.00%	0.00%	0.00%	2.91%	0.00%
0805	29	22,521	10.34%	6.90%	6.90%	0.00%	0.00%	0.00%	3.45%	0.00%	0.00%	3.45%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	3.45%	0.00%
0806	43	10,624	4.18%	4.18%	3.31%	0.88%	0.00%	0.88%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	2.43%	0.00%	0.00%	2.43%	0.00%	0.00%
0807	59	44,740	4.40%	4.40%	2.20%	2.20%	0.00%	0.00%	2.20%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	2.20%	0.00%	0.00%
0808	34	5,817	2.94%	2.94%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	2.94%	0.00%	0.00%	0.00%	0.00%
0810	27	21,722	11.11%	7.41%	3.70%	7.41%	0.00%	0.00%	0.00%	0.00%	0.00%	3.70%	0.00%	0.00%	3.70%	0.00%	0.00%	0.00%	0.00%	0.00%
0901	216	37,338	7.20%	3.99%	4.58%	0.92%	0.00%	0.92%	3.56%	0.00%	0.00%	0.46%	0.46%	0.00%	0.92%	0.00%	0.00%	1.35%	0.00%	0.00%
0904	36	9,291	24.29%	24.29%	2.64%	0.00%	0.00%	8.12%	10.83%	0.00%	0.00%	0.00%	0.00%	0.00%	2.64%	2.64%	2.64%	2.71%	0.00%	0.00%
1001	40	5,581	19.40%	19.40%	2.42%	0.00%	2.42%	0.00%	16.97%	0.00%	0.00%	2.42%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
1006	33	13,500	15.15%	6.06%	12.12%	6.06%	0.00%	0.00%	6.06%	0.00%	0.00%	0.00%	0.00%	0.00%	3.03%	0.00%	0.00%	0.00%	0.00%	0.00%
1302	55	9,410	9.09%	9.09%	5.45%	0.00%	0.00%	3.64%	3.64%	0.00%	0.00%	0.00%	0.00%	0.00%	1.82%	1.82%	0.00%	0.00%	0.00%	0.00%
1509	102	14,180	15.68%	14.71%	5.80%	2.90%	0.00%	6.97%	5.80%	0.00%	0.00%	0.97%	0.00%	0.00%	1.93%	0.00%	0.00%	0.00%	0.00%	0.00%
1515	34	4,417	14.71%	5.88%	8.82%	2.94%	0.00%	2.94%	8.82%	0.00%	0.00%	5.88%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
1602	53	12,818	13.21%	7.55%	5.66%	0.00%	1.89%	0.00%	9.43%	0.00%	0.00%	3.77%	0.00%	0.00%	1.89%	0.00%	0.00%	0.00%	0.00%	0.00%
1604	106	27,014	9.49%	5.36%	5.92%	1.79%	0.00%	1.79%	2.68%	0.00%	0.00%	2.35%	0.00%	0.00%	0.89%	0.00%	0.00%	0.00%	0.00%	0.00%
1605	84	16,429	13.07%	9.80%	8.71%	2.18%	0.00%	1.09%	9.80%	1.09%	0.00%	1.09%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
1701	32	2,377	13.56%	13.56%	0.00%	0.00%	2.71%	0.00%	10.85%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	2.71%	0.00%	0.00%	0.00%	0.00%
1704	86	32,448	12.47%	4.95%	10.13%	1.17%	0.00%	2.33%	7.28%	0.00%	0.00%	1.69%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%

Table II.B.4. FY 01 CM Discrepancy Rates for AR by 4 Digit HTS

4-Digit HTS	Sample Size	Population Size	Overall Disc	Cargo Disc	Summ Disc	Qntity Disc	Quota Disc	Markg Disc	Class Disc	OAgy Disc	TM/CR Disc	Value Disc	COrgn Disc	AD/CV Disc	Cleric Disc	Health Disc	Labor Disc	Misc Disc	SPI Disc	Curcy Disc
1806	45	14,996	19.66%	12.14%	14.81%	0.00%	0.00%	0.00%	12.14%	0.00%	0.00%	2.67%	0.00%	0.00%	5.10%	0.00%	0.00%	2.43%	0.00%	0.00%
1902	52	39,872	13.51%	9.65%	9.65%	5.79%	0.00%	3.86%	9.65%	0.00%	0.00%	0.00%	0.00%	0.00%	1.93%	0.00%	0.00%	0.00%	0.00%	0.00%
1904	30	9,939	23.02%	3.00%	20.02%	14.65%	0.00%	0.00%	15.69%	0.00%	0.00%	3.66%	0.00%	0.00%	14.65%	0.00%	0.00%	3.00%	0.00%	0.00%
1905	98	74,795	16.68%	11.31%	6.40%	1.03%	0.00%	3.09%	9.26%	0.00%	0.00%	3.09%	1.03%	0.00%	2.06%	0.00%	0.00%	0.00%	0.23%	0.00%
2001	27	21,220	11.37%	11.37%	0.00%	0.00%	0.00%	0.00%	3.79%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	3.79%	3.79%	0.00%	0.00%
2005	68	39,161	17.68%	12.64%	7.59%	3.36%	0.00%	1.68%	5.91%	0.00%	1.68%	3.36%	0.00%	0.00%	1.68%	0.00%	0.00%	1.68%	0.00%	0.00%
2008	64	53,733	6.64%	4.98%	3.32%	0.00%	0.00%	0.00%	3.32%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	3.32%	0.00%	0.00%
2009	56	25,042	16.34%	9.80%	14.70%	6.53%	0.00%	3.27%	4.90%	0.00%	0.00%	0.00%	0.00%	1.63%	3.27%	0.00%	0.00%	1.63%	0.00%	0.00%
2101	43	10,989	28.93%	24.79%	14.46%	2.07%	4.13%	2.07%	22.73%	0.00%	0.00%	0.00%	2.07%	0.00%	4.13%	0.00%	0.00%	2.07%	0.00%	0.00%
2103	46	36,505	6.61%	6.61%	4.41%	0.00%	0.00%	2.20%	4.41%	2.20%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	2.20%	0.00%	0.00%
2106	54	21,532	24.70%	20.90%	19.00%	1.90%	3.80%	9.50%	19.00%	1.90%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
2202	41	39,248	12.98%	10.47%	5.45%	2.51%	0.00%	2.51%	5.45%	0.00%	0.00%	2.51%	2.51%	0.00%	0.00%	0.00%	0.00%	5.02%	0.00%	0.00%
2203	116	112,268	4.70%	2.82%	3.76%	1.88%	0.00%	0.00%	1.88%	0.00%	0.00%	0.00%	0.00%	0.00%	0.94%	0.94%	0.94%	0.00%	0.00%	0.00%
2204	216	133,563	8.19%	4.93%	4.93%	0.49%	0.49%	0.00%	1.97%	2.96%	0.00%	0.00%	0.00%	0.49%	1.29%	0.00%	0.00%	0.99%	0.00%	0.49%
2208	149	38,222	10.99%	7.39%	5.93%	1.90%	0.00%	0.00%	1.70%	4.03%	0.00%	0.00%	0.00%	0.00%	0.63%	1.90%	0.00%	1.90%	0.00%	0.00%
2401	25	1,804	10.73%	10.73%	3.58%	0.00%	3.58%	0.00%	7.15%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	3.58%	0.00%	0.00%
2402	48	5,342	27.08%	18.75%	8.33%	2.08%	0.00%	12.50%	4.17%	2.08%	0.00%	0.00%	0.00%	0.00%	2.08%	0.00%	0.00%	0.00%	0.00%	0.00%
2523	136	24,330	2.94%	2.21%	2.21%	0.74%	0.00%	0.00%	2.21%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
2712	27	3,704	22.22%	3.70%	22.22%	0.00%	0.00%	0.00%	14.81%	0.00%	0.00%	3.70%	0.00%	0.00%	7.41%	0.00%	0.00%	3.70%	0.00%	0.00%
2713	28	3,841	10.71%	10.71%	3.57%	0.00%	0.00%	0.00%	7.14%	0.00%	0.00%	3.57%	0.00%	0.00%	3.57%	0.00%	0.00%	0.00%	0.00%	0.00%
2804	25	4,472	8.00%	8.00%	0.00%	0.00%	0.00%	0.00%	0.00%	4.00%	0.00%	0.00%	0.00%	0.00%	0.00%	8.00%	4.00%	4.00%	0.00%	0.00%
2811	30	8,068	13.33%	6.67%	10.00%	0.00%	0.00%	3.33%	3.33%	0.00%	0.00%	3.33%	0.00%	0.00%	3.33%	0.00%	0.00%	0.00%	0.00%	0.00%
2818	44	6,156	11.36%	9.09%	4.55%	0.00%	0.00%	0.00%	6.82%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	2.27%	0.00%	2.27%	0.00%	0.00%
2901	60	8,362	19.69%	14.76%	9.84%	0.00%	0.00%	0.00%	11.48%	0.00%	0.00%	0.00%	0.00%	0.00%	1.64%	8.20%	0.00%	0.00%	0.00%	0.00%
2902	27	3,727	7.36%	3.68%	7.36%	0.00%	0.00%	0.00%	3.68%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	3.68%	0.00%	0.00%
2905	52	8,994	13.32%	9.51%	5.71%	1.90%	0.00%	0.00%	5.71%	0.00%	0.00%	3.80%	0.00%	0.00%	0.00%	3.80%	0.00%	3.80%	1.90%	0.00%
2909	30	4,138	6.63%	6.63%	0.00%	0.00%	0.00%	0.00%	3.31%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	3.31%	0.00%	0.00%	0.00%	0.00%
2914	27	4,405	3.70%	3.70%	0.00%	0.00%	0.00%	0.00%	3.70%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
2915	38	12,207	7.69%	5.12%	5.12%	0.00%	0.00%	0.00%	7.69%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
2916	27	6,530	14.81%	14.81%	3.70%	0.00%	0.00%	0.00%	3.70%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	11.11%	0.00%	7.41%	0.00%	0.00%
2917	28	6,772	21.43%	14.29%	14.29%	0.00%	0.00%	7.14%	10.71%	0.00%	0.00%	0.00%	0.00%	0.00%	7.14%	0.00%	3.57%	0.00%	0.00%	0.00%
2918	92	12,871	13.04%	7.61%	7.61%	2.17%	0.00%	2.17%	4.35%	0.00%	0.00%	1.09%	0.00%	0.00%	2.17%	1.09%	0.00%	3.26%	0.00%	0.00%
2921	38	6,922	17.30%	12.13%	12.13%	0.00%	0.00%	2.58%	14.71%	0.00%	0.00%	0.00%	0.00%	0.00%	2.58%	0.00%	0.00%	0.00%	0.00%	0.00%
2922	59	10,094	16.95%	13.56%	10.17%	1.69%	0.00%	0.00%	8.47%	0.00%	0.00%	0.00%	0.00%	0.00%	1.69%	5.08%	1.69%	1.69%	3.39%	0.00%
2924	38	5,508	20.32%	10.16%	15.24%	0.00%	0.00%	0.00%	15.24%	0.00%	0.00%	0.00%	0.00%	0.00%	2.54%	0.00%	0.00%	0.00%	2.54%	0.00%
2930	35	4,801	14.29%	11.43%	8.57%	0.00%	0.00%	0.00%	11.43%	0.00%	0.00%	0.00%	0.00%	0.00%	2.86%	2.86%	0.00%	2.86%	0.00%	0.00%
2931	35	4,917	2.85%	0.00%	2.85%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	2.85%	0.00%
2932	43	5,898	23.26%	18.60%	11.63%	0.00%	0.00%	0.00%	13.95%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	11.63%	0.00%	2.33%	0.00%	0.00%
2933	90	12,534	22.98%	19.70%	15.32%	1.09%	0.00%	0.00%	10.94%	1.09%	0.00%	1.09%	0.00%	0.00%	1.09%	4.38%	0.00%	3.28%	2.19%	0.00%
2934	37	5,098	8.07%	8.07%	8.07%	0.00%	0.00%	0.00%	8.07%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
2936	32	7,265	9.38%	9.38%	6.25%	0.00%	0.00%	0.00%	6.25%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	3.13%	0.00%	0.00%
3004	170	23,479	14.02%	11.68%	8.76%	0.00%	0.00%	2.92%	6.43%	0.58%	0.00%	1.75%	0.00%	0.00%	0.58%	1.75%	0.00%	1.17%	1.17%	0.00%
3005	35	8,316	17.40%	17.40%	14.50%	0.00%	0.00%	0.00%	14.50%	0.00%	0.00%	0.00%	2.90%	0.00%	0.00%	0.00%	0.00%	2.90%	0.00%	0.00%
3006	35	6,588	11.98%	8.99%	5.99%	0.00%	0.00%	3.00%	5.99%	0.00%	0.00%	0.00%	0.00%	0.00%	3.00%	0.00%	0.00%	0.00%	0.00%	0.00%

Table II.B.4. FY 01 CM Discrepancy Rates for AR by 4 Digit HTS

4-Digit HTS	Sample Size	Population Size	Overall Disc	Cargo Disc	Summ Disc	Qntity Disc	Quota Disc	Markg Disc	Class Disc	OAgy Disc	TM/CR Disc	Value Disc	COrgn Disc	AD/CV Disc	Cleric Disc	Health Disc	Labor Disc	Misc Disc	SPI Disc	Curcy Disc
3204	84	35,430	11.55%	10.39%	6.93%	1.15%	0.00%	0.00%	8.08%	0.00%	0.00%	1.15%	0.00%	0.00%	0.00%	1.15%	0.00%	1.15%	0.00%	0.00%
3206	53	12,780	5.66%	3.77%	1.89%	0.00%	0.00%	0.00%	1.89%	0.00%	0.00%	0.00%	0.00%	0.00%	1.89%	1.89%	0.00%	0.00%	0.00%	0.00%
3208	41	11,026	17.07%	14.63%	9.76%	0.00%	0.00%	0.00%	12.20%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	4.88%	0.00%	2.44%	0.00%	0.00%
3214	32	8,606	25.00%	21.88%	18.75%	0.00%	0.00%	3.13%	21.88%	3.13%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
3301	30	11,357	20.00%	20.00%	10.00%	0.00%	0.00%	3.33%	10.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	6.67%	0.00%	3.33%	0.00%	0.00%
3303	42	22,630	7.14%	7.14%	0.00%	2.38%	0.00%	0.00%	2.38%	0.00%	2.38%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	2.38%	0.00%	0.00%
3304	82	78,726	15.82%	14.57%	7.51%	1.25%	0.00%	1.25%	11.26%	0.81%	1.25%	0.00%	0.00%	0.00%	2.50%	0.00%	0.00%	2.50%	0.00%	0.00%
3402	72	45,047	21.06%	11.58%	15.41%	0.00%	0.00%	2.37%	18.69%	0.00%	0.00%	1.19%	0.00%	0.00%	1.19%	0.00%	0.00%	1.19%	0.00%	0.00%
3406	43	30,122	17.88%	10.22%	10.22%	2.55%	0.00%	2.55%	2.55%	0.00%	0.00%	5.11%	2.55%	2.55%	0.00%	0.00%	0.00%	2.55%	0.00%	0.00%
3506	48	18,495	27.05%	23.11%	13.78%	1.97%	0.00%	5.91%	15.24%	0.00%	0.00%	3.94%	0.00%	0.00%	1.97%	1.97%	0.00%	3.94%	0.00%	0.00%
3507	25	4,472	8.00%	8.00%	0.00%	0.00%	0.00%	0.00%	0.00%	4.00%	0.00%	0.00%	0.00%	0.00%	0.00%	4.00%	0.00%	4.00%	0.00%	0.00%
3701	38	16,003	7.47%	7.47%	3.21%	0.00%	0.00%	4.26%	3.21%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
3702	48	15,290	8.79%	8.79%	3.52%	0.00%	0.00%	1.76%	1.76%	0.00%	0.00%	1.76%	0.00%	0.00%	0.00%	0.00%	0.00%	5.28%	0.00%	0.00%
3707	40	11,260	25.87%	25.87%	22.66%	0.00%	0.00%	0.00%	22.66%	0.00%	2.39%	2.39%	0.00%	0.00%	0.00%	0.00%	0.00%	3.21%	0.00%	0.00%
3808	40	5,550	30.23%	22.82%	15.40%	0.00%	0.00%	0.00%	22.82%	2.47%	0.00%	0.00%	0.00%	0.00%	0.00%	2.47%	0.00%	2.47%	0.00%	0.00%
3809	25	7,683	6.73%	0.00%	6.73%	0.00%	0.00%	0.00%	0.00%	3.37%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	3.37%	0.00%
3815	33	4,527	18.18%	9.09%	12.12%	0.00%	0.00%	0.00%	12.12%	0.00%	0.00%	0.00%	0.00%	0.00%	3.03%	3.03%	3.03%	0.00%	0.00%	0.00%
3818	53	25,742	10.75%	10.75%	7.36%	0.00%	0.00%	0.66%	10.10%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	1.16%	0.00%	0.00%	0.00%	0.00%
3822	74	22,403	15.27%	15.27%	4.16%	0.00%	0.00%	4.16%	2.78%	1.39%	0.00%	1.39%	1.39%	0.00%	0.00%	1.39%	1.39%	4.16%	0.00%	0.00%
3824	62	25,363	8.06%	6.45%	4.84%	0.00%	0.00%	0.00%	6.45%	0.00%	0.00%	1.61%	0.00%	0.00%	0.00%	0.00%	0.00%	1.61%	0.00%	0.00%
3901	44	11,101	22.81%	16.02%	11.33%	0.00%	0.00%	2.27%	13.59%	0.00%	0.00%	0.00%	0.00%	0.00%	2.27%	0.00%	0.00%	4.69%	0.00%	0.00%
3903	40	14,565	15.00%	7.50%	12.50%	2.50%	0.00%	2.50%	7.50%	0.00%	0.00%	2.50%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	2.50%	0.00%
3904	34	9,817	17.65%	14.71%	2.94%	0.00%	0.00%	2.94%	11.76%	2.94%	0.00%	0.00%	0.00%	0.00%	2.94%	0.00%	0.00%	2.94%	0.00%	0.00%
3907	152	24,906	20.08%	18.77%	7.86%	1.31%	0.00%	2.62%	11.56%	0.66%	0.00%	1.97%	0.66%	0.00%	0.00%	3.28%	0.00%	2.62%	0.66%	0.00%
3909	28	8,705	25.00%	21.43%	14.29%	0.00%	0.00%	3.57%	10.71%	0.00%	0.00%	0.00%	3.57%	0.00%	3.57%	3.57%	0.00%	7.14%	3.57%	0.00%
3915	25	14,212	28.33%	16.19%	20.24%	0.00%	0.00%	0.00%	28.33%	0.00%	0.00%	0.00%	0.00%	0.00%	4.05%	0.00%	0.00%	0.00%	0.00%	0.00%
3916	29	10,560	34.48%	24.14%	24.14%	0.00%	0.00%	3.45%	20.69%	0.00%	0.00%	3.45%	0.00%	0.00%	3.45%	0.00%	0.00%	3.45%	3.45%	0.00%
3917	53	33,512	25.03%	21.18%	17.33%	1.93%	0.00%	5.78%	17.33%	0.00%	0.00%	1.93%	0.00%	0.00%	1.93%	0.00%	0.00%	0.00%	0.00%	0.00%
3918	35	12,046	18.07%	15.49%	10.32%	0.00%	0.00%	5.16%	10.32%	0.00%	0.00%	0.00%	0.00%	0.00%	2.58%	0.00%	0.00%	0.00%	0.00%	0.00%
3919	43	23,781	32.95%	30.59%	14.12%	0.00%	0.00%	11.77%	23.53%	0.00%	0.00%	0.00%	2.35%	0.00%	2.35%	0.00%	0.00%	0.00%	2.35%	0.00%
3920	126	68,011	16.49%	12.57%	8.64%	0.00%	0.00%	3.93%	9.42%	0.00%	0.00%	1.57%	0.79%	0.00%	0.79%	0.00%	0.00%	1.57%	1.57%	0.00%
3921	54	27,392	27.20%	21.64%	15.40%	2.62%	0.00%	1.97%	19.67%	0.00%	0.00%	3.93%	0.00%	0.00%	2.62%	0.00%	0.00%	1.97%	2.95%	0.00%
3923	180	156,196	21.44%	16.30%	13.14%	1.82%	0.57%	3.43%	15.04%	0.00%	0.00%	1.71%	0.00%	0.00%	0.57%	0.00%	0.00%	1.71%	1.14%	0.57%
3924	139	130,020	24.02%	23.21%	13.47%	1.62%	0.81%	2.44%	21.59%	0.81%	0.00%	0.81%	0.00%	0.00%	0.81%	1.62%	0.81%	1.62%	0.00%	0.00%
3925	58	34,263	20.15%	13.43%	10.07%	1.68%	0.00%	0.00%	15.11%	0.00%	0.00%	5.04%	0.00%	0.00%	3.36%	0.00%	0.00%	0.00%	0.00%	0.00%
3926	261	238,764	25.47%	20.10%	19.26%	2.06%	0.00%	2.89%	19.28%	0.00%	0.41%	2.48%	0.83%	0.00%	2.89%	0.00%	0.00%	2.06%	0.83%	0.00%
4001	30	8,236	2.05%	2.05%	2.05%	0.00%	0.00%	0.00%	2.05%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
4002	43	17,591	6.98%	4.65%	6.98%	0.00%	0.00%	0.00%	6.98%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
4009	50	35,120	10.69%	10.69%	6.11%	0.00%	0.00%	4.58%	8.40%	0.00%	0.00%	0.00%	0.00%	0.00%	2.29%	0.00%	0.00%	0.00%	0.00%	0.00%
4010	32	20,822	9.49%	6.33%	9.49%	0.00%	0.00%	3.16%	9.49%	0.00%	0.00%	0.00%	3.16%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
4011	291	119,516	14.04%	13.35%	5.95%	0.87%	0.00%	0.49%	11.26%	0.00%	0.00%	0.69%	0.00%	0.00%	0.69%	0.00%	0.34%	0.53%	0.00%	0.00%
4015	50	27,982	10.00%	10.00%	8.00%	2.00%	0.00%	2.00%	6.00%	0.00%	0.00%	0.00%	0.00%	0.00%	2.00%	0.00%	0.00%	0.00%	0.00%	0.00%
4016	150	198,947	17.19%	15.10%	6.35%	2.01%	0.00%	4.81%	10.02%	0.00%	0.00%	0.00%	0.96%	0.00%	2.01%	0.00%	0.26%	2.97%	0.00%	0.00%
4104	40	21,553	7.50%	5.00%	5.00%	0.00%	0.00%	0.00%	5.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	2.50%	0.00%	0.00%

Table II.B.4. FY 01 CM Discrepancy Rates for AR by 4 Digit HTS

4-Digit HTS	Sample Size	Population Size	Overall Disc	Cargo Disc	Summ Disc	Qntity Disc	Quota Disc	Markg Disc	Class Disc	OAgy Disc	TM/CR Disc	Value Disc	COrgn Disc	AD/CV Disc	Cleric Disc	Health Disc	Labor Disc	Misc Disc	SPI Disc	Curcy Disc
4202	326	273,450	15.24%	12.45%	10.94%	1.31%	2.15%	3.05%	8.84%	0.00%	0.33%	0.33%	0.33%	0.00%	2.70%	0.00%	0.87%	1.89%	0.00%	0.00%
4203	117	106,196	20.03%	16.49%	11.65%	1.77%	0.00%	4.42%	11.18%	0.00%	0.00%	0.88%	0.00%	0.00%	1.77%	0.00%	0.88%	1.77%	1.77%	0.00%
4303	71	9,739	16.90%	14.08%	8.45%	2.82%	0.00%	7.04%	5.63%	0.00%	0.00%	2.82%	1.41%	0.00%	0.00%	0.00%	0.00%	2.82%	0.00%	0.00%
4401	95	6,699	15.40%	12.51%	5.78%	0.96%	0.00%	0.96%	8.66%	0.00%	0.00%	2.89%	0.00%	0.00%	0.96%	0.00%	0.00%	1.93%	0.00%	0.00%
4403	54	13,581	42.59%	38.89%	12.96%	0.00%	0.00%	3.70%	38.89%	0.00%	0.00%	1.85%	0.00%	0.00%	1.85%	0.00%	0.00%	0.00%	0.00%	0.00%
4407	1,260	140,217	15.79%	13.02%	7.86%	0.40%	0.00%	1.03%	10.40%	0.08%	0.00%	0.63%	0.79%	1.03%	1.59%	0.00%	0.08%	2.06%	0.40%	0.08%
4408	37	8,922	13.51%	8.11%	8.11%	2.70%	0.00%	0.00%	8.11%	0.00%	0.00%	0.00%	0.00%	0.00%	2.70%	0.00%	0.00%	2.70%	0.00%	0.00%
4409	283	31,387	23.73%	20.00%	12.54%	2.37%	0.00%	3.39%	17.63%	0.00%	0.00%	0.68%	0.00%	0.00%	1.02%	0.00%	0.00%	0.68%	0.68%	0.00%
4410	32	17,909	15.63%	12.50%	3.13%	3.13%	0.00%	0.00%	9.38%	0.00%	0.00%	3.13%	0.00%	0.00%	3.13%	0.00%	0.00%	0.00%	0.00%	0.00%
4411	72	21,776	18.52%	17.29%	9.88%	2.47%	0.00%	4.94%	12.35%	0.00%	0.00%	0.00%	0.00%	0.00%	1.24%	0.00%	0.00%	1.24%	1.24%	0.00%
4412	101	31,400	21.78%	17.82%	9.90%	0.99%	0.00%	1.98%	13.86%	0.00%	0.00%	2.97%	0.00%	0.00%	1.98%	0.00%	0.00%	3.96%	0.00%	0.00%
4414	37	22,505	11.53%	11.53%	2.49%	0.00%	0.00%	0.00%	11.53%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
4415	39	21,902	10.22%	10.22%	10.22%	0.00%	0.00%	0.00%	10.22%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
4416	27	2,115	18.52%	14.81%	7.41%	0.00%	0.00%	3.70%	11.11%	0.00%	0.00%	3.70%	3.70%	0.00%	0.00%	0.00%	0.00%	11.11%	0.00%	0.00%
4418	425	55,385	20.88%	16.66%	9.15%	0.94%	0.00%	1.41%	16.19%	0.00%	0.00%	0.94%	0.23%	0.00%	1.41%	0.00%	0.00%	2.58%	0.47%	0.00%
4420	56	42,507	15.54%	15.54%	5.28%	0.00%	0.00%	9.46%	5.68%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.40%	0.00%	0.00%	0.00%
4421	85	50,668	23.96%	21.57%	5.80%	1.14%	0.00%	6.81%	15.89%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	1.25%	0.00%
4602	47	36,783	10.16%	7.28%	7.74%	0.00%	0.00%	2.43%	10.16%	0.00%	0.00%	0.00%	0.00%	0.00%	2.43%	0.00%	0.00%	0.00%	0.00%	0.00%
4703	64	8,954	23.44%	21.88%	6.25%	3.13%	0.00%	0.00%	20.31%	0.00%	0.00%	0.00%	0.00%	0.00%	3.13%	0.00%	0.00%	0.00%	0.00%	0.00%
4707	71	9,739	16.90%	15.49%	7.04%	0.00%	0.00%	0.00%	15.49%	0.00%	0.00%	0.00%	1.41%	0.00%	0.00%	0.00%	0.00%	2.82%	1.41%	0.00%
4802	49	13,516	28.53%	24.45%	8.17%	0.00%	0.00%	6.07%	20.42%	0.00%	0.00%	0.00%	0.00%	0.00%	2.04%	0.00%	0.00%	2.04%	2.04%	0.00%
4805	40	5,487	25.00%	25.00%	15.00%	0.00%	0.00%	5.00%	20.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
4810	81	30,663	13.58%	12.35%	2.47%	0.00%	0.00%	3.70%	6.17%	0.00%	0.00%	0.00%	0.00%	0.00%	2.47%	0.00%	0.00%	2.47%	0.00%	0.00%
4811	58	23,547	26.32%	19.37%	15.64%	1.74%	0.00%	3.47%	15.89%	0.00%	0.00%	1.74%	0.00%	0.00%	1.74%	1.74%	3.47%	1.99%	0.00%	0.00%
4818	52	27,397	10.23%	10.23%	10.23%	0.00%	0.00%	2.40%	2.40%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.62%	0.62%	0.00%	0.00%	0.00%
4819	77	56,059	21.96%	20.59%	6.86%	0.00%	0.00%	4.12%	17.84%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	2.74%	1.37%	0.00%
4820	52	34,057	12.60%	10.66%	6.79%	0.00%	0.50%	5.80%	2.93%	0.00%	0.00%	0.00%	1.93%	0.00%	1.93%	0.00%	0.00%	1.93%	0.00%	0.00%
4823	54	31,000	15.02%	15.02%	11.26%	1.88%	0.00%	1.88%	13.14%	0.00%	0.00%	1.88%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
4901	154	82,552	22.84%	19.58%	10.44%	0.65%	0.00%	3.26%	17.62%	0.00%	0.00%	1.96%	0.00%	0.00%	0.65%	0.65%	0.00%	3.92%	0.00%	0.00%
4911	49	47,936	29.10%	29.10%	8.55%	0.00%	0.00%	0.00%	27.05%	0.00%	0.00%	2.06%	0.00%	0.00%	0.00%	0.00%	0.00%	2.06%	2.06%	0.00%
5007	26	20,917	3.85%	3.85%	0.00%	0.00%	0.00%	0.00%	3.85%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
5205	51	10,770	9.16%	7.33%	7.33%	0.00%	1.83%	3.66%	3.66%	0.00%	0.00%	0.00%	1.83%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
5208	66	28,812	17.93%	13.83%	17.93%	1.54%	1.54%	7.68%	9.22%	0.00%	0.00%	1.04%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
5209	67	25,925	13.78%	10.62%	6.31%	0.00%	1.58%	4.31%	4.73%	0.00%	0.00%	0.00%	0.00%	0.00%	3.16%	1.58%	1.58%	1.58%	0.00%	0.00%
5211	28	11,515	14.74%	14.74%	7.11%	0.00%	3.55%	3.55%	11.18%	0.00%	0.00%	0.00%	0.00%	0.00%	3.55%	0.00%	0.00%	3.55%	0.00%	0.00%
5402	100	22,852	9.94%	3.97%	7.95%	0.00%	0.00%	0.99%	2.98%	0.00%	0.00%	1.99%	0.99%	0.00%	1.99%	0.00%	0.00%	0.99%	0.99%	0.00%
5407	97	51,593	19.75%	16.77%	15.03%	0.00%	0.00%	3.68%	14.00%	0.00%	0.00%	1.04%	0.00%	0.00%	1.04%	0.00%	0.00%	0.00%	1.04%	0.00%
5503	47	13,571	10.64%	6.38%	6.38%	0.00%	0.00%	2.13%	2.13%	0.00%	0.00%	0.00%	0.00%	2.13%	2.13%	0.00%	2.13%	0.00%	0.00%	0.00%
5516	35	12,956	28.11%	19.67%	19.67%	0.00%	0.00%	11.24%	16.86%	0.00%	0.00%	0.00%	0.00%	0.00%	2.81%	0.00%	0.00%	2.81%	0.00%	0.00%
5603	58	11,492	20.60%	13.74%	12.02%	0.00%	0.00%	0.00%	13.74%	0.00%	0.00%	1.72%	0.00%	0.00%	1.72%	0.00%	1.72%	3.43%	0.00%	0.00%
5701	62	17,782	13.94%	5.82%	12.32%	0.95%	0.00%	3.25%	7.45%	0.00%	0.00%	1.62%	0.00%	0.00%	3.25%	1.62%	0.00%	0.00%	0.00%	0.00%
5702	62	25,755	16.17%	11.76%	10.29%	0.00%	1.47%	4.41%	4.41%	0.00%	0.00%	0.00%	0.00%	0.00%	4.41%	0.00%	0.00%	2.94%	0.00%	0.00%
5703	35	12,541	14.52%	14.52%	8.71%	0.00%	0.00%	0.00%	14.52%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
5806	37	16,376	13.51%	8.11%	8.11%	0.00%	0.00%	2.70%	5.41%	0.00%	0.00%	0.00%	0.00%	0.00%	2.70%	0.00%	0.00%	0.00%	2.70%	0.00%

Table II.B.4. FY 01 CM Discrepancy Rates for AR by 4 Digit HTS

4-Digit HTS	Sample Size	Population Size	Overall Disc	Cargo Disc	Summ Disc	Qntity Disc	Quota Disc	Markg Disc	Class Disc	OAgy Disc	TM/CR Disc	Value Disc	COrgn Disc	AD/CV Disc	Cleric Disc	Health Disc	Labor Disc	Misc Disc	SPI Disc	Curcy Disc
5903	26	10,636	15.38%	11.54%	11.54%	0.00%	0.00%	3.85%	11.54%	0.00%	0.00%	0.00%	0.00%	0.00%	3.85%	0.00%	0.00%	0.00%	0.00%	0.00%
5911	33	11,656	29.02%	13.40%	22.77%	0.00%	0.00%	6.25%	22.77%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
6001	47	11,333	17.02%	17.02%	8.51%	2.13%	8.51%	2.13%	10.64%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
6002	125	51,356	16.87%	15.26%	7.23%	0.80%	0.80%	1.61%	10.44%	0.00%	0.80%	0.00%	0.00%	0.00%	1.61%	0.80%	0.80%	0.80%	0.00%	0.00%
6101	31	14,471	2.00%	2.00%	2.00%	0.00%	0.00%	2.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
6102	31	15,290	13.38%	10.70%	8.03%	0.00%	0.00%	2.68%	8.03%	2.68%	0.00%	0.00%	0.00%	0.00%	2.68%	0.00%	0.00%	0.00%	0.00%	0.00%
6103	33	27,147	9.31%	4.24%	9.31%	0.00%	0.00%	0.00%	2.12%	0.00%	0.00%	7.19%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
6104	94	90,907	8.26%	4.64%	4.77%	0.00%	0.00%	1.16%	4.64%	0.00%	0.00%	2.32%	0.00%	0.00%	0.13%	0.00%	0.00%	1.16%	0.00%	0.00%
6105	132	82,503	7.53%	6.52%	6.22%	0.00%	1.29%	0.00%	6.24%	0.00%	0.00%	0.00%	0.00%	0.00%	0.65%	0.00%	0.00%	0.65%	0.00%	0.00%
6106	68	37,687	15.47%	15.47%	14.05%	0.00%	3.66%	1.42%	14.05%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.79%	0.00%	0.00%
6107	35	23,805	20.55%	18.31%	14.76%	8.03%	2.24%	2.24%	4.49%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	5.79%	5.79%	0.00%	0.00%	0.00%
6108	107	97,635	4.21%	4.21%	3.24%	0.54%	1.08%	2.16%	0.97%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.97%	0.00%	0.00%
6109	142	127,017	15.87%	11.83%	12.06%	0.00%	0.00%	1.27%	10.56%	1.27%	0.63%	0.63%	0.00%	0.00%	1.51%	0.00%	0.00%	1.27%	1.27%	0.00%
6110	451	431,073	6.32%	4.43%	3.89%	0.83%	0.80%	0.61%	2.63%	0.00%	0.00%	1.20%	0.24%	0.00%	0.61%	0.00%	0.00%	0.86%	0.24%	0.00%
6111	84	88,893	12.94%	8.31%	8.19%	0.00%	2.26%	1.19%	5.94%	0.00%	0.00%	0.00%	1.07%	0.00%	2.38%	0.00%	0.00%	1.19%	1.19%	0.00%
6112	48	15,776	15.74%	8.75%	12.25%	0.00%	1.75%	1.75%	8.75%	0.00%	0.00%	0.00%	0.00%	0.00%	3.50%	0.00%	0.00%	1.75%	0.00%	0.00%
6114	25	19,302	19.93%	15.94%	7.97%	0.00%	0.00%	3.99%	11.96%	0.00%	0.00%	3.99%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	3.99%	0.00%
6115	44	25,384	14.73%	14.73%	8.42%	2.10%	2.10%	10.52%	4.21%	2.10%	2.10%	2.10%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
6116	41	15,332	16.01%	8.00%	16.01%	2.67%	0.00%	5.34%	8.00%	0.00%	0.00%	2.67%	0.00%	0.00%	2.67%	0.00%	0.00%	0.00%	0.00%	0.00%
6201	107	61,295	15.31%	9.62%	12.18%	1.90%	0.95%	1.90%	6.77%	0.00%	0.00%	0.95%	0.00%	0.00%	4.75%	0.95%	0.95%	0.95%	0.00%	0.00%
6202	78	49,844	7.77%	5.18%	5.18%	1.29%	0.00%	2.59%	5.18%	0.00%	0.00%	0.00%	0.00%	0.00%	1.29%	0.00%	0.00%	1.29%	0.00%	0.00%
6203	314	242,324	7.60%	4.95%	4.76%	1.56%	0.00%	0.00%	3.17%	0.62%	0.32%	0.42%	0.00%	0.00%	1.89%	0.00%	0.00%	0.00%	1.27%	0.00%
6204	543	525,248	11.58%	8.03%	7.74%	1.13%	1.33%	1.00%	5.72%	1.33%	0.08%	0.62%	0.08%	0.00%	2.02%	0.00%	0.00%	2.53%	1.00%	0.00%
6205	178	122,546	8.87%	7.24%	4.79%	0.00%	0.53%	1.58%	4.98%	0.00%	0.00%	0.00%	0.00%	0.00%	2.16%	0.00%	0.53%	1.20%	0.53%	0.00%
6206	140	139,105	6.66%	4.93%	3.63%	0.00%	1.52%	0.00%	5.15%	0.00%	0.00%	0.00%	0.00%	0.00%	0.76%	0.00%	0.00%	1.52%	0.00%	0.00%
6207	33	15,788	16.21%	11.65%	11.65%	0.00%	3.54%	3.54%	9.12%	3.54%	0.00%	0.00%	0.00%	0.00%	3.54%	0.00%	0.00%	0.00%	0.00%	0.00%
6208	49	38,072	19.22%	14.07%	17.18%	2.04%	9.23%	4.08%	13.31%	0.00%	0.00%	0.00%	0.00%	0.00%	5.92%	0.00%	0.00%	3.88%	0.00%	0.00%
6209	54	43,186	5.27%	5.27%	2.22%	0.00%	1.53%	0.00%	4.58%	0.00%	0.69%	0.00%	0.00%	0.00%	0.69%	0.00%	0.00%	0.69%	0.00%	0.00%
6210	62	39,099	3.30%	1.65%	1.65%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	1.65%	1.65%	0.00%
6211	81	80,633	18.33%	14.40%	14.40%	0.00%	2.62%	2.62%	13.09%	0.00%	0.00%	1.31%	0.00%	0.00%	2.62%	0.00%	0.00%	1.31%	0.00%	1.31%
6212	85	46,865	9.39%	5.97%	6.13%	0.00%	0.00%	0.00%	5.97%	0.00%	0.00%	0.00%	0.00%	0.00%	2.28%	1.14%	1.14%	0.00%	0.00%	0.00%
6214	30	23,080	10.00%	10.00%	6.67%	0.00%	0.00%	3.33%	6.67%	0.00%	0.00%	0.00%	0.00%	0.00%	3.33%	0.00%	0.00%	0.00%	0.00%	0.00%
6302	154	120,733	15.18%	11.85%	9.44%	1.07%	0.78%	5.68%	7.59%	0.00%	0.00%	0.64%	0.00%	0.00%	0.64%	0.00%	0.00%	1.91%	0.00%	0.00%
6303	43	26,696	11.70%	9.52%	9.52%	0.00%	0.00%	2.18%	7.34%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	2.18%	0.00%
6304	27	20,360	15.26%	7.63%	11.44%	3.81%	0.00%	7.63%	3.81%	0.00%	0.00%	3.81%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	3.81%	0.00%
6305	32	8,048	15.63%	9.38%	15.63%	0.00%	3.13%	0.00%	12.50%	0.00%	0.00%	0.00%	0.00%	0.00%	3.13%	0.00%	0.00%	0.00%	0.00%	0.00%
6307	143	105,137	20.27%	16.41%	13.63%	0.74%	0.00%	2.22%	14.20%	0.00%	0.00%	0.74%	0.00%	0.00%	3.86%	0.74%	0.00%	1.48%	0.00%	0.00%
6402	210	147,032	10.28%	9.90%	3.05%	0.55%	0.00%	1.14%	7.59%	0.38%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	1.00%	1.52%	0.00%	0.00%
6403	560	438,813	8.31%	6.66%	3.27%	1.30%	0.00%	1.50%	4.55%	0.00%	0.00%	0.89%	0.21%	0.00%	1.00%	0.00%	0.12%	0.91%	0.00%	0.00%
6404	100	82,379	8.68%	7.39%	2.89%	0.00%	0.00%	0.00%	7.08%	0.00%	0.00%	0.80%	0.00%	0.00%	0.80%	0.00%	0.00%	1.60%	0.00%	0.00%
6405	31	13,521	24.38%	21.68%	16.30%	0.00%	0.00%	2.69%	21.68%	0.00%	0.00%	0.00%	0.00%	0.00%	2.69%	0.00%	0.00%	0.00%	0.00%	0.00%
6505	59	59,174	13.20%	11.41%	9.63%	1.78%	1.78%	0.00%	9.63%	0.00%	0.00%	1.78%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
6506	35	10,106	22.86%	20.00%	8.57%	2.86%	0.00%	11.43%	8.57%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	2.86%	0.00%	0.00%
6702	76	42,237	17.11%	14.39%	5.45%	2.72%	0.00%	5.45%	8.94%	0.00%	0.00%	1.36%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%

Table II.B.4. FY 01 CM Discrepancy Rates for AR by 4 Digit HTS

4-Digit HTS	Sample Size	Population Size	Overall Disc	Cargo Disc	Summ Disc	Qntity Disc	Quota Disc	Markg Disc	Class Disc	OAgy Disc	TM/CR Disc	Value Disc	COrgn Disc	AD/CV Disc	Cleric Disc	Health Disc	Labor Disc	Misc Disc	SPI Disc	Curcy Disc
6704	53	20,064	11.32%	11.32%	7.55%	0.00%	0.00%	1.89%	9.43%	0.00%	0.00%	0.00%	0.00%	0.00%	1.89%	0.00%	0.00%	1.89%	0.00%	0.00%
6802	181	96,678	21.55%	18.78%	12.71%	1.66%	0.00%	6.08%	14.36%	0.00%	0.00%	1.11%	0.00%	0.00%	1.11%	0.00%	0.55%	1.11%	0.00%	0.00%
6804	26	13,344	7.69%	7.69%	3.85%	0.00%	0.00%	3.85%	7.69%	0.00%	0.00%	0.00%	0.00%	0.00%	3.85%	0.00%	0.00%	3.85%	0.00%	0.00%
6805	31	16,346	18.84%	18.84%	15.70%	3.14%	0.00%	3.14%	12.56%	0.00%	0.00%	0.00%	0.00%	0.00%	3.14%	0.00%	0.00%	9.42%	0.00%	0.00%
6810	70	43,237	19.77%	16.78%	12.33%	0.00%	0.00%	5.97%	10.81%	0.00%	1.49%	1.49%	0.00%	0.00%	0.00%	0.00%	0.00%	1.47%	0.00%	0.00%
6815	31	16,959	24.64%	18.29%	22.24%	0.00%	0.00%	3.18%	21.46%	0.00%	0.00%	3.18%	0.00%	0.00%	3.18%	0.00%	0.00%	0.00%	3.18%	0.00%
6908	95	179,964	9.57%	8.50%	5.31%	2.13%	0.00%	4.25%	1.06%	0.00%	0.00%	2.13%	0.00%	0.00%	1.06%	0.00%	0.00%	0.00%	0.00%	0.00%
6910	25	23,474	15.21%	3.80%	11.40%	0.00%	0.00%	3.80%	11.40%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
6911	39	26,714	12.40%	12.40%	5.16%	2.42%	0.00%	2.42%	0.00%	0.00%	0.00%	5.16%	0.00%	0.00%	0.00%	0.00%	0.00%	2.42%	0.00%	0.00%
6912	139	76,057	12.08%	10.73%	6.09%	2.02%	0.00%	0.67%	7.36%	0.00%	1.35%	0.67%	0.00%	0.00%	0.67%	0.67%	0.00%	0.67%	0.67%	0.00%
6913	94	61,258	20.71%	18.28%	11.03%	0.00%	0.00%	6.45%	12.10%	0.00%	0.00%	1.08%	0.00%	0.00%	1.08%	0.00%	0.00%	1.08%	1.08%	0.00%
6914	80	11,307	19.41%	18.20%	9.71%	1.21%	0.00%	3.64%	12.13%	0.00%	0.00%	0.00%	1.21%	0.00%	0.00%	2.43%	1.21%	2.43%	0.00%	0.00%
7007	59	25,254	3.24%	3.24%	0.00%	0.00%	0.00%	0.00%	0.00%	1.62%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	1.62%	0.00%	0.00%
7009	32	25,741	7.30%	3.65%	3.65%	3.65%	0.00%	0.00%	3.65%	0.00%	0.00%	3.65%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
7010	42	41,375	7.14%	7.14%	4.76%	0.00%	0.00%	2.38%	7.14%	0.00%	0.00%	0.00%	0.00%	0.00%	2.38%	0.00%	0.00%	0.00%	0.00%	0.00%
7013	98	96,743	13.69%	12.60%	5.63%	1.51%	0.00%	4.36%	7.81%	0.00%	0.00%	1.09%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
7019	41	16,916	19.51%	12.20%	9.76%	0.00%	0.00%	0.00%	14.63%	0.00%	0.00%	2.44%	0.00%	0.00%	0.00%	0.00%	0.00%	2.44%	0.00%	0.00%
7101	33	10,320	24.24%	21.21%	9.09%	0.00%	0.00%	6.06%	21.21%	0.00%	0.00%	3.03%	0.00%	0.00%	0.00%	0.00%	0.00%	3.03%	0.00%	0.00%
7102	230	66,411	4.78%	2.61%	2.17%	0.00%	0.00%	0.00%	4.78%	0.00%	0.00%	0.00%	0.00%	0.00%	0.43%	0.00%	0.00%	0.00%	0.00%	0.00%
7103	119	21,289	10.92%	8.40%	6.72%	0.84%	0.00%	0.84%	7.56%	0.00%	0.00%	0.84%	1.68%	0.00%	0.84%	0.00%	0.00%	1.68%	0.84%	0.00%
7108	42	5,761	11.90%	7.14%	9.52%	4.76%	0.00%	0.00%	9.52%	0.00%	0.00%	0.00%	0.00%	0.00%	2.38%	0.00%	0.00%	2.38%	0.00%	0.00%
7110	41	5,790	23.69%	23.69%	18.95%	9.48%	0.00%	0.00%	9.48%	0.00%	0.00%	2.37%	0.00%	0.00%	4.74%	2.37%	2.37%	7.11%	0.00%	0.00%
7113	258	227,189	21.21%	19.25%	7.07%	1.57%	0.00%	8.64%	8.25%	0.00%	0.00%	1.18%	0.00%	0.00%	1.18%	1.18%	0.79%	2.75%	0.39%	0.00%
7117	80	78,226	16.37%	13.85%	7.56%	0.00%	0.00%	6.30%	7.56%	0.00%	0.00%	0.00%	0.00%	0.00%	1.26%	1.26%	1.26%	3.78%	0.00%	0.00%
7202	27	3,704	7.41%	7.41%	7.41%	0.00%	0.00%	0.00%	7.41%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	3.70%	0.00%	0.00%
7204	37	13,473	21.62%	21.62%	10.81%	0.00%	0.00%	0.00%	21.62%	0.00%	0.00%	2.70%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	5.41%	0.00%
7207	27	1,741	33.33%	18.52%	14.81%	0.00%	0.00%	3.70%	29.63%	0.00%	0.00%	3.70%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
7208	165	30,386	25.67%	21.83%	9.72%	0.59%	0.00%	0.59%	20.06%	0.00%	0.00%	1.18%	0.59%	0.59%	2.36%	0.00%	0.59%	4.43%	0.00%	0.00%
7209	93	17,646	18.17%	12.60%	8.48%	2.91%	0.00%	0.97%	8.73%	0.00%	0.00%	0.00%	0.00%	0.97%	5.57%	0.00%	0.00%	0.97%	0.00%	0.97%
7210	55	23,128	14.13%	8.84%	12.36%	0.00%	0.00%	0.00%	8.84%	0.00%	0.00%	0.00%	0.00%	3.53%	7.07%	0.00%	0.00%	0.00%	1.77%	0.00%
7211	33	12,059	18.47%	15.45%	9.41%	0.00%	0.00%	3.02%	12.43%	0.00%	0.00%	0.00%	0.00%	0.00%	3.02%	0.00%	0.00%	0.00%	0.00%	0.00%
7213	97	7,932	11.18%	8.94%	7.93%	0.00%	0.81%	0.00%	8.13%	0.00%	0.00%	1.63%	1.63%	0.00%	2.24%	0.00%	0.00%	1.63%	0.00%	0.00%
7214	38	6,371	15.37%	10.24%	10.24%	0.00%	0.00%	0.00%	10.24%	0.00%	0.00%	0.00%	0.00%	0.00%	7.68%	0.00%	0.00%	0.00%	2.56%	0.00%
7216	48	20,826	13.16%	7.98%	5.17%	0.00%	0.00%	0.00%	5.17%	0.00%	0.00%	0.85%	0.00%	0.00%	5.17%	1.95%	1.95%	2.59%	0.00%	0.00%
7217	52	27,503	25.47%	19.59%	17.63%	0.00%	0.00%	0.00%	17.63%	0.00%	0.00%	1.96%	0.00%	0.00%	3.92%	0.00%	0.00%	1.96%	0.00%	0.00%
7219	61	17,613	11.48%	9.84%	11.48%	0.00%	0.00%	1.64%	8.20%	0.00%	0.00%	3.28%	0.00%	3.28%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
7222	41	13,607	9.19%	6.89%	4.60%	0.00%	0.00%	0.00%	6.89%	0.00%	0.00%	0.00%	0.00%	0.00%	2.30%	0.00%	0.00%	0.00%	0.00%	0.00%
7223	29	7,799	13.79%	13.79%	6.90%	0.00%	0.00%	3.45%	13.79%	0.00%	0.00%	0.00%	0.00%	3.45%	0.00%	0.00%	0.00%	3.45%	0.00%	0.00%
7225	76	11,267	15.83%	15.83%	7.30%	0.00%	0.00%	1.22%	13.39%	0.00%	0.00%	1.22%	0.00%	0.00%	2.43%	0.00%	0.00%	3.65%	1.22%	0.00%
7228	79	26,379	15.84%	13.48%	13.12%	1.18%	0.00%	1.18%	12.30%	0.00%	0.00%	2.36%	0.00%	0.00%	1.18%	1.78%	2.96%	1.18%	0.00%	0.00%
7302	37	2,386	21.62%	13.51%	10.81%	0.00%	0.00%	0.00%	16.22%	0.00%	0.00%	0.00%	0.00%	0.00%	5.41%	0.00%	0.00%	0.00%	0.00%	0.00%
7304	90	25,535	9.48%	5.27%	5.27%	0.00%	0.00%	2.11%	2.11%	0.00%	0.00%	1.05%	0.00%	2.11%	2.11%	0.00%	0.00%	0.00%	0.00%	0.00%
7305	31	3,450	38.71%	19.35%	35.48%	0.00%	0.00%	0.00%	29.03%	0.00%	0.00%	3.23%	0.00%	3.23%	9.68%	0.00%	0.00%	3.23%	0.00%	0.00%
7306	109	59,230	24.28%	18.45%	10.68%	0.97%	0.00%	2.91%	16.51%	0.00%	0.00%	3.88%	0.00%	0.00%	3.88%	0.97%	0.00%	0.97%	0.00%	0.00%

Table II.B.4. FY 01 CM Discrepancy Rates for AR by 4 Digit HTS

4-Digit HTS	Sample Size	Population Size	Overall Disc	Cargo Disc	Summ Disc	Qntity Disc	Quota Disc	Markg Disc	Class Disc	OAgy Disc	TM/CR Disc	Value Disc	COrgn Disc	AD/CV Disc	Cleric Disc	Health Disc	Labor Disc	Misc Disc	SPI Disc	Curcy Disc
7307	82	65,019	35.83%	31.05%	21.50%	0.00%	0.00%	11.94%	25.08%	0.00%	0.00%	0.00%	0.00%	0.00%	7.17%	0.00%	1.19%	4.78%	0.00%	1.19%
7308	75	50,220	26.55%	21.30%	13.12%	0.00%	0.00%	2.62%	21.30%	0.00%	0.00%	0.00%	0.00%	0.00%	1.31%	0.00%	0.00%	1.31%	1.31%	0.00%
7312	41	15,754	26.19%	20.95%	15.71%	0.00%	0.00%	2.62%	15.71%	0.00%	0.00%	2.62%	0.00%	0.00%	5.24%	0.00%	0.00%	0.00%	2.62%	0.00%
7314	26	17,667	18.98%	15.25%	3.73%	0.00%	0.00%	3.73%	11.53%	0.00%	0.00%	3.73%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
7315	31	16,840	16.00%	16.00%	9.60%	0.00%	0.00%	3.20%	12.80%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
7317	49	42,286	10.87%	7.61%	7.06%	0.00%	0.00%	0.00%	10.87%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
7318	298	223,946	16.27%	13.85%	10.93%	2.05%	0.00%	1.04%	13.16%	0.00%	0.00%	1.35%	0.00%	0.00%	0.98%	0.44%	0.78%	2.02%	0.00%	0.00%
7320	36	55,613	23.17%	22.88%	14.05%	0.00%	0.00%	0.00%	23.17%	0.00%	0.00%	3.44%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
7321	84	35,664	18.77%	17.62%	9.52%	0.00%	0.00%	3.47%	15.30%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	2.79%	0.00%	0.00%
7323	182	73,327	17.87%	16.84%	8.38%	0.00%	0.00%	5.91%	9.18%	0.00%	0.00%	0.52%	0.00%	0.00%	1.03%	0.72%	0.72%	1.55%	0.00%	0.00%
7325	40	21,553	22.50%	20.00%	15.00%	2.50%	0.00%	2.50%	15.00%	2.50%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
7326	172	146,517	23.06%	22.45%	10.43%	0.61%	0.00%	1.83%	18.80%	0.00%	0.00%	0.00%	0.00%	0.00%	0.61%	0.00%	0.61%	3.05%	0.00%	0.00%
7403	31	7,038	6.45%	6.45%	3.23%	0.00%	0.00%	0.00%	3.23%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	3.23%	0.00%	0.00%	0.00%
7407	32	11,652	15.63%	15.63%	12.50%	0.00%	0.00%	0.00%	15.63%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
7408	26	6,724	7.69%	0.00%	7.69%	3.85%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	3.85%	0.00%	0.00%	0.00%	0.00%	0.00%
7409	43	10,125	14.29%	11.91%	2.38%	0.00%	0.00%	0.00%	14.29%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	2.38%	0.00%	0.00%
7419	45	25,475	30.48%	25.91%	22.84%	0.00%	0.00%	2.28%	25.91%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	2.28%	2.28%
7601	78	12,037	12.53%	10.26%	3.42%	1.14%	0.00%	2.28%	6.84%	0.00%	0.00%	0.00%	0.00%	0.00%	2.28%	0.00%	0.00%	1.14%	0.00%	0.00%
7602	36	19,385	13.90%	11.12%	11.12%	2.78%	0.00%	0.00%	8.34%	0.00%	0.00%	0.00%	0.00%	0.00%	2.78%	0.00%	0.00%	0.00%	0.00%	0.00%
7606	52	22,732	19.47%	13.63%	13.63%	0.00%	0.00%	3.89%	7.79%	0.00%	0.00%	0.00%	1.95%	0.00%	1.95%	0.00%	1.95%	3.89%	0.00%	0.00%
7607	40	14,960	18.50%	10.91%	15.18%	0.00%	0.00%	5.85%	12.65%	0.00%	0.00%	0.00%	0.00%	0.00%	2.53%	0.00%	0.00%	0.00%	0.00%	0.00%
7610	37	15,136	29.73%	29.73%	8.11%	0.00%	0.00%	2.70%	24.32%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	2.70%	0.00%	2.70%	0.00%	0.00%
7615	30	11,938	3.17%	3.17%	3.17%	0.00%	0.00%	0.00%	3.17%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
7616	75	55,125	15.35%	8.37%	9.77%	2.79%	0.00%	2.79%	8.37%	0.00%	0.00%	1.40%	0.00%	0.00%	2.79%	0.00%	0.00%	1.40%	0.00%	0.00%
8201	25	14,411	21.37%	17.81%	7.12%	0.00%	0.00%	3.56%	17.81%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
8202	35	16,551	16.21%	16.21%	5.35%	0.00%	0.00%	2.67%	8.02%	0.00%	0.00%	0.00%	0.00%	0.00%	2.67%	0.00%	2.84%	2.67%	0.00%	0.00%
8204	35	17,396	16.50%	16.50%	9.21%	0.00%	0.00%	3.07%	13.43%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
8205	59	61,862	32.42%	29.01%	13.65%	0.00%	0.00%	3.41%	23.89%	0.00%	0.00%	0.00%	0.00%	1.71%	1.71%	3.41%	3.41%	3.41%	0.00%	0.00%
8207	114	62,591	19.82%	18.11%	6.37%	0.00%	0.00%	1.71%	17.26%	0.00%	0.00%	0.00%	0.00%	0.00%	0.85%	1.71%	1.71%	2.56%	0.00%	0.00%
8209	29	10,560	10.34%	10.34%	0.00%	0.00%	0.00%	0.00%	6.90%	0.00%	0.00%	3.45%	0.00%	0.00%	0.00%	3.45%	0.00%	0.00%	0.00%	0.00%
8211	31	19,670	13.40%	10.05%	3.35%	0.00%	0.00%	3.35%	6.70%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	3.35%	0.00%	0.00%	0.00%
8215	39	29,469	19.11%	19.11%	8.19%	2.73%	0.00%	0.00%	10.92%	0.00%	0.00%	0.00%	0.00%	0.00%	2.73%	0.00%	2.73%	2.73%	0.00%	0.00%
8301	55	40,507	17.87%	17.87%	9.93%	1.99%	0.00%	5.96%	13.90%	0.00%	0.00%	1.99%	1.99%	0.00%	0.00%	0.00%	0.00%	3.97%	0.00%	0.00%
8302	182	164,101	15.46%	13.74%	6.29%	1.72%	0.00%	3.43%	8.02%	0.00%	0.00%	1.14%	0.00%	0.00%	1.14%	0.57%	0.57%	2.29%	0.00%	0.00%
8306	66	51,147	13.65%	12.13%	5.73%	1.52%	0.00%	0.00%	9.10%	1.52%	0.00%	1.52%	0.00%	0.00%	0.00%	0.00%	1.52%	1.52%	0.00%	0.00%
8407	112	33,733	26.68%	21.91%	12.31%	0.80%	0.00%	0.00%	11.96%	1.88%	0.00%	0.40%	0.00%	0.00%	10.71%	0.00%	0.00%	13.24%	0.00%	0.00%
8408	49	19,629	13.18%	7.91%	6.59%	1.32%	0.00%	0.00%	5.27%	2.64%	0.00%	0.00%	0.00%	0.00%	1.32%	0.00%	0.00%	2.64%	0.00%	0.00%
8409	229	135,352	14.34%	11.53%	8.37%	0.00%	0.00%	1.85%	9.68%	0.00%	0.00%	1.18%	0.95%	0.00%	1.85%	0.00%	0.00%	0.70%	0.00%	0.00%
8411	475	127,745	12.42%	11.58%	5.47%	0.63%	0.00%	1.05%	7.37%	0.00%	0.00%	2.53%	0.21%	0.00%	1.26%	1.26%	1.47%	2.32%	0.00%	0.00%
8412	62	49,747	15.71%	14.09%	6.47%	0.00%	0.00%	2.77%	9.70%	0.00%	0.00%	1.62%	0.00%	0.00%	1.62%	0.00%	0.00%	3.23%	0.00%	0.00%
8413	206	157,671	11.88%	10.35%	6.49%	0.87%	0.00%	3.42%	5.27%	0.00%	0.00%	1.53%	0.51%	0.00%	0.00%	0.00%	0.00%	2.30%	0.00%	0.00%
8414	395	136,773	20.43%	18.84%	9.30%	0.88%	0.00%	3.64%	12.85%	0.23%	0.00%	0.45%	0.23%	0.00%	0.68%	1.46%	1.46%	2.06%	0.00%	0.00%
8415	116	49,956	9.27%	7.09%	4.63%	0.00%	0.00%	0.82%	6.81%	0.00%	0.00%	0.82%	0.00%	0.00%	0.00%	0.82%	0.82%	0.00%	0.00%	0.00%
8418	99	36,647	10.93%	8.94%	3.97%	0.00%	0.00%	1.99%	7.95%	0.00%	0.00%	0.99%	0.00%	0.00%	0.00%	0.00%	0.99%	0.00%	0.00%	0.00%

Table II.B.4. FY 01 CM Discrepancy Rates for AR by 4 Digit HTS

4-Digit HTS	Sample Size	Population Size	Overall Disc	Cargo Disc	Summ Disc	Qntity Disc	Quota Disc	Markg Disc	Class Disc	OAgy Disc	TM/CR Disc	Value Disc	COrgn Disc	AD/CV Disc	Cleric Disc	Health Disc	Labor Disc	Misc Disc	SPI Disc	Curcy Disc
8419	142	58,529	19.84%	12.79%	12.69%	0.00%	0.00%	0.70%	13.49%	0.00%	0.00%	1.41%	0.00%	0.00%	2.11%	1.41%	1.41%	2.11%	0.70%	0.00%
8421	214	114,486	14.74%	13.27%	7.59%	0.00%	0.00%	1.51%	9.48%	0.08%	0.00%	0.14%	0.00%	0.00%	1.51%	0.00%	0.00%	4.62%	0.46%	0.00%
8422	134	37,343	15.09%	9.36%	9.33%	0.00%	0.00%	3.60%	10.05%	0.00%	0.00%	0.00%	0.00%	0.00%	2.88%	0.72%	0.72%	0.72%	0.00%	0.00%
8423	35	9,413	28.57%	25.71%	17.14%	0.00%	0.00%	8.57%	17.14%	0.00%	0.00%	0.00%	0.00%	0.00%	2.86%	0.00%	0.00%	5.71%	0.00%	0.00%
8424	96	37,148	25.18%	23.14%	12.23%	0.00%	0.00%	4.08%	20.38%	0.00%	0.00%	0.00%	1.02%	0.00%	1.02%	0.00%	0.00%	3.78%	0.00%	0.00%
8425	50	19,690	18.82%	18.82%	5.77%	0.00%	0.00%	3.85%	13.05%	0.00%	0.00%	1.92%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
8427	59	12,394	17.51%	14.33%	12.74%	1.59%	0.00%	3.18%	12.74%	0.00%	0.00%	0.00%	0.00%	0.00%	6.37%	0.00%	0.00%	1.59%	0.00%	0.00%
8428	370	24,942	19.87%	16.77%	10.34%	3.58%	0.00%	1.29%	12.41%	0.00%	0.00%	1.29%	0.26%	0.00%	0.52%	0.00%	0.00%	2.84%	0.52%	0.26%
8429	78	24,029	7.45%	5.21%	4.47%	0.74%	0.00%	0.74%	4.47%	0.00%	0.00%	0.74%	0.00%	0.00%	0.00%	0.00%	0.00%	0.74%	0.00%	0.00%
8430	40	5,487	27.50%	25.00%	12.50%	0.00%	0.00%	2.50%	15.00%	0.00%	0.00%	2.50%	0.00%	0.00%	0.00%	0.00%	5.00%	5.00%	0.00%	2.50%
8431	202	155,561	14.76%	10.91%	10.30%	1.27%	0.00%	1.73%	10.03%	0.00%	0.00%	1.27%	0.00%	0.00%	1.73%	0.00%	0.42%	1.03%	0.42%	0.00%
8432	53	17,338	26.35%	21.36%	9.99%	0.00%	0.00%	0.00%	26.35%	0.00%	0.00%	0.00%	0.00%	0.00%	1.67%	0.00%	0.00%	0.00%	0.00%	0.00%
8433	75	22,539	18.37%	15.74%	8.75%	0.88%	0.00%	2.63%	7.00%	0.00%	0.00%	0.88%	0.00%	0.00%	0.88%	0.88%	0.88%	7.86%	0.88%	0.88%
8438	60	15,459	26.77%	26.77%	13.38%	3.35%	0.00%	1.67%	15.06%	0.00%	0.00%	1.67%	0.00%	0.00%	1.67%	0.00%	0.00%	6.69%	0.00%	0.00%
8439	54	7,742	33.21%	26.13%	20.81%	0.00%	0.00%	0.00%	27.90%	0.00%	0.00%	1.77%	3.54%	0.00%	1.77%	0.00%	0.00%	5.24%	1.77%	3.47%
8441	77	10,042	19.06%	16.85%	15.74%	1.11%	0.00%	0.00%	15.74%	0.00%	0.00%	0.00%	0.00%	0.00%	2.44%	1.11%	0.00%	3.32%	0.00%	1.11%
8442	36	7,011	14.53%	9.42%	10.21%	0.00%	0.00%	2.55%	10.21%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	4.32%	4.32%	0.00%	0.00%	0.00%
8443	139	26,051	21.33%	18.04%	14.84%	0.00%	0.00%	1.31%	15.83%	0.00%	0.00%	1.60%	0.00%	0.66%	1.42%	0.00%	0.00%	4.56%	0.00%	0.94%
8448	28	22,526	3.57%	3.57%	0.00%	0.00%	0.00%	0.00%	3.57%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
8451	64	13,546	11.85%	8.06%	7.58%	1.26%	0.00%	0.00%	9.32%	0.00%	0.00%	0.00%	0.00%	0.00%	2.53%	0.00%	0.00%	0.00%	0.00%	0.00%
8452	29	12,646	17.96%	11.97%	11.97%	0.00%	0.00%	5.99%	2.99%	0.00%	0.00%	0.00%	8.98%	0.00%	0.00%	2.99%	2.99%	0.00%	0.00%	0.00%
8454	35	4,716	27.55%	27.55%	13.78%	0.00%	0.00%	0.00%	24.80%	0.00%	0.00%	2.76%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
8455	41	5,665	19.37%	14.53%	14.53%	4.84%	0.00%	2.42%	12.11%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
8456	26	4,230	9.15%	6.10%	6.10%	1.52%	0.00%	0.00%	6.10%	0.00%	0.00%	3.05%	0.00%	0.00%	0.00%	0.00%	0.00%	1.52%	0.00%	1.52%
8457	38	3,345	7.71%	5.78%	3.86%	0.00%	0.00%	0.00%	7.71%	0.00%	0.00%	1.93%	0.00%	0.00%	1.93%	0.00%	0.00%	3.86%	0.00%	0.00%
8458	52	4,583	16.89%	14.07%	11.26%	1.41%	0.00%	0.00%	12.66%	0.00%	0.00%	0.00%	0.00%	0.00%	2.81%	0.00%	0.00%	1.41%	0.00%	0.00%
8459	54	4,230	31.48%	27.78%	14.81%	0.00%	0.00%	3.70%	29.63%	0.00%	0.00%	0.00%	1.85%	0.00%	0.00%	1.85%	1.85%	0.00%	0.00%	0.00%
8460	51	3,995	19.61%	13.73%	11.76%	0.00%	0.00%	3.92%	9.80%	0.00%	0.00%	1.96%	0.00%	0.00%	3.92%	1.96%	0.00%	1.96%	1.96%	0.00%
8461	37	4,238	15.07%	15.07%	2.51%	0.00%	0.00%	0.00%	10.04%	0.00%	0.00%	2.51%	0.00%	0.00%	0.00%	0.00%	0.00%	5.02%	0.00%	0.00%
8462	144	12,204	22.72%	18.49%	11.10%	0.00%	0.00%	2.11%	20.61%	0.00%	0.00%	0.53%	0.00%	0.53%	0.00%	0.00%	0.00%	3.17%	0.00%	0.00%
8463	26	1,677	15.38%	7.69%	7.69%	3.85%	0.00%	0.00%	7.69%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	3.85%	0.00%	0.00%
8464	59	4,636	20.59%	18.90%	18.90%	0.00%	0.00%	1.69%	17.21%	0.00%	0.00%	1.69%	0.00%	0.00%	1.69%	1.69%	1.69%	0.00%	0.00%	0.00%
8465	154	20,008	19.71%	18.04%	9.02%	0.56%	0.00%	0.56%	14.58%	0.00%	0.00%	1.11%	0.00%	0.00%	0.00%	0.00%	0.00%	3.46%	0.00%	0.00%
8466	156	100,018	24.30%	21.39%	8.08%	0.00%	0.00%	1.55%	16.62%	0.00%	0.00%	0.00%	0.00%	0.00%	0.78%	0.78%	0.78%	4.58%	0.78%	0.78%
8467	81	47,688	14.08%	11.74%	9.39%	0.00%	0.00%	1.17%	14.08%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
8470	73	19,542	24.45%	19.31%	15.44%	0.00%	0.00%	7.72%	10.30%	0.00%	0.00%	0.00%	2.57%	0.00%	1.29%	0.00%	0.00%	5.15%	0.00%	0.00%
8471	1,897	756,228	12.61%	11.05%	7.03%	0.47%	0.00%	0.84%	7.32%	0.00%	0.04%	0.56%	0.82%	0.00%	0.62%	1.30%	1.36%	2.75%	0.04%	0.00%
8472	66	23,013	9.86%	7.76%	5.25%	0.00%	0.00%	0.00%	8.41%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.40%	0.40%	1.05%	0.00%	0.00%
8473	1,272	466,172	22.26%	20.08%	13.01%	0.27%	0.00%	2.62%	15.79%	0.07%	0.13%	0.40%	1.40%	0.00%	1.16%	1.18%	1.13%	4.15%	0.07%	0.07%
8474	96	23,218	29.17%	21.88%	21.88%	0.00%	0.00%	5.21%	22.92%	0.00%	0.00%	2.08%	1.04%	0.00%	1.04%	1.04%	0.00%	4.17%	0.00%	0.00%
8476	27	4,619	22.22%	22.22%	3.70%	0.00%	0.00%	3.70%	14.81%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	3.70%	0.00%	0.00%
8477	202	36,772	19.90%	16.98%	11.62%	1.95%	0.00%	0.00%	15.03%	0.00%	0.00%	2.92%	0.00%	0.00%	0.49%	0.49%	0.49%	0.49%	0.00%	0.00%
8479	321	93,943	24.93%	19.44%	15.58%	0.31%	0.00%	1.54%	20.01%	0.00%	0.00%	1.23%	1.23%	0.00%	0.92%	0.92%	0.92%	2.46%	0.31%	0.31%
8480	124	21,372	26.42%	20.81%	15.21%	0.80%	0.00%	1.60%	20.81%	0.00%	0.00%	0.80%	0.80%	0.00%	2.40%	0.00%	0.00%	2.40%	0.00%	0.00%

Table II.B.4. FY 01 CM Discrepancy Rates for AR by 4 Digit HTS

4-Digit HTS	Sample Size	Population Size	Overall Disc	Cargo Disc	Summ Disc	Qntity Disc	Quota Disc	Markg Disc	Class Disc	OAgy Disc	TM/CR Disc	Value Disc	COrgn Disc	AD/CV Disc	Cleric Disc	Health Disc	Labor Disc	Misc Disc	SPI Disc	Curcy Disc
8481	296	289,948	14.02%	11.47%	8.42%	0.00%	0.00%	2.18%	8.78%	0.00%	0.00%	0.36%	0.36%	0.00%	1.09%	0.00%	0.00%	2.73%	0.36%	0.00%
8482	158	121,117	12.21%	9.59%	6.00%	0.00%	0.00%	2.72%	5.13%	0.00%	0.00%	0.00%	0.87%	0.87%	0.00%	0.00%	0.00%	3.49%	0.00%	0.00%
8483	230	320,685	17.93%	16.87%	9.06%	1.08%	0.00%	0.60%	13.70%	0.00%	0.00%	1.19%	0.00%	0.00%	0.00%	0.00%	0.60%	2.98%	0.00%	0.00%
8484	29	17,101	17.47%	17.47%	5.76%	0.00%	0.00%	0.00%	8.84%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	8.63%	8.63%	5.76%	0.00%	0.00%
8501	229	168,025	16.74%	14.35%	6.39%	0.58%	0.00%	0.96%	12.48%	0.00%	0.00%	0.00%	0.53%	0.00%	0.96%	0.48%	0.48%	3.31%	0.48%	0.00%
8502	42	5,761	9.52%	4.76%	7.14%	2.38%	0.00%	0.00%	4.76%	0.00%	0.00%	2.38%	0.00%	0.00%	2.38%	0.00%	0.00%	0.00%	0.00%	0.00%
8503	85	46,313	21.55%	16.58%	8.69%	1.24%	0.00%	3.73%	12.85%	1.24%	0.00%	1.24%	0.00%	0.00%	3.73%	0.00%	0.00%	2.48%	0.00%	0.00%
8504	488	319,608	14.42%	12.00%	8.40%	1.11%	0.00%	1.24%	7.91%	0.00%	0.21%	0.96%	0.28%	0.00%	2.34%	0.24%	0.41%	3.79%	0.00%	0.00%
8505	35	25,005	9.23%	9.23%	6.15%	0.00%	0.00%	0.00%	9.23%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
8506	50	12,565	12.26%	10.45%	3.61%	0.00%	0.00%	3.61%	8.64%	0.00%	0.00%	0.00%	1.81%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
8507	152	63,980	15.25%	14.51%	7.84%	0.00%	0.00%	5.33%	8.15%	0.00%	0.00%	0.00%	1.78%	0.00%	0.59%	0.59%	0.00%	3.55%	0.00%	0.00%
8508	76	38,852	16.25%	9.56%	8.82%	2.12%	1.06%	1.06%	10.94%	0.00%	0.00%	1.06%	0.00%	0.00%	1.06%	2.12%	1.06%	1.06%	0.00%	0.00%
8509	87	31,163	3.99%	2.00%	2.00%	1.00%	0.00%	1.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	2.00%	0.00%	0.00%	1.00%	0.00%	0.00%
8510	31	5,602	21.38%	15.27%	9.16%	0.00%	0.00%	9.16%	6.11%	0.00%	0.00%	3.05%	0.00%	0.00%	0.00%	0.00%	3.05%	3.05%	0.00%	0.00%
8511	136	79,339	7.91%	7.09%	3.24%	0.00%	0.00%	3.25%	3.03%	0.00%	0.00%	0.81%	0.81%	0.00%	0.81%	0.81%	0.00%	1.63%	0.81%	0.00%
8512	105	80,754	11.37%	9.54%	3.32%	0.33%	0.00%	2.98%	4.24%	0.00%	0.00%	1.50%	1.83%	0.00%	0.00%	0.00%	0.00%	2.33%	0.00%	0.00%
8514	39	10,257	12.26%	9.81%	9.81%	0.00%	0.00%	0.00%	7.36%	0.00%	0.00%	0.00%	2.45%	0.00%	0.00%	0.00%	0.00%	4.90%	0.00%	0.00%
8515	71	16,246	25.27%	23.87%	11.18%	0.00%	0.00%	1.40%	21.07%	0.00%	0.00%	1.40%	0.00%	0.00%	1.40%	0.00%	0.00%	2.80%	1.40%	0.00%
8516	180	106,593	6.71%	6.16%	4.53%	0.55%	0.00%	0.16%	5.46%	0.00%	0.00%	0.55%	0.00%	0.00%	0.55%	0.00%	0.00%	0.55%	0.00%	0.00%
8517	647	238,895	23.49%	20.84%	12.30%	0.21%	0.00%	1.75%	15.15%	0.42%	0.00%	1.36%	0.65%	0.00%	2.01%	4.09%	4.71%	4.65%	0.53%	0.00%
8518	179	110,501	12.70%	10.68%	7.44%	0.58%	0.00%	3.50%	7.44%	0.00%	0.00%	0.58%	0.00%	0.00%	0.00%	0.00%	0.00%	0.58%	0.00%	0.00%
8519	71	20,462	3.54%	3.54%	0.00%	1.18%	0.00%	0.00%	2.36%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
8520	37	9,224	13.58%	13.58%	7.76%	0.00%	0.00%	0.00%	11.64%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	1.94%	0.00%	0.00%
8521	115	19,361	5.78%	5.78%	0.72%	2.89%	0.00%	1.45%	0.72%	0.00%	0.00%	0.72%	0.00%	0.00%	0.00%	0.00%	0.72%	1.45%	0.00%	0.00%
8522	46	18,348	19.89%	17.82%	6.19%	0.00%	0.00%	0.00%	17.82%	0.00%	0.00%	0.00%	2.06%	0.00%	2.06%	0.00%	0.00%	2.06%	0.00%	0.00%
8523	107	31,584	18.93%	18.11%	8.19%	0.00%	0.00%	5.73%	7.51%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.82%	7.33%	0.00%	0.00%
8524	108	90,632	22.24%	19.29%	13.78%	1.97%	0.00%	6.49%	10.83%	0.00%	0.00%	1.97%	1.97%	0.00%	2.95%	0.00%	0.00%	6.89%	0.00%	0.00%
8525	645	145,116	15.04%	13.06%	8.53%	0.54%	0.00%	1.56%	11.38%	0.00%	0.00%	0.56%	0.22%	0.00%	0.67%	0.11%	0.11%	1.44%	0.34%	0.22%
8526	61	23,734	6.89%	6.89%	4.60%	0.75%	0.00%	1.53%	4.60%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
8527	270	79,884	11.25%	5.86%	8.33%	0.67%	0.00%	0.00%	4.29%	0.00%	0.00%	0.67%	0.34%	0.00%	5.39%	0.00%	0.22%	1.68%	0.00%	0.00%
8528	152	39,642	10.09%	7.64%	5.33%	1.01%	0.00%	0.61%	6.84%	0.00%	0.00%	0.61%	0.00%	0.00%	1.22%	0.00%	0.61%	1.01%	0.61%	0.00%
8529	261	138,288	17.87%	15.57%	11.62%	1.52%	0.00%	1.48%	10.76%	0.37%	0.00%	1.31%	0.37%	0.00%	0.94%	1.37%	1.37%	2.56%	0.74%	0.00%
8531	162	81,560	19.54%	18.39%	13.10%	0.77%	0.00%	0.63%	15.62%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	1.89%	1.89%	0.63%	0.00%	0.00%
8532	97	61,380	13.95%	12.90%	6.31%	1.05%	0.00%	1.05%	8.70%	0.00%	0.00%	2.10%	0.00%	0.00%	0.00%	0.00%	0.00%	4.20%	0.00%	0.00%
8533	67	66,684	18.57%	18.57%	11.08%	0.00%	0.00%	1.58%	15.40%	0.00%	0.00%	0.00%	0.00%	0.00%	1.58%	0.00%	0.00%	3.61%	0.00%	0.00%
8534	269	142,780	21.56%	20.44%	15.52%	0.37%	0.00%	2.90%	16.79%	0.00%	0.00%	0.37%	0.00%	0.00%	1.12%	1.12%	1.12%	2.24%	0.37%	0.00%
8535	35	14,087	36.11%	30.30%	11.62%	2.90%	0.00%	8.71%	30.30%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
8536	401	400,596	20.43%	17.41%	11.06%	0.79%	0.00%	1.48%	15.36%	0.00%	0.00%	0.26%	0.26%	0.00%	0.91%	1.05%	1.32%	2.78%	0.00%	0.00%
8537	174	95,777	10.98%	9.31%	6.87%	0.00%	0.00%	1.12%	7.64%	0.00%	0.00%	0.56%	0.00%	0.00%	2.79%	0.00%	0.00%	1.67%	0.00%	0.00%
8538	98	91,321	15.42%	15.42%	6.17%	1.03%	0.00%	3.08%	10.28%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	2.06%	2.06%	1.03%	0.00%	0.00%
8539	137	74,597	19.91%	15.61%	9.31%	0.93%	0.00%	0.93%	10.02%	0.00%	0.00%	0.00%	0.00%	0.00%	5.01%	0.72%	1.43%	3.94%	0.00%	0.00%
8540	60	16,943	14.24%	12.71%	6.11%	0.00%	0.00%	0.00%	4.58%	0.00%	0.00%	1.53%	0.00%	0.00%	0.00%	0.00%	0.00%	9.66%	0.00%	0.00%
8541	344	195,476	14.97%	13.19%	8.96%	1.49%	0.00%	2.98%	9.85%	0.00%	0.00%	0.89%	0.30%	0.00%	0.89%	0.06%	0.00%	2.44%	0.30%	0.00%
8542	1,183	589,132	15.20%	13.86%	9.34%	0.24%	0.09%	0.93%	9.11%	0.00%	0.00%	1.27%	0.80%	0.00%	0.94%	1.38%	1.45%	2.61%	0.09%	0.00%

Table II.B.4. FY 01 CM Discrepancy Rates for AR by 4 Digit HTS

4-Digit HTS	Sample Size	Population Size	Overall Disc	Cargo Disc	Summ Disc	Qntity Disc	Quota Disc	Markg Disc	Class Disc	OAgy Disc	TM/CR Disc	Value Disc	COrgn Disc	AD/CV Disc	Cleric Disc	Health Disc	Labor Disc	Misc Disc	SPI Disc	Curcy Disc
8543	143	84,809	14.89%	11.27%	8.95%	0.66%	0.00%	0.00%	12.25%	0.00%	0.00%	0.66%	0.00%	0.00%	1.32%	0.66%	0.00%	1.98%	0.62%	0.00%
8544	442	312,691	11.28%	9.21%	6.68%	0.00%	0.00%	0.71%	8.49%	0.00%	0.00%	0.49%	0.41%	0.00%	0.49%	0.25%	0.49%	1.40%	0.55%	0.00%
8607	51	18,571	7.84%	5.88%	1.96%	0.00%	0.00%	1.96%	3.92%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	1.96%	0.00%
8701	56	22,575	10.72%	9.53%	7.15%	0.00%	0.00%	0.00%	9.53%	1.19%	0.00%	1.19%	0.00%	0.00%	0.00%	0.00%	0.00%	1.19%	0.00%	0.00%
8702	28	4,568	10.71%	3.57%	7.14%	0.00%	0.00%	0.00%	7.14%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	3.57%	0.00%	0.00%
8703	837	256,600	6.91%	5.67%	2.97%	0.10%	0.00%	0.00%	5.79%	0.10%	0.00%	0.29%	0.00%	0.00%	0.83%	0.05%	0.00%	0.59%	0.00%	0.00%
8704	126	55,443	12.61%	11.57%	3.65%	0.00%	0.00%	0.00%	11.05%	1.04%	0.00%	0.52%	0.00%	0.00%	0.52%	0.00%	0.00%	0.00%	0.52%	0.52%
8707	27	4,144	28.25%	25.68%	5.14%	0.00%	0.00%	0.00%	25.68%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	5.14%	0.00%	0.00%
8708	1,299	839,223	18.39%	15.52%	9.73%	1.08%	0.09%	2.79%	13.26%	0.00%	0.09%	0.73%	0.00%	0.12%	2.10%	0.28%	0.28%	1.49%	0.75%	0.00%
8711	78	12,022	12.55%	12.55%	4.56%	0.00%	1.14%	2.28%	5.70%	0.00%	0.00%	1.14%	0.00%	0.00%	0.00%	3.42%	0.00%	4.56%	0.00%	0.00%
8712	51	18,302	11.89%	8.49%	5.10%	0.00%	0.00%	3.40%	1.70%	0.00%	1.70%	1.70%	0.00%	0.00%	1.70%	1.70%	0.00%	1.70%	0.00%	0.00%
8714	71	45,247	14.61%	11.18%	8.58%	1.72%	0.00%	0.00%	10.74%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	1.72%	0.44%	0.00%	0.00%	0.00%
8716	65	39,149	15.72%	14.30%	7.15%	0.00%	0.00%	1.43%	15.72%	0.00%	0.00%	1.43%	0.00%	0.00%	0.00%	0.00%	0.00%	1.43%	0.00%	0.00%
8802	26	3,378	23.08%	19.23%	11.54%	0.00%	0.00%	0.00%	19.23%	0.00%	0.00%	0.00%	0.00%	0.00%	3.85%	0.00%	0.00%	15.38%	0.00%	0.00%
8803	320	116,996	25.01%	21.24%	15.91%	0.97%	0.00%	0.93%	20.27%	0.31%	0.00%	1.87%	0.31%	0.00%	4.98%	1.25%	2.18%	2.25%	0.62%	0.00%
8903	56	7,681	25.00%	19.64%	17.86%	3.57%	0.00%	1.79%	19.64%	0.00%	0.00%	5.36%	1.79%	0.00%	1.79%	0.00%	0.00%	3.57%	0.00%	0.00%
9001	94	36,741	12.03%	8.24%	7.91%	2.76%	0.00%	0.00%	5.15%	0.00%	0.00%	2.06%	0.00%	0.00%	2.06%	2.06%	2.06%	3.09%	0.00%	0.00%
9002	49	24,309	17.02%	17.02%	10.84%	0.00%	0.00%	2.71%	13.93%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.38%	0.38%	0.00%	0.00%	0.00%
9003	76	32,843	20.30%	20.30%	9.43%	0.00%	0.00%	8.09%	10.86%	0.00%	0.00%	0.00%	0.00%	0.00%	2.70%	1.35%	1.35%	4.12%	0.00%	0.00%
9004	55	41,070	15.67%	13.71%	5.88%	0.00%	0.00%	3.92%	1.96%	0.00%	1.96%	0.00%	1.96%	0.00%	1.96%	3.92%	3.92%	1.96%	0.00%	0.00%
9005	31	8,555	19.35%	19.35%	6.45%	0.00%	0.00%	0.00%	16.13%	0.00%	0.00%	3.23%	0.00%	0.00%	0.00%	0.00%	0.00%	9.68%	0.00%	0.00%
9006	96	24,510	25.75%	23.39%	13.16%	3.10%	0.00%	2.36%	17.87%	0.00%	0.00%	1.18%	0.00%	0.00%	2.36%	0.00%	0.00%	3.59%	0.00%	0.00%
9009	147	48,466	7.74%	6.45%	5.16%	0.65%	0.00%	0.65%	5.16%	0.00%	0.00%	0.00%	0.00%	0.65%	0.65%	0.00%	0.00%	1.29%	0.00%	0.00%
9010	48	11,630	24.46%	22.24%	15.57%	0.00%	0.00%	0.00%	17.79%	0.00%	0.00%	0.00%	0.00%	0.00%	2.22%	2.22%	2.22%	4.45%	0.00%	0.00%
9013	139	47,188	15.06%	11.11%	9.51%	0.00%	0.00%	0.66%	10.45%	0.00%	0.00%	0.66%	0.66%	0.00%	3.58%	0.66%	1.32%	0.66%	0.00%	0.00%
9014	45	22,685	22.62%	15.84%	15.84%	0.00%	0.00%	0.00%	15.84%	0.00%	0.00%	2.26%	0.00%	0.00%	0.00%	2.26%	2.26%	2.26%	0.00%	0.00%
9015	45	17,035	20.00%	17.78%	11.11%	0.00%	0.00%	6.67%	8.89%	0.00%	0.00%	2.22%	0.00%	0.00%	4.44%	0.00%	0.00%	2.22%	0.00%	0.00%
9017	93	12,789	13.94%	10.73%	5.36%	1.07%	0.00%	0.00%	9.65%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	4.29%	1.07%	0.00%
9018	273	206,128	10.51%	9.04%	3.79%	0.75%	0.00%	3.36%	3.73%	0.00%	0.00%	0.75%	0.00%	0.00%	1.55%	0.75%	0.37%	2.24%	0.00%	0.75%
9019	42	23,427	27.24%	27.24%	12.51%	2.46%	0.00%	7.37%	17.42%	0.00%	0.00%	0.00%	0.00%	0.00%	2.46%	0.00%	0.00%	7.37%	0.00%	0.00%
9021	67	52,032	17.91%	13.43%	7.46%	1.49%	0.00%	2.99%	10.45%	0.00%	0.00%	0.00%	0.00%	0.00%	1.49%	0.00%	0.00%	4.48%	0.00%	0.00%
9022	77	51,833	10.22%	7.56%	4.82%	1.08%	0.00%	0.00%	5.90%	0.00%	0.00%	2.16%	0.00%	0.00%	1.08%	0.00%	0.00%	2.16%	0.00%	0.00%
9023	39	11,261	25.64%	23.08%	17.95%	2.56%	0.00%	7.69%	17.95%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	2.56%	2.56%	0.00%
9025	36	23,306	17.40%	17.40%	2.77%	0.00%	0.00%	2.77%	9.10%	2.77%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	2.77%	0.00%	0.00%
9026	65	55,661	28.30%	28.30%	11.22%	0.00%	0.00%	8.02%	15.48%	0.00%	0.00%	3.21%	0.00%	0.00%	3.21%	1.60%	1.60%	4.81%	0.00%	0.00%
9027	188	110,635	15.89%	10.17%	11.73%	0.52%	0.00%	1.56%	10.17%	0.00%	0.00%	1.56%	0.52%	0.00%	3.12%	0.52%	0.52%	2.60%	0.00%	0.52%
9028	25	6,205	14.88%	14.88%	6.78%	0.00%	0.00%	0.00%	14.88%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	4.05%	0.00%	0.00%
9029	58	43,487	14.90%	11.33%	9.55%	0.00%	0.00%	3.57%	9.55%	0.00%	0.00%	0.00%	1.79%	0.00%	1.79%	0.00%	0.00%	1.79%	0.00%	0.00%
9030	163	103,105	9.27%	7.39%	6.14%	0.00%	0.00%	0.00%	4.89%	0.00%	0.00%	1.25%	0.00%	0.00%	1.88%	1.25%	1.25%	0.63%	0.00%	0.00%
9031	155	92,351	15.74%	14.50%	8.72%	1.87%	0.00%	0.62%	12.46%	0.00%	0.00%	2.49%	0.00%	0.00%	2.49%	1.42%	0.80%	1.25%	0.00%	0.00%
9032	157	130,426	15.50%	14.06%	7.37%	1.44%	0.00%	0.72%	11.90%	0.00%	0.00%	0.72%	0.72%	0.00%	3.60%	0.00%	0.72%	1.44%	0.00%	0.00%
9101	29	15,626	24.14%	20.69%	6.90%	3.45%	0.00%	0.00%	10.34%	0.00%	0.00%	3.45%	0.00%	0.00%	3.45%	0.00%	3.45%	3.45%	0.00%	0.00%
9102	119	217,746	18.15%	17.27%	6.44%	0.29%	0.00%	3.51%	9.08%	0.00%	1.76%	3.51%	0.00%	0.00%	0.00%	0.00%	0.00%	4.39%	0.00%	0.00%
9105	36	30,796	14.49%	14.49%	5.80%	0.00%	0.00%	5.80%	5.80%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	2.90%	0.00%	0.00%

Table II.B.4. FY 01 CM Discrepancy Rates for AR by 4 Digit HTS

4-Digit HTS	Sample Size	Population Size	Overall Disc	Cargo Disc	Summ Disc	Qntity Disc	Quota Disc	Markg Disc	Class Disc	OAgy Disc	TM/CR Disc	Value Disc	COrgn Disc	AD/CV Disc	Cleric Disc	Health Disc	Labor Disc	Misc Disc	SPI Disc	Curcy Disc
9209	34	13,909	17.65%	11.76%	5.88%	2.94%	0.00%	2.94%	8.82%	0.00%	2.94%	2.94%	0.00%	0.00%	0.00%	0.00%	0.00%	2.94%	0.00%	0.00%
9401	469	394,074	11.65%	9.84%	5.44%	1.36%	0.00%	2.88%	6.50%	0.00%	0.00%	0.91%	0.00%	0.00%	0.91%	0.23%	0.23%	1.29%	0.00%	0.00%
9403	801	768,220	14.42%	12.86%	6.50%	0.90%	0.00%	2.83%	8.04%	0.00%	0.13%	0.77%	0.64%	0.00%	0.98%	0.00%	0.51%	1.67%	0.00%	0.00%
9404	71	38,997	10.53%	7.90%	3.95%	1.32%	1.32%	1.32%	7.90%	0.00%	0.00%	0.00%	0.00%	0.00%	1.32%	0.00%	0.00%	2.63%	0.00%	0.00%
9405	261	254,777	16.11%	12.82%	8.46%	0.97%	0.00%	2.51%	7.84%	0.77%	0.00%	1.89%	0.00%	0.00%	1.74%	0.00%	0.77%	2.90%	0.39%	0.00%
9406	37	13,570	21.80%	11.89%	13.87%	0.00%	1.98%	0.00%	19.82%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	1.98%	0.00%	0.00%
9501	26	14,501	15.87%	15.87%	15.87%	3.97%	0.00%	3.97%	15.87%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
9502	120	89,744	7.89%	7.02%	3.18%	0.19%	0.87%	0.00%	7.70%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
9503	388	352,900	15.51%	13.84%	11.51%	0.84%	0.56%	1.95%	10.93%	0.56%	1.67%	0.84%	0.28%	0.00%	0.56%	0.00%	0.39%	1.20%	0.10%	0.00%
9504	138	45,773	6.58%	5.90%	3.87%	0.00%	0.00%	0.68%	5.23%	0.68%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
9505	179	129,965	13.41%	12.23%	7.96%	0.00%	0.00%	1.18%	10.45%	0.59%	0.00%	0.59%	0.00%	0.00%	1.18%	0.00%	0.00%	0.59%	0.00%	0.00%
9506	177	92,879	17.11%	15.96%	9.64%	2.16%	1.15%	4.03%	10.92%	1.59%	0.58%	0.58%	0.00%	0.00%	0.00%	0.00%	0.00%	1.15%	0.00%	0.00%
9507	41	13,697	29.68%	29.68%	13.70%	0.00%	0.00%	13.70%	15.98%	0.00%	0.00%	0.00%	0.00%	0.00%	2.28%	0.00%	0.00%	2.28%	0.00%	0.00%
9603	75	47,186	14.32%	11.17%	7.34%	0.00%	1.40%	2.79%	10.13%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
9608	67	38,956	14.94%	11.95%	5.97%	2.99%	0.00%	7.47%	4.48%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	1.49%	1.49%	0.00%	0.00%	0.00%
9615	44	24,443	21.85%	21.85%	13.11%	0.00%	4.37%	2.19%	19.67%	0.00%	2.19%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
9701	183	25,102	9.84%	8.74%	2.73%	0.00%	0.00%	1.09%	5.46%	0.00%	0.00%	0.00%	0.00%	0.00%	1.64%	0.55%	0.00%	2.19%	0.00%	0.00%
9703	35	5,988	11.43%	8.57%	5.71%	0.00%	0.00%	0.00%	8.57%	0.00%	0.00%	0.00%	0.00%	0.00%	2.86%	0.00%	0.00%	0.00%	0.00%	0.00%
9706	75	48,387	8.00%	5.33%	5.33%	0.00%	0.00%	0.00%	1.33%	0.00%	0.00%	1.33%	1.33%	0.00%	1.33%	0.00%	0.00%	4.00%	0.00%	0.00%
9801	1,420	1,409,116	16.78%	13.29%	7.54%	0.48%	0.00%	1.28%	11.05%	0.15%	0.00%	0.89%	2.56%	0.07%	0.64%	0.82%	0.89%	3.37%	0.00%	0.00%

Table II.B.5. FY 01 CM Discrepancy Rates for AR by Country of Origin

Country Code	Country Name	Sample Size	Population Size	Overall Disc	Cargo Disc	Summ Disc	Qntity Disc	Quota Disc	Markg Disc	Class Disc	OAgy Disc	TM/CR Disc	Value Disc	COrgn Disc	AD/CV Disc	Cleric Disc	Health Disc	Labor Disc	Misc Disc	SPI Disc	Curcy Disc
	All Countries	57,169	28,995,425	15.36%	12.81%	8.33%	0.86%	0.19%	2.12%	9.75%	0.18%	0.09%	0.89%	0.39%	0.04%	1.29%	0.46%	0.49%	1.98%	0.26%	0.04%
AE	UNITED ARAB EMIRATES	38	27,075	11.00%	11.00%	0.00%	0.00%	0.00%	3.80%	3.30%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	10.49%	0.00%	0.00%
AR	ARGENTINA	119	50,149	12.65%	10.39%	6.03%	1.63%	0.00%	5.16%	3.46%	0.00%	0.00%	0.00%	0.00%	0.00%	0.22%	0.27%	0.00%	2.12%	0.00%	0.00%
AS	AMERICAN SAMOA	32	7,716	9.38%	6.25%	3.13%	3.13%	0.00%	3.13%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	3.13%	0.00%	0.00%	0.00%	0.00%	0.00%
AT	AUSTRIA	254	99,590	13.55%	11.84%	6.96%	0.73%	0.14%	1.03%	7.21%	0.23%	0.00%	2.14%	0.81%	0.00%	0.20%	1.46%	0.90%	3.41%	0.00%	0.00%
AU	AUSTRALIA	300	126,140	15.51%	14.06%	7.48%	1.20%	0.00%	1.72%	7.14%	1.41%	0.00%	0.71%	0.23%	0.00%	1.98%	0.90%	0.90%	3.11%	0.00%	0.00%
BD	BANGLADESH	93	63,899	9.06%	8.59%	6.40%	0.42%	0.00%	2.49%	4.03%	0.00%	0.47%	0.00%	0.00%	0.00%	0.47%	0.00%	0.00%	2.12%	0.00%	0.00%
BE	BELGIUM	416	171,202	19.39%	16.22%	13.53%	0.83%	0.00%	1.35%	12.97%	0.00%	0.00%	0.41%	1.98%	0.17%	2.12%	0.05%	0.50%	4.48%	0.00%	0.00%
BR	BRAZIL	656	267,983	11.81%	9.04%	6.64%	0.70%	0.29%	1.84%	5.57%	0.16%	0.00%	0.80%	0.08%	0.00%	1.51%	0.56%	0.83%	2.34%	0.00%	0.00%
CA	CANADA	9,178	3,444,194	25.42%	20.53%	12.38%	0.87%	0.08%	1.00%	19.47%	0.09%	0.01%	1.79%	0.39%	0.07%	1.99%	0.76%	0.70%	2.66%	0.98%	0.08%
CH	SWITZERLAND	558	270,288	14.83%	13.23%	8.07%	1.22%	0.00%	2.26%	8.98%	0.00%	0.00%	1.64%	0.11%	0.00%	1.14%	0.41%	0.47%	2.54%	0.10%	0.28%
CL	CHILE	279	85,926	9.57%	8.79%	4.66%	1.91%	0.00%	2.48%	3.49%	0.00%	0.00%	0.67%	0.00%	0.00%	1.54%	0.20%	0.00%	1.54%	0.00%	0.00%
CN	CHINA	6,860	4,180,693	15.11%	13.72%	8.16%	0.98%	0.42%	2.76%	10.19%	0.15%	0.21%	0.76%	0.12%	0.06%	0.92%	0.17%	0.29%	1.29%	0.00%	0.01%
CO	COLOMBIA	214	179,701	11.02%	6.14%	8.05%	1.16%	0.77%	2.03%	3.92%	0.00%	0.00%	2.13%	0.00%	0.00%	4.77%	0.00%	0.00%	1.45%	0.10%	0.00%
CR	COSTA RICA	206	125,274	15.45%	11.60%	10.63%	1.43%	0.00%	1.85%	7.07%	1.51%	0.00%	0.00%	0.14%	0.00%	3.06%	0.00%	0.00%	5.38%	0.20%	0.00%
CZ	CZECH REPUBLIC	102	53,172	10.29%	6.50%	7.02%	0.00%	0.00%	1.48%	8.49%	0.00%	0.00%	0.00%	0.17%	0.00%	1.09%	0.47%	0.47%	0.32%	0.00%	0.00%
DE	GERMANY	3,337	1,468,285	18.03%	15.50%	10.24%	1.05%	0.01%	2.00%	11.10%	0.23%	0.00%	0.81%	0.43%	0.02%	1.56%	0.88%	0.83%	3.11%	0.02%	0.17%
DK	DENMARK	231	106,843	18.08%	13.72%	11.15%	1.31%	0.00%	1.95%	10.93%	0.00%	0.00%	2.61%	0.00%	0.24%	3.05%	0.29%	0.29%	3.81%	0.00%	0.00%
DO	DOMINICAN REP	205	111,639	14.55%	12.98%	8.63%	3.65%	0.70%	1.18%	5.14%	0.00%	0.69%	1.74%	0.88%	0.00%	3.36%	0.48%	0.48%	4.65%	0.00%	0.00%
EC	ECUADOR	106	57,300	9.84%	1.94%	8.45%	1.95%	0.00%	0.31%	1.38%	1.64%	0.00%	0.00%	0.00%	0.00%	7.61%	0.24%	0.00%	0.00%	0.00%	0.00%
EG	EGYPT	35	17,226	9.47%	8.33%	9.47%	0.00%	2.09%	0.00%	8.33%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
ES	SPAIN	474	256,822	15.13%	12.57%	7.83%	0.73%	0.00%	4.47%	7.10%	0.00%	0.00%	0.33%	0.05%	0.00%	1.69%	0.00%	0.12%	2.84%	0.00%	0.00%
FI	FINLAND	164	52,851	16.58%	14.54%	9.96%	0.59%	0.00%	4.90%	9.24%	0.00%	0.00%	0.59%	0.52%	0.00%	0.77%	1.25%	1.32%	1.47%	0.26%	0.00%
FR	FRANCE	1,580	744,535	14.26%	11.04%	8.71%	1.26%	0.30%	2.48%	8.12%	0.66%	0.07%	1.01%	0.25%	0.13%	1.32%	0.51%	0.43%	1.61%	0.06%	0.09%
GB	UNITED KINGDOM	2,320	996,674	16.16%	13.91%	9.79%	0.55%	0.00%	3.00%	9.53%	0.04%	0.00%	1.33%	0.78%	0.00%	1.68%	0.95%	0.72%	2.85%	0.08%	0.01%
GR	GREECE	50	20,967	27.10%	19.52%	15.33%	0.53%	0.00%	4.35%	18.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	2.11%	2.11%	0.00%	0.00%
GT	GUATEMALA	114	76,146	13.01%	6.20%	12.16%	1.42%	0.00%	0.85%	6.53%	0.00%	0.00%	0.17%	0.00%	0.00%	2.15%	0.00%	0.00%	1.39%	4.16%	0.00%
HK	HONG KONG	725	477,522	14.81%	13.62%	7.76%	0.53%	0.47%	2.51%	8.81%	0.00%	0.00%	0.64%	0.34%	0.00%	0.63%	0.00%	0.19%	3.84%	0.00%	0.00%
HN	HONDURAS	136	95,820	12.94%	10.80%	6.71%	3.16%	0.69%	1.35%	3.37%	0.56%	0.00%	1.03%	0.00%	0.00%	4.19%	0.00%	0.00%	4.51%	0.67%	0.00%
HU	HUNGARY	84	44,642	12.82%	7.49%	5.97%	0.00%	0.00%	2.10%	3.53%	0.00%	0.00%	0.00%	0.00%	0.00%	4.79%	0.00%	0.00%	2.39%	0.00%	0.00%
ID	INDONESIA	613	360,782	9.25%	8.08%	5.44%	1.10%	0.74%	1.40%	5.10%	0.00%	0.15%	0.45%	0.00%	0.00%	1.07%	0.15%	0.56%	0.57%	0.04%	0.00%

Table II.B.5. FY 01 CM Discrepancy Rates for AR by Country of Origin

Country Code	Country Name	Sample Size	Population Size	Overall Disc	Cargo Disc	Summ Disc	Qntity Disc	Quota Disc	Markg Disc	Class Disc	OAgy Disc	TM/CR Disc	Value Disc	COrgn Disc	AD/CV Disc	Cleric Disc	Health Disc	Labor Disc	Misc Disc	SPI Disc	Curcy Disc
IE	IRELAND	220	107,006	12.81%	12.81%	7.11%	1.03%	0.00%	0.61%	6.31%	0.23%	0.00%	0.56%	0.16%	0.00%	1.33%	2.12%	1.86%	3.04%	0.00%	0.00%
IL	ISRAEL	493	211,251	14.71%	12.60%	10.25%	0.25%	0.00%	2.97%	10.71%	0.00%	0.00%	0.51%	0.42%	0.00%	1.27%	0.42%	0.42%	1.18%	0.09%	0.00%
IN	INDIA	881	479,848	15.68%	13.38%	8.88%	1.25%	1.36%	4.28%	9.10%	0.25%	0.00%	0.34%	0.00%	0.03%	1.20%	0.37%	0.30%	1.27%	0.06%	0.00%
IS	ICELAND	27	14,576	5.07%	5.07%	0.00%	0.00%	0.00%	0.00%	1.23%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	3.84%	0.00%	0.00%
IT	ITALY	2,239	1,253,673	13.97%	12.26%	7.34%	0.94%	0.00%	3.42%	8.12%	0.12%	0.20%	1.02%	0.10%	0.00%	1.10%	0.36%	0.36%	1.34%	0.08%	0.13%
JP	JAPAN	5,047	2,258,737	12.16%	11.00%	6.62%	0.56%	0.02%	1.41%	8.60%	0.04%	0.15%	0.63%	0.09%	0.07%	0.49%	0.42%	0.49%	1.54%	0.04%	0.03%
KR	KOREA	1,559	701,888	15.88%	14.40%	8.09%	0.63%	0.39%	3.42%	10.42%	0.35%	0.19%	0.69%	0.15%	0.11%	0.58%	0.36%	0.40%	1.81%	0.00%	0.00%
LK	SRI LANKA	128	88,927	11.15%	11.15%	7.39%	0.00%	0.00%	1.11%	6.46%	0.46%	0.00%	0.00%	0.00%	0.00%	1.66%	0.00%	0.00%	3.13%	0.65%	0.00%
LU	LUXEMBOURG	27	8,099	24.96%	24.96%	3.79%	0.00%	0.00%	0.00%	22.76%	0.00%	0.00%	2.20%	1.59%	0.00%	0.00%	0.00%	0.00%	1.59%	0.00%	0.00%
MO	MACAO (MACAU)	82	65,435	8.50%	5.91%	4.82%	2.60%	0.00%	0.00%	3.77%	0.00%	0.00%	0.00%	1.51%	0.00%	1.61%	0.00%	0.00%	1.51%	0.00%	0.00%
MP	NORTHERN MARIANA IS	31	28,494	3.70%	3.70%	0.00%	0.00%	0.00%	0.00%	3.70%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
MX	MEXICO	6,284	3,612,359	8.46%	4.77%	5.24%	0.58%	0.02%	1.32%	3.82%	0.37%	0.01%	0.49%	0.16%	0.01%	1.48%	0.18%	0.25%	0.59%	0.78%	0.01%
MY	MALAYSIA	951	496,270	12.81%	11.54%	7.29%	0.85%	0.11%	2.23%	6.41%	0.07%	0.00%	0.79%	0.80%	0.04%	1.22%	1.06%	1.77%	2.27%	0.00%	0.00%
NI	NICARAGUA	35	17,229	6.20%	0.00%	6.20%	0.00%	0.37%	0.00%	5.18%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
NL	NETHERLANDS	550	261,234	16.68%	13.81%	7.65%	1.75%	0.10%	4.03%	7.30%	0.19%	0.20%	1.25%	0.67%	0.00%	1.74%	2.11%	1.65%	1.35%	0.00%	0.35%
NO	NORWAY	98	39,516	26.34%	17.34%	13.81%	1.34%	0.00%	0.73%	17.07%	0.64%	0.00%	1.95%	0.00%	0.00%	3.49%	0.35%	0.35%	3.81%	0.00%	0.00%
NZ	NEW ZEALAND	187	69,218	7.27%	6.06%	4.04%	1.58%	0.59%	1.14%	3.06%	0.00%	0.00%	0.00%	0.00%	0.00%	2.04%	0.00%	0.53%	1.58%	0.00%	0.00%
PA	PANAMA	28	14,563	4.61%	4.61%	3.84%	0.00%	0.00%	0.76%	3.84%	0.00%	0.00%	0.00%	0.00%	0.00%	3.84%	0.00%	0.00%	0.00%	0.00%	0.00%
PE	PERU	84	52,436	11.95%	10.25%	9.16%	2.27%	2.01%	0.00%	6.70%	0.00%	1.53%	2.01%	0.00%	0.00%	1.70%	0.00%	0.00%	0.00%	0.00%	0.00%
PH	PHILIPPINES	582	345,864	13.46%	11.14%	6.99%	1.21%	0.56%	1.62%	7.24%	0.00%	0.00%	1.47%	0.81%	0.00%	0.93%	0.33%	0.38%	2.09%	0.37%	0.00%
PK	PAKISTAN	184	113,998	21.11%	16.86%	16.20%	1.89%	1.17%	7.13%	11.60%	0.00%	0.24%	2.20%	0.00%	0.00%	2.33%	0.68%	0.00%	0.00%	0.00%	0.00%
PL	POLAND	98	60,173	15.96%	14.78%	8.98%	1.48%	0.97%	4.31%	9.20%	0.00%	0.00%	0.00%	0.00%	0.00%	0.45%	0.00%	0.00%	0.97%	0.00%	0.00%
PT	PORTUGAL	132	71,407	9.93%	8.85%	2.81%	0.00%	1.08%	2.21%	7.10%	0.00%	0.00%	1.69%	0.00%	0.00%	0.62%	0.00%	0.00%	0.62%	0.00%	0.00%
RO	ROMANIA	32	22,727	22.50%	22.50%	6.98%	4.64%	0.00%	7.69%	10.16%	0.00%	0.00%	4.64%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
RU	RUSSIA	112	35,653	14.87%	14.87%	11.32%	1.06%	0.00%	1.09%	12.52%	0.39%	0.00%	0.00%	1.41%	0.00%	0.00%	0.38%	0.00%	0.87%	0.00%	0.00%
SE	SWEDEN	551	217,329	12.49%	10.19%	6.61%	0.73%	0.00%	1.12%	6.80%	0.24%	0.00%	0.87%	0.00%	0.06%	1.15%	0.64%	0.46%	3.00%	0.00%	0.00%
SG	SINGAPORE	550	236,281	11.77%	10.93%	5.66%	0.07%	0.00%	2.60%	6.31%	0.00%	0.00%	0.07%	0.59%	0.00%	1.03%	0.28%	0.23%	3.44%	0.00%	0.00%
SK	SLOVAKIA	25	9,368	2.11%	2.11%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	2.11%	0.00%	0.00%
SV	EL SALVADOR	94	74,127	11.26%	7.73%	7.11%	0.00%	0.00%	0.00%	5.00%	0.00%	0.00%	3.79%	0.00%	0.00%	1.16%	1.86%	1.86%	0.87%	0.00%	0.00%
TH	THAILAND	904	492,624	11.51%	9.90%	6.76%	0.79%	0.25%	1.97%	6.93%	0.00%	0.59%	0.94%	0.00%	0.00%	0.66%	0.14%	0.19%	1.18%	0.29%	0.00%
TR	TURKEY	225	131,004	12.21%	11.64%	7.86%	0.30%	0.00%	3.51%	5.65%	0.00%	0.00%	0.43%	0.00%	0.00%	1.85%	0.22%	0.00%	3.47%	0.00%	0.00%
TW	TAIWAN	2,411	1,283,860	20.14%	18.32%	11.03%	1.22%	0.34%	3.30%	13.99%	0.19%	0.08%	0.38%	0.29%	0.13%	0.93%	0.50%	0.40%	2.64%	0.00%	0.00%
US	UNITED STATES	1,949	1,649,745	17.51%	13.91%	7.83%	0.51%	0.00%	1.35%	11.50%	0.13%	0.00%	0.96%	2.55%	0.06%	0.74%	0.72%	0.78%	3.58%	0.00%	0.00%
VE	VENEZUELA	95	39,551	27.38%	24.28%	21.20%	4.54%	0.00%	6.69%	18.64%	0.00%	0.00%	0.00%	0.00%	0.00%	1.19%	0.00%	0.00%	2.29%	1.95%	0.00%
VN	VIETNAM	65	29,712	18.40%	14.56%	15.75%	1.88%	0.00%	2.14%	12.43%	1.88%	0.00%	0.00%	0.00%	0.00%	2.71%	0.83%	0.83%	1.88%	0.00%	0.00%
ZA	SOUTH AFRICA	139	58,243	17.67%	16.24%	10.02%	0.96%	0.00%	2.81%	7.34%	0.00%	0.00%	0.96%	1.81%	0.00%	1.43%	1.11%	0.00%	5.22%	0.71%	0.00%

Table II.C.1. FY 01 CM Revenue Summary for AR by PFI

PFI Industry	Sample Size	Sample w/ Rev Change	Population Size	Refund in Sample	Recovery in Sample	Estimated Total Overpayment	Estimated Total Underpayment	Estimated Total Net Underpayment	95% Confi Int of Net Underpayment Lower Bound	Upper Bound
ACS Release (AR) Overall	**57,169**	**1,304**	**28,995,425**	**408,113**	**887,011**	**218,006,158**	**399,618,156**	**181,611,998**	**53,510,641**	**309,713,354**
AR: Non PFI	**28,916**	**754**	**15,974,746**	**211,000**	**411,120**	**108,447,123**	**199,058,724**	**90,611,600**	**24,039,956**	**157,183,245**
AR: All PFI Combined	**28,253**	**550**	**13,020,679**	**197,113**	**475,891**	**109,559,035**	**200,559,432**	**91,000,397**	**54,520,217**	**127,480,578**
AGRICULTURE	4,924	71	2,462,511	18,049	50,303	4,519,577	18,092,726	13,573,149	7,095,941	20,050,357
AUTOMOTIVE	2,519	34	1,425,097	3,429	12,342	2,123,157	5,650,457	3,527,300	-2,253,349	9,307,949
INFORMATION TECHNOLOGY	8,068	123	3,885,305	10,992	41,322	4,984,390	16,506,339	11,521,949	-94,196,489	117,240,387
PRODUCTION EQUIPMENT	3,272	123	768,439	73,857	117,573	17,017,118	25,588,023	8,570,905	-4,965,590	22,107,400
STEEL MILL PRODUCTS	1,326	36	348,410	1,732	58,121	448,086	11,243,180	10,795,094	-10,834,079	32,424,267
TEXTILES & WEARING APPAREL	5,498	138	3,643,583	83,383	187,793	79,672,279	121,707,321	42,035,042	34,345,457	49,724,626
WOOD PRODUCTS	2,646	25	487,334	5,671	8,437	794,427	1,771,386	976,959	976,959	976,959

Table II.C.2. FY 01 CM Revenue Summary for AR by HTS Section

HTS Section	HTS Section Description	Sample Size	Sample w/ Rev Change	Population Size	Refund in Sample	Recovery in Sample	Estimated Total Overpayment	Estimated Total Underpayment	Estimated Total Net Underpayment	95% Confi Int of Net Underpayment Lower Bound	95% Confi Int of Net Underpayment Upper Bound
.	**All Sections Combined**	**57,169**	**1,304**	**28,995,425**	**408,113**	**887,011**	**218,006,158**	**399,618,156**	**181,611,998**	**53,510,641**	**309,713,354**
1	Animals, Animal Products	1,249	11	507,861	2,425	2,461	875,435	1,034,762	159,327	-1,782,977	2,101,631
2	Vegetable Products	1,635	25	1,042,930	1,376	7,158	427,198	2,632,893	2,205,695	-166,787	4,578,177
3	Fats, Oils	182	5	24,217	9	2,551	1,235	256,522	255,288	-85,649	596,224
4	Foodstuffs, Berverages, Tobacc	1,858	30	887,503	14,239	38,133	3,215,710	14,168,550	10,952,840	-4,911,315	26,816,994
5	Mineral Products	404	5	73,961	0	2,691	0	369,120	369,120	-228,051	966,291
6	Chemical Products	3,111	83	847,602	13,426	73,860	2,596,889	17,848,765	15,251,876	4,430,974	26,072,778
7	Plastic and Rubber	2,208	87	1,328,822	37,950	50,442	32,442,145	35,164,991	2,722,846	-31,033,471	36,479,162
8	Leathers, Furskins	652	17	442,743	7,457	6,458	6,395,875	3,182,393	-3,213,483	-11,088,428	4,661,462
9	Wood Products	2,718	30	530,751	5,921	10,706	851,119	3,545,177	2,694,058	570,392	4,817,723
10	Pulp Products	1,064	27	472,415	1,732	38,584	716,736	25,522,635	24,805,899	106,429	49,505,369
11	Textiles	5,172	132	3,413,273	81,262	186,584	78,177,716	120,644,231	42,466,514	-68,362,060	153,295,089
12	Footwear, Headgear	1,223	15	848,034	1,824	30,621	1,067,688	17,511,228	16,443,541	138,820	32,748,261
13	Plastic, Glass, and Products	1,397	60	908,299	19,372	20,367	22,558,368	9,568,894	-12,989,473	-34,285,148	8,306,201
14	Precious Stone and Metal	909	16	448,143	482	3,496	323,661	1,571,613	1,247,951	49,113	2,446,789
15	Base Metals and Articles	4,412	153	2,105,399	17,883	116,689	8,048,766	38,403,178	30,354,412	11,597,360	49,111,464
16	Machinery, Elect Equipment	18,087	384	8,130,291	164,452	217,702	40,651,164	59,002,592	18,351,428	-37,735,454	74,438,309
17	Transportation Equipment	3,218	46	1,472,174	7,147	15,420	2,473,401	6,528,476	4,055,074	-1,103,184	9,213,332
18	Hi-Tech Equipment/Instruments	2,752	81	1,603,928	23,561	21,186	13,120,553	7,845,705	-5,274,849	-16,256,323	5,706,626
19	Arms and Ammunition	79	2	19,123	0	76	0	26,093	26,093	NA	NA
20	Misc Manufactured Articles	3,099	51	2,393,308	7,407	24,365	3,974,231	16,358,579	12,384,349	1,635,587	23,133,111
21	Artwork, Antiques	320	1	85,532	0	35	0	5,988	5,988	NA	NA
22	Special Legislation	1,420	43	1,409,116	188	17,426	88,268	18,425,773	18,337,506	6,550,632	30,124,379

Table II.C.3. FY 01 CM Revenue Summary for AR by HTS Chapter

HTS Chapter	Chapter Description	Sample Size	Sample w/ Rev Change	Population Size	Refund in Sample	Recovery in Sample	Estimated Total Overpayment	Estimated Total Underpayment	Estimated Total Net Underpayment	95% Confi Int of Net Underpayment Lower Bound	Upper Bound
	All Chapters	57,169	1,304	28,995,425	408,113	887,011	218,006,158	399,618,156	181,611,998	53,510,641	309,713,354
1	Live Animals	230	2	54,320	141	0	53,377	0	-53,377	NA	NA
2	Meat	242	3	79,628	721	910	225,480	162,795	-62,684	NA	NA
3	Fish	593	4	322,343	1,563	50	596,578	23,455	-573,123	NA	NA
4	Dairy Products	86	1	32,983	0	1,467	0	843,848	843,848	NA	NA
5	Misc Animal Products	98	1	18,588	0	34	0	4,664	4,664	NA	NA
6	Live Trees, Plants	171	1	278,327	24	0	45,907	0	-45,907	NA	NA
7	Vegetables	372	7	428,465	8	1,466	7,881	965,860	957,979	-373,944	2,289,902
8	Fruits	408	12	167,532	1,344	4,414	373,411	1,266,741	893,331	-757,827	2,544,488
9	Coffee, Tea, etc.	320	1	73,177	0	243	0	139,778	139,778	NA	NA
10	Cereals	138	1	31,682	0	13	0	5,318	5,318	NA	NA
11	Grain Products	45	1	15,900	0	187	0	25,650	25,650	NA	NA
12	Oil Seeds	102	0	33,562	0	0	0	0	0	NA	NA
13	Gums, Resins	64	1	10,644	0	223	0	38,153	38,153	NA	NA
14	NSPF Vegie Materials	15	1	3,642	0	612	0	191,392	191,392	NA	NA
15	Fats	182	5	24,217	9	2,551	1,235	256,522	255,288	-85,649	596,224
16	Prepared Meat, Fish	253	2	58,543	0	334	0	60,129	60,129	NA	NA
17	Sugars	138	0	38,245	0	0	0	0	0	NA	NA
18	Cocoa	114	2	23,030	8	0	2,913	0	-2,913	NA	NA
19	Prepared Cereals, Flour	198	2	136,713	0	517	0	373,735	373,735	NA	NA
20	Prepared Vegie, Fruits	266	6	171,218	80	13,344	70,628	5,965,273	5,894,646	-4,093,442	15,882,734
21	Misc Edible	180	6	81,983	0	17,516	0	6,856,644	6,856,644	-4,207,631	17,920,919
22	Berverages	578	8	351,161	10,536	3,745	2,739,879	614,863	-2,125,017	-9,614,802	5,364,769
23	Food Residues	54	0	19,020	0	0	0	0	0	NA	NA
24	Tobacco	77	4	7,590	3,615	2,677	402,290	297,906	-104,384	NA	NA
25	Salt, Sulfur, Cement	276	3	50,380	0	831	0	113,987	113,987	NA	NA
27	Oils	87	2	17,957	0	1,860	0	255,133	255,133	NA	NA
28	Inorganic Chemicals	418	8	86,023	218	2,086	42,414	331,960	289,546	-114,462	693,554
29	Organic Chemicals	988	37	160,775	10,241	43,475	1,628,171	6,310,903	4,682,731	-1,873,412	11,238,875
30	Pharmaceutical	261	3	41,264	0	2,363	0	499,807	499,807	NA	NA
31	Fertilizers	66	1	11,152	0	25	0	4,277	4,277	NA	NA
32	Inks and Dyes	291	10	91,704	683	10,378	274,781	3,833,058	3,558,278	-1,311,899	8,428,454
33	Perfumes, Cosmetics	222	2	149,337	0	1,077	0	502,893	502,893	NA	NA
34	Soaps	170	6	99,707	0	9,308	0	5,091,806	5,091,806	-1,701,543	11,885,155
35	Starches, Glues	135	3	34,606	74	439	14,602	159,856	145,254	NA	NA
37	Photographic Goods	174	3	58,554	821	188	220,797	32,165	-188,632	NA	NA
38	Misc Chemicals	386	10	114,481	1,389	4,521	416,125	1,082,041	665,916	-676,937	2,008,770
39	Plastics	1,467	71	866,603	37,205	40,346	32,144,118	29,982,358	-2,161,760	-35,193,410	30,869,890
40	Rubber	741	16	462,219	745	10,096	298,027	5,182,633	4,884,606	-481,602	10,250,814
41	Leather Products	88	0	33,663	0	0	0	0	0	NA	NA
42	Articles of Leather	486	14	397,702	7,305	3,653	6,375,026	2,797,635	-3,577,390	-12,088,930	4,934,149
43	Furskins	78	3	11,378	152	2,805	20,850	384,757	363,908	NA	NA
44	Articles of Wood	2,646	25	487,334	5,671	8,437	794,427	1,771,386	976,959	-505,589	2,459,507
46	Basketware	55	5	39,697	250	2,269	56,692	1,773,791	1,717,099	-1,090,255	4,524,452

Table II.C.3. FY 01 CM Revenue Summary for AR by HTS Chapter

HTS Chapter	Chapter Description	Sample Size	Sample w/ Rev Change	Population Size	Refund in Sample	Recovery in Sample	Estimated Total Overpayment	Estimated Total Underpayment	Estimated Total Net Underpayment	95% Confi Int of Net Underpayment Lower Bound	Upper Bound
47	Pulp	156	3	22,653	0	1,344	0	184,354	184,354	NA	NA
48	Paper Products	605	18	279,744	1,669	17,604	688,853	6,912,790	6,223,938	-1,391,722	13,839,598
49	Printed Books	303	6	170,018	63	19,636	27,883	18,425,490	18,397,607	-8,031,854	44,827,067
51	Wool	62	3	19,819	29	393	3,086	82,384	79,298	NA	NA
52	Cotton	256	10	88,346	434	4,889	189,640	1,289,211	1,099,572	-509,072	2,708,216
53	Natural Fibers	44	2	14,533	0	1,424	0	531,359	531,359	NA	NA
54	Man-Made Filaments	248	5	87,522	2,242	3,382	1,133,267	1,734,886	601,618	-2,420,205	3,623,442
55	Man-Made fibers	187	9	50,391	476	8,807	104,252	2,589,298	2,485,046	-554,954	5,525,047
56	Ropes, Twine	137	7	35,304	218	4,218	67,775	792,283	724,509	-127,093	1,576,110
57	Carpets	173	6	59,505	578	7,921	218,808	1,477,864	1,259,057	-1,988,562	4,506,676
58	Woven Fabrics	105	5	57,348	326	1,082	224,981	479,292	254,311	-538,874	1,047,496
59	Coated Fabrics	101	4	32,595	0	1,500	0	494,680	494,680	NA	NA
60	Knitted Fabrics	172	2	62,689	0	1,386	0	571,849	571,849	NA	NA
61	Knitted Apparel	1,400	32	1,129,793	8,991	60,710	9,188,408	32,881,330	23,692,922	-14,447,614	61,833,458
62	Non-Knitted Apparel	1,811	33	1,455,620	66,625	79,727	65,802,175	70,350,349	4,548,173	-110,901,619	119,997,965
63	NSPF Textile Articles	448	14	298,611	1,343	11,145	1,245,324	7,369,445	6,124,122	-2,765,654	15,013,897
64	Footwear	932	10	692,816	1,171	29,651	798,267	16,688,119	15,889,852	-948,542	32,728,246
65	Headgear	115	3	75,330	0	918	0	803,424	803,424	NA	NA
66	Umbrellas	33	1	14,790	653	0	269,421	0	-269,421	NA	NA
67	Feathers, Down	143	1	65,098	0	52	0	19,685	19,685	NA	NA
68	Stone Articles	420	21	233,124	227	9,475	121,248	4,638,359	4,517,111	1,364,737	7,669,486
69	Ceramic Products	567	23	413,022	16,784	9,413	20,470,774	4,226,961	-16,243,813	-38,373,345	5,885,719
70	Glassware	410	16	262,152	2,361	1,479	1,966,346	703,574	-1,262,772	-3,090,584	565,040
71	Precious Metals/Stones	909	16	448,143	482	3,496	323,661	1,571,613	1,247,951	49,113	2,446,789
72	Iron, Steel	1,136	32	278,182	2,902	31,153	584,309	7,463,978	6,879,669	2,237,055	11,522,284
73	Steel Articles	1,472	61	891,138	5,215	44,946	3,986,015	13,947,832	9,961,817	-5,144,648	25,068,283
74	Copper	280	15	105,828	771	11,906	416,693	6,652,723	6,236,030	-429,256	12,901,317
75	Nickel	56	0	11,725	0	0	0	0	0	NA	NA
76	Aluminum	402	9	170,329	5,136	6,773	1,710,158	2,639,058	928,900	-3,068,767	4,926,568
79	Zinc	54	3	12,136	0	3,537	0	630,783	630,783	NA	NA
80	Tin	15	2	5,878	93	39	47,730	5,350	-42,380	NA	NA
81	Other Base Metals	94	4	16,254	2,788	13,462	674,286	3,053,207	2,378,921	NA	NA
82	Tools	474	16	298,954	547	2,885	225,336	2,363,117	2,137,781	-12,881	4,288,443
83	Misc Metal Articles	419	11	312,658	431	1,988	404,239	1,647,130	1,242,890	-482,718	2,968,499
84	Mechanical Apparatus	9,859	223	3,887,389	146,320	142,397	33,893,740	33,301,377	-592,362	-51,376,073	50,191,349
85	Electrical Equipment	8,228	161	4,242,902	18,132	75,305	6,757,425	25,701,214	18,943,790	3,585,650	34,301,929
86	Locomotives	88	0	25,988	0	0	0	0	0	NA	NA
87	Vehicles	2,692	34	1,311,902	6,612	11,413	2,308,125	5,162,821	2,854,696	-2,162,626	7,872,019
88	Aircraft	370	10	124,120	535	3,266	165,277	1,189,268	1,023,992	-397,054	2,445,037
89	Ships, Boats	68	2	10,165	0	741	0	176,387	176,387	NA	NA
90	Hi-Tech Instruments	2,375	65	1,269,404	17,023	12,749	5,814,707	5,080,256	-734,451	-7,637,371	6,168,469
91	Clocks, Watches	229	7	291,581	6,130	1,259	7,190,540	1,174,103	-6,016,437	-16,199,185	4,166,312
92	Musical Instruments	148	9	42,944	408	7,178	115,306	1,591,346	1,476,039	-1,743,290	4,695,369
93	Arms and Ammunition	79	2	19,123	0	76	0	26,093	26,093	NA	NA
94	Furniture	1,658	16	1,479,389	2,676	4,868	1,422,508	3,830,734	2,408,226	-1,635,839	6,452,291
95	Toys, Games	1,091	22	742,536	3,021	16,178	1,648,661	10,830,106	9,181,445	-43,312	18,406,202
96	Misc Manufactured Articles	350	13	171,383	1,710	3,319	903,061	1,697,739	794,678	-921,838	2,511,194
97	Art Work	320	1	85,532	0	35	0	5,988	5,988	NA	NA
98	Special Class Provisions	1,420	43	1,409,116	188	17,426	88,268	18,425,773	18,337,506	6,550,632	30,124,379

Table II.C.4. FY 01 CM Revenue Summary for AR by 4-Digit HTS

4-Digit HTS	Sample Size	Sample w/ Rev Change	Population Size	Refund in Sample	Recovery in Sample	Estimated Total Overpayment	Estimated Total Underpayment	Estimated Total Net Underpayment
All HTS4	57169	1,304	28,995,425	408,113	887,011	218,006,158	399,618,156	181,611,998
0101	61	0	4,778	0	0	0	0	0
0102	111	0	27,450	0	0	0	0	0
0103	33	2	12,583	141	0	53,377	0	-53,377
0201	38	2	6,798	0	910	0	162,795	162,795
0202	83	0	42,153	0	0	0	0	0
0206	12	1	3,640	721	0	225,480	0	-225,480
0302	88	0	82,636	0	0	0	0	0
0303	72	0	12,880	0	0	0	0	0
0304	146	1	79,763	0	25	0	13,991	13,991
0305	21	2	7,950	1,536	25	581,468	9,464	-572,004
0306	218	0	114,520	0	0	0	0	0
0307	38	1	20,467	27	0	15,110	0	-15,110
0406	51	1	28,091	0	1,467	0	843,848	843,848
0506	3	1	412	0	34	0	4,664	4,664
0603	117	1	223,794	24	0	45,907	0	-45,907
0702	74	2	72,898	8	530	7,881	522,109	514,228
0703	23	1	22,658	0	110	0	108,362	108,362
0706	6	1	5,911	0	152	0	149,737	149,737
0709	102	0	195,103	0	0	0	0	0
0710	35	1	33,081	0	22	0	21,672	21,672
0713	32	2	8,048	0	652	0	163,979	163,979
0802	34	4	2,663	960	2,907	75,199	227,713	152,513
0803	67	0	10,740	0	0	0	0	0
0804	37	1	26,683	0	1,300	0	1,009,570	1,009,570
0805	29	5	22,521	384	0	298,211	0	-298,211
0807	59	1	44,740	0	3	0	2,955	2,955
0812	3	1	390	0	204	0	26,504	26,504
0901	216	0	37,338	0	0	0	0	0
0910	19	1	10,881	0	243	0	139,778	139,778
1006	33	1	13,500	0	13	0	5,318	5,318
1105	3	1	412	0	187	0	25,650	25,650
1302	55	1	9,410	0	223	0	38,153	38,153
1404	8	1	2,502	0	612	0	191,392	191,392
1504	4	1	313	0	1,510	0	118,282	118,282
1509	102	2	14,180	9	413	1,235	56,651	55,416
1515	34	1	4,417	0	411	0	53,397	53,397
1516	5	1	650	0	217	0	28,193	28,193
1602	53	1	12,818	0	6	0	1,451	1,451
1604	106	0	27,014	0	0	0	0	0
1605	84	1	16,429	0	328	0	58,678	58,678
1704	86	0	32,448	0	0	0	0	0
1806	45	2	14,996	8	0	2,913	0	-2,913
1902	52	1	39,872	0	477	0	366,974	366,974

Table II.C.4. FY 01 CM Revenue Summary for AR by 4-Digit HTS

4-Digit HTS	Sample Size	Sample w/ Rev Change	Population Size	Refund in Sample	Recovery in Sample	Estimated Total Overpayment	Estimated Total Underpayment	Estimated Total Net Underpayment
1905	98	1	74,795	0	40	0	6,760	6,760
2003	19	1	9,996	2	0	1,026	0	-1,026
2005	68	0	39,161	0	0	0	0	0
2007	11	1	10,399	78	0	69,601	0	-69,601
2008	64	1	53,733	0	1,048	0	935,154	935,154
2009	56	3	25,042	0	12,296	0	5,030,120	5,030,120
2102	4	1	1,251	0	3,267	0	1,021,694	1,021,694
2104	20	1	10,688	0	80	0	46,550	46,550
2105	13	1	1,018	0	24	0	1,880	1,880
2106	54	3	21,532	0	14,145	0	5,786,519	5,786,519
2203	116	0	112,268	0	0	0	0	0
2204	216	1	133,563	460	0	303,009	0	-303,009
2207	11	1	709	0	1,639	0	105,697	105,697
2208	149	4	38,222	10,019	1,862	2,423,126	450,330	-1,972,796
2209	12	2	2,894	57	244	13,744	58,835	45,091
2402	48	4	5,342	3,615	2,677	402,290	297,906	-104,384
2516	15	3	2,058	0	831	0	113,987	113,987
2523	136	0	24,330	0	0	0	0	0
2712	27	2	3,704	0	1,860	0	255,133	255,133
2811	30	1	8,068	0	32	0	8,606	8,606
2812	7	1	979	0	631	0	88,281	88,281
2818	44	1	6,156	0	500	0	69,953	69,953
2825	19	2	3,523	0	848	0	151,704	151,704
2834	3	1	537	0	75	0	13,417	13,417
2841	11	1	1,509	10	0	1,372	0	-1,372
2846	7	1	1,381	208	0	41,042	0	-41,042
2901	60	1	8,362	0	636	0	87,239	87,239
2902	27	2	3,727	0	3,087	0	423,439	423,439
2905	52	2	8,994	0	1,209	0	206,848	206,848
2907	21	2	4,219	524	1,275	71,876	174,890	103,013
2908	6	1	839	962	0	134,589	0	-134,589
2911	2	1	274	0	483	0	66,252	66,252
2912	20	1	3,263	0	1,260	0	205,578	205,578
2915	38	1	12,207	0	352	0	110,082	110,082
2917	28	1	6,772	0	1,151	0	278,373	278,373
2918	92	3	12,871	0	3,410	0	477,079	477,079
2919	7	1	960	0	1,472	0	201,912	201,912
2921	38	1	6,922	804	0	243,501	0	-243,501
2922	59	4	10,094	2,522	901	431,489	154,152	-277,337
2924	38	2	5,508	741	1,250	103,670	174,882	71,212
2926	13	1	1,783	0	224	0	30,726	30,726
2927	7	1	960	0	154	0	21,124	21,124
2930	35	3	4,801	0	24,070	0	3,301,642	3,301,642
2931	35	1	4,917	0	284	0	39,733	39,733

Table II.C.4. FY 01 CM Revenue Summary for AR by 4-Digit HTS

4-Digit HTS	Sample Size	Sample w/ Rev Change	Population Size	Refund in Sample	Recovery in Sample	Estimated Total Overpayment	Estimated Total Underpayment	Estimated Total Net Underpayment
2932	43	1	5,898	0	103	0	14,128	14,128
2933	90	5	12,534	4,688	1,327	643,045	182,022	-461,023
2936	32	1	7,265	0	527	0	119,652	119,652
2942	5	1	686	0	300	0	41,151	41,151
3004	170	1	23,479	0	226	0	31,000	31,000
3005	35	1	8,316	0	1,076	0	259,453	259,453
3006	35	1	6,588	0	1,061	0	209,354	209,354
3102	16	1	2,737	0	25	0	4,277	4,277
3203	7	1	979	0	1,047	0	146,481	146,481
3204	84	5	35,430	650	533	265,906	218,043	-47,863
3206	53	0	12,780	0	0	0	0	0
3208	41	1	11,026	33	0	8,875	0	-8,875
3212	16	1	3,633	0	90	0	20,434	20,434
3214	32	1	8,606	0	2,338	0	628,774	628,774
3215	19	1	8,436	0	6,370	0	2,819,327	2,819,327
3301	30	1	11,357	0	593	0	224,486	224,486
3304	82	0	78,726	0	0	0	0	0
3305	16	1	9,204	0	484	0	278,407	278,407
3401	20	1	13,733	0	122	0	78,709	78,709
3402	72	1	45,047	0	112	0	59,823	59,823
3404	10	2	2,887	0	4,174	0	1,205,224	1,205,224
3405	6	1	1,656	0	44	0	12,143	12,143
3406	43	1	30,122	0	4,856	0	3,735,906	3,735,906
3501	21	1	4,144	74	0	14,602	0	-14,602
3506	48	2	18,495	0	439	0	159,856	159,856
3704	5	1	855	0	188	0	32,165	32,165
3707	40	2	11,260	821	0	220,797	0	-220,797
3806	5	1	855	606	0	103,681	0	-103,681
3808	40	2	5,550	0	2,766	0	379,408	379,408
3809	25	1	7,683	0	475	0	122,851	122,851
3814	3	2	935	0	341	0	122,064	122,064
3818	53	1	25,742	0	739	0	425,088	425,088
3822	74	0	22,403	0	0	0	0	0
3823	15	2	2,447	32	200	5,221	32,631	27,410
3824	62	1	25,363	751	0	307,223	0	-307,223
3902	18	1	4,961	0	468	0	129,160	129,160
3903	40	2	14,565	562	1,367	204,644	497,774	293,130
3906	21	2	8,591	0	2,035	0	832,490	832,490
3907	152	5	24,906	805	1,338	131,341	218,304	86,963
3909	28	1	8,705	0	3,320	0	1,032,164	1,032,164
3916	29	3	10,560	988	209	359,766	76,104	-283,662
3917	53	4	33,512	171	820	110,322	529,031	418,709
3918	35	1	12,046	258	0	80,210	0	-80,210
3919	43	1	23,781	84	0	47,010	0	-47,010

Table II.C.4. FY 01 CM Revenue Summary for AR by 4-Digit HTS

4-Digit HTS	Sample Size	Sample w/ Rev Change	Population Size	Refund in Sample	Recovery in Sample	Estimated Total Overpayment	Estimated Total Underpayment	Estimated Total Net Underpayment
3920	126	6	68,011	4,893	2,164	2,613,513	1,155,864	-1,457,649
3921	54	3	27,392	122	1,049	65,736	465,600	399,864
3923	180	10	156,196	92	21,483	82,094	19,169,757	19,087,663
3924	139	7	130,020	1,620	938	1,915,208	797,861	-1,117,347
3925	58	1	34,263	953	0	548,185	0	-548,185
3926	261	24	238,764	26,657	5,155	25,986,089	5,078,249	-20,907,839
4005	21	1	8,817	0	4,505	0	1,842,932	1,842,932
4006	3	1	1,198	0	396	0	144,198	144,198
4007	7	1	1,252	0	73	0	13,059	13,059
4009	50	1	35,120	0	398	0	320,191	320,191
4010	32	2	20,822	183	238	120,545	156,774	36,229
4011	291	4	119,516	26	1,132	7,561	370,332	362,771
4015	50	1	27,982	0	2,185	0	1,222,817	1,222,817
4016	150	5	198,947	536	1,169	169,921	1,112,329	942,407
4201	21	1	8,664	0	60	0	24,755	24,755
4202	326	8	273,450	7,305	636	6,375,026	567,517	-5,807,509
4203	117	4	106,196	0	2,242	0	2,105,331	2,105,331
4206	7	1	957	0	715	0	100,033	100,033
4303	71	3	9,739	152	2,805	20,850	384,757	363,908
4401	95	0	6,699	0	0	0	0	0
4403	54	1	13,581	13	0	3,270	0	-3,270
4407	1260	1	140,217	0	67	0	7,456	7,456
4409	283	5	31,387	711	1,729	75,663	183,997	108,333
4411	72	2	21,776	916	25	246,346	6,723	-239,622
4412	101	2	31,400	0	2,903	0	902,522	902,522
4414	37	1	22,505	12	0	6,716	0	-6,716
4416	27	2	2,115	1,742	0	136,455	0	-136,455
4417	10	1	4,091	108	0	44,181	0	-44,181
4418	425	7	55,385	2,169	3,349	281,796	435,102	153,306
4420	56	2	42,507	0	76	0	52,529	52,529
4421	85	1	50,668	0	288	0	183,057	183,057
4601	8	2	2,913	74	475	26,946	172,965	146,019
4602	47	3	36,783	176	1,794	29,746	1,600,826	1,571,080
4703	64	0	8,954	0	0	0	0	0
4704	2	1	274	0	362	0	49,655	49,655
4707	71	2	9,739	0	982	0	134,699	134,699
4804	17	2	3,878	0	404	0	91,725	91,725
4805	40	1	5,487	618	0	84,770	0	-84,770
4810	81	0	30,663	0	0	0	0	0
4811	58	2	23,547	36	483	14,727	197,588	182,861
4812	2	1	358	0	151	0	27,013	27,013
4813	12	1	1,679	0	50	0	6,995	6,995
4814	15	1	7,698	0	1,355	0	695,420	695,420
4817	14	2	7,543	90	28	48,494	15,087	-33,407

Table II.C.4. FY 01 CM Revenue Summary for AR by 4-Digit HTS

4-Digit HTS	Sample Size	Sample w/ Rev Change	Population Size	Refund in Sample	Recovery in Sample	Estimated Total Overpayment	Estimated Total Underpayment	Estimated Total Net Underpayment
4818	52	0	27,397	0	0	0	0	0
4819	77	1	56,059	0	5,472	0	4,209,819	4,209,819
4820	52	2	34,057	0	9,573	0	1,617,938	1,617,938
4821	24	1	17,998	14	0	10,771	0	-10,771
4823	54	4	31,000	911	88	530,091	51,205	-478,886
4901	154	2	82,552	0	620	0	334,068	334,068
4903	17	1	4,397	0	431	0	111,471	111,471
4910	11	1	4,869	63	0	27,883	0	-27,883
4911	49	2	47,936	0	18,585	0	17,979,951	17,979,951
5101	10	1	1,064	29	0	3,086	0	-3,086
5107	7	1	1,198	0	320	0	54,749	54,749
5111	6	1	2,271	0	73	0	27,635	27,635
5204	3	1	489	0	307	0	50,089	50,089
5205	51	1	10,770	0	539	0	106,354	106,354
5207	4	1	653	0	233	0	38,016	38,016
5208	66	3	28,812	361	147	159,777	65,061	-94,715
5209	67	2	25,925	73	141	29,863	57,681	27,818
5210	20	2	6,162	0	3,522	0	972,010	972,010
5309	24	1	9,902	0	1,033	0	426,205	426,205
5310	8	1	2,151	0	391	0	105,154	105,154
5402	100	1	22,852	0	233	0	52,901	52,901
5404	13	1	2,224	177	0	30,283	0	-30,283
5407	97	3	51,593	2,065	3,149	1,102,985	1,681,985	579,000
5503	47	3	13,571	0	7,894	0	2,279,357	2,279,357
5510	11	1	1,539	223	0	31,199	0	-31,199
5514	3	1	876	253	0	73,053	0	-73,053
5515	20	1	6,424	0	438	0	136,976	136,976
5516	35	3	12,956	0	475	0	172,965	172,965
5603	58	2	11,492	0	1,953	0	385,361	385,361
5604	13	2	2,093	0	1,551	0	209,871	209,871
5607	19	2	5,907	218	0	67,775	0	-67,775
5608	8	1	2,208	0	714	0	197,051	197,051
5701	62	5	17,782	0	7,921	0	1,477,864	1,477,864
5702	62	1	25,755	578	0	218,808	0	-218,808
5806	37	2	16,376	0	1,080	0	478,002	478,002
5807	8	1	6,436	92	0	74,014	0	-74,014
5810	22	2	14,194	234	2	150,967	1,290	-149,677
5903	26	2	10,636	0	830	0	339,541	339,541
5907	7	1	1,142	0	442	0	72,115	72,115
5911	33	1	11,656	0	228	0	83,023	83,023
6002	125	2	51,356	0	1,386	0	571,849	571,849
6102	31	1	15,290	43	0	17,591	0	-17,591
6103	33	1	27,147	0	48	0	27,611	27,611
6104	94	2	90,907	0	4,979	0	5,256,005	5,256,005

Table II.C.4. FY 01 CM Revenue Summary for AR by 4-Digit HTS

4-Digit HTS	Sample Size	Sample w/ Rev Change	Population Size	Refund in Sample	Recovery in Sample	Estimated Total Overpayment	Estimated Total Underpayment	Estimated Total Net Underpayment
6105	132	4	82,503	1,060	103	404,334	55,498	-348,835
6106	68	4	37,687	692	0	631,783	0	-631,783
6107	35	2	23,805	885	760	472,708	405,941	-66,767
6108	107	1	97,635	0	306	0	161,120	161,120
6109	142	8	127,017	5,147	10,641	7,090,407	7,564,441	474,034
6110	451	4	431,073	0	9,283	0	9,785,153	9,785,153
6111	84	0	88,893	0	0	0	0	0
6112	48	2	15,776	0	33,994	0	9,381,746	9,381,746
6115	44	1	25,384	763	0	407,543	0	-407,543
6116	41	2	15,332	401	596	164,043	243,815	79,772
6201	107	5	61,295	9,327	28,971	5,427,178	25,639,550	20,212,373
6202	78	1	49,844	0	51	0	32,903	32,903
6203	314	3	242,324	55	24,502	42,314	18,850,325	18,808,011
6204	543	12	525,248	56,725	10,034	59,880,871	10,522,624	-49,358,247
6205	178	0	122,546	0	0	0	0	0
6206	140	1	139,105	68	0	71,783	0	-71,783
6207	33	1	15,788	0	865	0	484,090	484,090
6208	49	3	38,072	0	3,240	0	2,085,680	2,085,680
6209	54	1	43,186	85	0	55,991	0	-55,991
6210	62	0	39,099	0	0	0	0	0
6211	81	4	80,633	151	12,064	159,401	12,735,175	12,575,775
6212	85	0	46,865	0	0	0	0	0
6214	30	2	23,080	214	0	164,638	0	-164,638
6302	154	5	120,733	511	480	681,223	369,282	-311,940
6303	43	1	26,696	0	6,585	0	3,831,668	3,831,668
6304	27	2	20,360	0	501	0	389,073	389,073
6307	143	6	105,137	832	3,579	564,101	2,779,423	2,215,322
6402	210	4	147,032	0	19,480	0	10,901,823	10,901,823
6403	560	2	438,813	96	3,305	90,148	3,103,531	3,013,383
6404	100	2	82,379	1,075	4,418	708,119	1,798,494	1,090,375
6405	31	1	13,521	0	2,314	0	842,611	842,611
6406	24	1	7,562	0	134	0	41,660	41,660
6505	59	2	59,174	0	702	0	741,055	741,055
6506	35	1	10,106	0	216	0	62,369	62,369
6601	23	1	10,065	653	0	269,421	0	-269,421
6702	76	0	42,237	0	0	0	0	0
6704	53	1	20,064	0	52	0	19,685	19,685
6802	181	12	96,678	227	2,915	121,248	1,556,998	1,435,749
6805	31	2	16,346	0	503	0	258,152	258,152
6806	12	1	6,716	0	796	0	445,475	445,475
6810	70	3	43,237	0	4,604	0	2,023,731	2,023,731
6815	31	3	16,959	0	657	0	354,004	354,004
6902	20	1	4,541	0	1,847	0	419,348	419,348
6905	15	1	2,058	0	1,693	0	232,226	232,226

Table II.C.4. FY 01 CM Revenue Summary for AR by 4-Digit HTS

4-Digit HTS	Sample Size	Sample w/ Rev Change	Population Size	Refund in Sample	Recovery in Sample	Estimated Total Overpayment	Estimated Total Underpayment	Estimated Total Net Underpayment
6907	19	1	11,829	800	0	460,176	0	-460,176
6908	95	2	179,964	9,375	279	17,932,232	533,663	-17,398,569
6909	15	2	6,045	2,043	104	842,920	42,909	-800,011
6911	39	1	26,714	0	784	0	1,080,023	1,080,023
6912	139	4	76,057	1,003	178	514,764	91,354	-423,410
6913	94	6	61,258	779	2,313	338,804	1,523,609	1,184,804
6914	80	5	11,307	2,784	2,215	381,877	303,828	-78,049
7007	59	0	25,254	0	0	0	0	0
7009	32	1	25,741	58	0	54,464	0	-54,464
7010	42	1	41,375	0	340	0	334,938	334,938
7011	16	1	2,347	0	320	0	54,749	54,749
7013	98	3	96,743	1,456	0	1,537,004	0	-1,537,004
7016	11	1	2,652	0	147	0	35,446	35,446
7018	21	1	8,591	0	168	0	68,726	68,726
7019	41	3	16,916	0	445	0	183,602	183,602
7020	20	5	8,578	847	59	374,878	26,113	-348,764
7101	33	1	10,320	0	251	0	78,496	78,496
7102	230	0	66,411	0	0	0	0	0
7103	119	4	21,289	186	133	33,275	23,793	-9,481
7106	9	1	1,235	0	341	0	46,774	46,774
7110	41	1	5,790	0	537	0	73,659	73,659
7113	258	2	227,189	13	390	11,600	348,006	336,405
7114	13	1	6,672	0	189	0	96,999	96,999
7115	9	1	2,177	0	453	0	109,559	109,559
7116	22	1	11,291	0	826	0	423,924	423,924
7117	80	4	78,226	283	376	278,787	370,402	91,615
7202	27	1	3,704	1,239	0	169,952	0	-169,952
7204	37	2	13,473	0	376	0	136,915	136,915
7208	165	1	30,386	0	45	0	8,050	8,050
7209	93	0	17,646	0	0	0	0	0
7210	55	2	23,128	0	2,373	0	970,761	970,761
7211	33	1	12,059	97	0	39,487	0	-39,487
7212	7	1	2,346	75	0	35,213	0	-35,213
7213	97	0	7,932	0	0	0	0	0
7214	38	1	6,371	0	3,962	0	646,428	646,428
7217	52	4	27,503	177	1,367	95,371	736,566	641,195
7219	61	3	17,613	177	8,742	51,108	2,524,213	2,473,105
7220	17	2	4,431	0	3,171	0	797,512	797,512
7221	18	2	2,785	0	6,269	0	877,069	877,069
7223	29	2	7,799	0	722	0	194,172	194,172
7224	18	1	1,161	0	145	0	9,351	9,351
7225	76	4	11,267	779	2,529	106,854	346,899	240,045
7227	20	2	5,387	0	1,380	0	186,732	186,732
7228	79	1	26,379	0	72	0	29,310	29,310

Table II.C.4. FY 01 CM Revenue Summary for AR by 4-Digit HTS

4-Digit HTS	Sample Size	Sample w/ Rev Change	Population Size	Refund in Sample	Recovery in Sample	Estimated Total Overpayment	Estimated Total Underpayment	Estimated Total Net Underpayment
7229	21	2	5,064	358	0	86,324	0	-86,324
7301	3	2	536	15	882	2,668	157,786	155,119
7304	90	1	25,535	0	37	0	9,951	9,951
7305	31	1	3,450	0	24,684	0	2,746,923	2,746,923
7306	109	3	59,230	54	1,741	31,062	1,001,458	970,396
7307	82	5	65,019	1,893	285	1,470,089	221,329	-1,248,760
7308	75	2	50,220	0	187	0	123,180	123,180
7312	41	4	15,754	37	1,240	15,266	511,611	496,345
7316	4	1	1,104	0	211	0	58,232	58,232
7318	298	13	223,946	810	8,358	634,630	5,429,972	4,795,342
7320	36	1	55,613	1	0	1,913	0	-1,913
7321	84	3	35,664	0	4,727	0	2,407,290	2,407,290
7323	182	10	73,327	524	1,631	238,467	617,431	378,964
7324	18	1	6,384	0	88	0	25,410	25,410
7325	40	3	21,553	293	406	157,874	218,761	60,887
7326	172	11	146,517	1,588	469	1,434,047	418,499	-1,015,548
7403	31	1	7,038	90	0	20,434	0	-20,434
7407	32	2	11,652	0	1,251	0	455,534	455,534
7412	15	1	7,698	0	29	0	14,884	14,884
7418	24	1	13,770	0	17	0	9,160	9,160
7419	45	10	25,475	681	10,609	396,259	6,173,146	5,776,887
7601	78	0	12,037	0	0	0	0	0
7603	2	1	326	1,930	0	314,893	0	-314,893
7606	52	2	22,732	2,836	308	1,255,198	136,319	-1,118,879
7607	40	2	14,960	370	2,845	140,067	1,077,003	936,936
7612	7	2	2,345	0	3,288	0	1,197,279	1,197,279
7615	30	1	11,938	0	69	0	26,121	26,121
7616	75	1	55,125	0	263	0	202,336	202,336
7901	24	1	3,358	0	3,032	0	424,194	424,194
7907	15	2	6,136	0	505	0	206,588	206,588
8004	1	1	137	0	39	0	5,350	5,350
8007	10	1	5,132	93	0	47,730	0	-47,730
8104	15	1	2,960	0	3,741	0	738,165	738,165
8108	14	2	3,386	2,788	9,377	674,286	2,267,856	1,593,570
8113	7	1	960	0	344	0	47,186	47,186
8203	20	1	15,286	0	14	0	11,263	11,263
8204	35	2	17,396	448	0	167,119	0	-167,119
8205	59	4	61,862	0	1,022	0	1,078,859	1,078,859
8207	114	4	62,591	48	210	25,638	108,123	82,485
8211	31	1	19,670	0	123	0	81,022	81,022
8212	16	2	3,858	15	241	3,617	58,112	54,495
8214	18	1	13,846	36	0	28,962	0	-28,962
8215	39	1	29,469	0	1,275	0	1,025,739	1,025,739
8301	55	2	40,507	0	545	0	438,453	438,453

Table II.C.4. FY 01 CM Revenue Summary for AR by 4-Digit HTS

4-Digit HTS	Sample Size	Sample w/ Rev Change	Population Size	Refund in Sample	Recovery in Sample	Estimated Total Overpayment	Estimated Total Underpayment	Estimated Total Net Underpayment
8302	182	4	164,101	357	753	335,238	707,098	371,860
8303	12	1	2,821	0	64	0	15,432	15,432
8305	16	1	13,485	71	0	66,672	0	-66,672
8306	66	1	51,147	0	526	0	408,487	408,487
8308	21	2	16,308	3	100	2,330	77,659	75,329
8402	12	1	1,646	183	0	25,102	0	-25,102
8403	11	1	4,006	0	75	0	27,310	27,310
8405	7	2	979	0	4,448	0	622,301	622,301
8406	22	1	3,018	0	65	0	8,916	8,916
8407	112	2	33,733	0	653	0	88,360	88,360
8408	49	1	19,629	0	3,866	0	999,876	999,876
8409	229	5	135,352	115	760	74,193	490,321	416,128
8411	475	4	127,745	65	877	17,481	235,857	218,376
8412	62	1	49,747	0	430	0	345,935	345,935
8413	206	2	157,671	0	644	0	518,099	518,099
8414	395	14	136,773	2,978	1,813	922,643	628,593	-294,050
8415	116	0	49,956	0	0	0	0	0
8416	21	1	5,796	0	26	0	7,176	7,176
8418	99	1	36,647	0	20	0	7,283	7,283
8419	142	5	58,529	471	8,100	194,330	3,341,975	3,147,646
8420	13	2	2,121	0	3,411	0	556,528	556,528
8421	214	4	114,486	116	424	61,334	226,173	164,839
8422	134	1	37,343	0	46,716	0	12,563,639	12,563,639
8423	35	1	9,413	0	164	0	44,106	44,106
8424	96	6	37,148	2,483	887	939,964	335,783	-604,182
8425	50	0	19,690	0	0	0	0	0
8427	59	1	12,394	0	653	0	128,848	128,848
8428	370	4	24,942	0	890	0	57,395	57,395
8429	78	0	24,029	0	0	0	0	0
8431	202	2	155,561	0	72	0	47,428	47,428
8432	53	0	17,338	0	0	0	0	0
8433	75	1	22,539	124	0	24,467	0	-24,467
8436	23	3	5,949	0	221	0	57,158	57,158
8437	8	1	1,445	0	1,875	0	305,919	305,919
8438	60	2	15,459	0	419	0	108,367	108,367
8439	54	3	7,742	0	422	0	103,971	103,971
8441	77	2	10,042	0	223	0	37,138	37,138
8443	139	4	26,051	80	157	13,687	28,162	14,475
8444	2	2	274	0	5,409	0	741,944	741,944
8445	11	1	1,509	58,950	0	8,086,075	0	-8,086,075
8451	64	2	13,546	0	413	0	70,660	70,660
8453	8	4	2,069	0	449	0	116,126	116,126
8454	35	2	4,716	348	2,239	45,212	290,891	245,679
8456	26	2	4,230	2,752	92	177,474	5,933	-171,541

Table II.C.4. FY 01 CM Revenue Summary for AR by 4-Digit HTS

4-Digit HTS	Sample Size	Sample w/ Rev Change	Population Size	Refund in Sample	Recovery in Sample	Estimated Total Overpayment	Estimated Total Underpayment	Estimated Total Net Underpayment
8457	38	1	3,345	590	0	38,048	0	-38,048
8458	52	0	4,583	0	0	0	0	0
8459	54	6	4,230	12,363	3,789	968,425	296,802	-671,623
8460	51	4	3,995	36	5,186	2,820	406,232	403,412
8461	37	1	4,238	26	0	2,767	0	-2,767
8462	144	5	12,204	2,666	17,809	171,927	1,148,483	976,556
8464	59	4	4,636	0	3,668	0	287,324	287,324
8465	154	7	20,008	1,512	937	207,665	104,273	-103,393
8466	156	5	100,018	736	760	435,496	590,210	154,714
8467	81	3	47,688	0	436	0	244,004	244,004
8470	73	1	19,542	0	69	0	17,354	17,354
8471	1897	15	756,228	0	10,090	0	2,913,442	2,913,442
8472	66	2	23,013	0	851	0	205,817	205,817
8473	1272	18	466,172	3,650	2,105	1,134,759	1,001,614	-133,145
8474	96	5	23,218	0	2,397	0	579,722	579,722
8476	27	1	4,619	0	166	0	28,401	28,401
8477	202	11	36,772	5,033	488	900,382	85,068	-815,315
8478	13	2	3,588	0	1,198	0	330,627	330,627
8479	321	24	93,943	44,333	5,155	12,800,953	1,444,958	-11,355,996
8480	124	3	21,372	188	2	32,165	342	-31,823
8481	296	7	289,948	841	270	808,852	303,913	-504,939
8482	158	1	121,117	4,030	0	4,254,207	0	-4,254,207
8483	230	3	320,685	1,528	30	1,473,955	57,383	-1,416,571
8484	29	1	17,101	0	16	0	15,762	15,762
8485	17	2	10,738	123	62	79,355	91,477	12,123
8501	229	8	168,025	749	539	217,798	433,626	215,828
8502	42	1	5,761	5,002	0	686,116	0	-686,116
8503	85	0	46,313	0	0	0	0	0
8504	488	12	319,608	3,214	2,090	1,925,683	1,211,159	-714,524
8505	35	1	25,005	639	0	491,607	0	-491,607
8506	50	1	12,565	0	36	0	8,174	8,174
8507	152	5	63,980	276	192	104,483	27,278	-77,204
8508	76	2	38,852	35	139	14,441	57,350	42,909
8509	87	0	31,163	0	0	0	0	0
8510	31	2	5,602	936	33	160,140	5,646	-154,494
8511	136	2	79,339	0	3,201	0	2,065,156	2,065,156
8512	105	0	80,754	0	0	0	0	0
8513	20	2	7,012	0	1,255	0	456,991	456,991
8514	39	1	10,257	0	554	0	139,332	139,332
8515	71	3	16,246	0	10,108	0	2,294,950	2,294,950
8516	180	3	106,593	0	5,876	0	1,054,210	1,054,210
8517	647	4	238,895	0	1,439	0	750,408	750,408
8518	179	4	110,501	289	2,833	186,451	1,827,737	1,641,286
8519	71	0	20,462	0	0	0	0	0

Table II.C.4. FY 01 CM Revenue Summary for AR by 4-Digit HTS

4-Digit HTS	Sample Size	Sample w/ Rev Change	Population Size	Refund in Sample	Recovery in Sample	Estimated Total Overpayment	Estimated Total Underpayment	Estimated Total Net Underpayment
8521	115	0	19,361	0	0	0	0	0
8523	107	1	31,584	600	0	155,180	0	-155,180
8524	108	2	90,632	0	129	0	115,110	115,110
8525	645	17	145,116	501	27,155	81,742	4,420,634	4,338,893
8526	61	1	23,734	79	0	28,767	0	-28,767
8527	270	2	79,884	492	0	132,317	0	-132,317
8528	152	2	39,642	869	0	210,170	0	-210,170
8529	261	10	138,288	690	1,759	148,632	902,762	754,130
8531	162	8	81,560	518	463	265,850	209,273	-56,577
8532	97	0	61,380	0	0	0	0	0
8533	67	0	66,684	0	0	0	0	0
8534	269	7	142,780	51	2,342	27,241	1,250,939	1,223,699
8535	35	1	14,087	67	0	27,409	0	-27,409
8536	401	13	400,596	422	2,130	445,478	2,125,787	1,680,309
8537	174	3	95,777	21	145	11,217	77,449	66,232
8538	98	3	91,321	329	306	308,945	287,347	-21,598
8539	137	1	74,597	52	0	27,775	0	-27,775
8540	60	3	16,943	84	894	21,725	231,218	209,493
8541	344	3	195,476	0	1,495	0	938,207	938,207
8542	1183	12	589,132	0	6,854	0	2,672,932	2,672,932
8543	143	8	84,809	482	1,799	269,747	973,104	703,356
8544	442	9	312,691	1,470	1,305	707,881	1,068,484	360,604
8546	19	1	6,919	173	0	62,996	0	-62,996
8547	13	2	5,078	92	170	37,636	69,545	31,909
8548	18	1	8,248	0	64	0	26,406	26,406
8607	51	0	18,571	0	0	0	0	0
8701	56	0	22,575	0	0	0	0	0
8703	837	3	256,600	4,030	0	523,577	0	-523,577
8704	126	1	55,443	0	3,280	0	947,085	947,085
8708	1299	27	839,223	2,573	8,038	1,783,313	4,141,959	2,358,647
8711	78	1	12,022	9	0	1,235	0	-1,235
8712	51	0	18,302	0	0	0	0	0
8714	71	2	45,247	0	95	0	73,776	73,776
8716	65	0	39,149	0	0	0	0	0
8801	6	1	1,027	153	0	26,177	0	-26,177
8803	320	9	116,996	382	3,266	139,100	1,189,268	1,050,168
8903	56	1	7,681	0	27	0	3,704	3,704
8907	8	1	1,935	0	714	0	172,683	172,683
9001	94	1	36,741	0	228	0	86,312	86,312
9002	49	2	24,309	222	0	146,235	0	-146,235
9003	76	2	32,843	1,003	254	443,922	112,419	-331,503
9004	55	0	41,070	0	0	0	0	0
9005	31	1	8,555	26	0	7,176	0	-7,176
9006	96	2	24,510	166	152	47,932	43,889	-4,042

Table II.C.4. FY 01 CM Revenue Summary for AR by 4-Digit HTS

4-Digit HTS	Sample Size	Sample w/ Rev Change	Population Size	Refund in Sample	Recovery in Sample	Estimated Total Overpayment	Estimated Total Underpayment	Estimated Total Net Underpayment
9007	11	1	2,176	0	506	0	99,843	99,843
9008	16	2	2,659	60	330	10,265	56,460	46,194
9009	147	5	48,466	0	827	0	258,629	258,629
9010	48	5	11,630	908	725	234,839	187,509	-47,330
9011	18	2	7,931	1,995	79	882,976	34,965	-848,011
9012	21	4	4,768	1,354	2,015	307,416	457,492	150,075
9013	139	3	47,188	764	2,847	237,522	885,112	647,590
9014	45	2	22,685	0	392	0	201,184	201,184
9017	93	1	12,789	0	146	0	20,027	20,027
9018	273	2	206,128	0	120	0	116,042	116,042
9020	9	1	2,420	7,724	0	2,077,266	0	-2,077,266
9021	67	0	52,032	0	0	0	0	0
9022	77	0	51,833	0	0	0	0	0
9023	39	5	11,261	980	1,077	282,971	310,979	28,008
9024	19	1	5,907	52	0	16,166	0	-16,166
9025	36	1	23,306	0	54	0	34,839	34,839
9026	65	2	55,661	0	715	0	638,010	638,010
9027	188	1	110,635	0	154	0	88,584	88,584
9028	25	1	6,205	66	0	11,155	0	-11,155
9029	58	2	43,487	19	605	14,755	469,838	455,083
9030	163	4	103,105	241	1,142	140,774	736,772	595,998
9031	155	6	92,351	918	320	528,052	184,070	-343,982
9032	157	6	130,426	525	61	425,285	57,282	-368,004
9101	29	1	15,626	3,204	0	1,726,377	0	-1,726,377
9102	119	4	217,746	2,828	585	5,409,317	928,675	-4,480,643
9106	6	1	3,358	98	0	54,845	0	-54,845
9110	1	1	364	0	674	0	245,428	245,428
9201	19	1	2,658	0	94	0	13,151	13,151
9202	22	1	5,917	147	0	39,534	0	-39,534
9203	1	1	137	0	356	0	48,832	48,832
9204	6	2	823	114	77	15,637	10,562	-5,075
9205	17	2	3,860	0	6,603	0	1,499,165	1,499,165
9208	22	1	8,755	147	0	60,136	0	-60,136
9209	34	1	13,909	0	48	0	19,636	19,636
9305	14	1	5,727	0	55	0	22,500	22,500
9306	20	1	3,422	0	21	0	3,593	3,593
9401	469	0	394,074	0	0	0	0	0
9402	19	1	9,751	0	1,684	0	864,270	864,270
9403	801	7	768,220	0	2,428	0	2,221,719	2,221,719
9404	71	1	38,997	1,050	0	538,886	0	-538,886
9405	261	7	254,777	1,626	756	883,622	744,744	-138,878
9501	26	3	14,501	0	5,740	0	3,301,763	3,301,763
9502	120	0	89,744	0	0	0	0	0
9503	388	8	352,900	0	5,509	0	5,193,951	5,193,951

Table II.C.4. FY 01 CM Revenue Summary for AR by 4-Digit HTS

4-Digit HTS	Sample Size	Sample w/ Rev Change	Population Size	Refund in Sample	Recovery in Sample	Estimated Total Overpayment	Estimated Total Underpayment	Estimated Total Net Underpayment
9504	138	1	45,773	0	497	0	154,514	154,514
9505	179	4	129,965	149	1,088	114,631	400,602	285,970
9506	177	5	92,879	2,872	3,313	1,534,030	1,769,583	235,553
9507	41	1	13,697	0	31	0	9,695	9,695
9603	75	2	47,186	0	969	0	638,295	638,295
9608	67	2	38,956	232	345	134,996	200,748	65,752
9609	18	1	7,742	0	913	0	404,089	404,089
9611	11	1	5,566	171	0	69,954	0	-69,954
9612	21	1	4,144	0	362	0	71,429	71,429
9615	44	5	24,443	1,307	707	698,112	377,632	-320,480
9617	18	1	4,340	0	23	0	5,546	5,546
9701	183	0	25,102	0	0	0	0	0
9705	8	1	1,369	0	35	0	5,988	5,988
9706	75	0	48,387	0	0	0	0	0
9801	1420	43	1,409,116	188	17,426	88,268	18,425,773	18,337,506

Table II.C.5. FY 01 CM Revenue Summary for AR by Country of Origin

Country Code	Country Name	Sample Size	Sample w/ Rev Change	Population Size	Refund in Sample	Recovery in Sample	Estimated Total Overpayment	Estimated Total Underpayment	Estimated Total Net Underpayment
	All Countries	57,169	1,304	28,995,425	408,113	887,011	218,006,158	399,618,156	181,611,998
AR	ARGENTINA	119	2	50,149	0	11,678	0	4,777,304	4,777,304
AT	AUSTRIA	254	9	99,590	1,951	5,588	439,875	4,650,091	4,210,216
AU	AUSTRALIA	300	9	126,140	1,410	1,579	410,738	449,744	39,006
BD	BANGLADESH	93	2	63,899	43	10,200	17,591	10,767,473	10,749,882
BE	BELGIUM	416	17	171,202	3,790	7,300	860,325	2,922,758	2,062,433
BR	BRAZIL	656	7	267,983	58	9,368	54,464	3,976,533	3,922,069
CA	CANADA	9,178	113	3,444,194	9,615	42,280	2,703,498	16,442,453	13,738,954
CH	SWITZERLAND	558	20	270,288	8,267	3,054	2,678,919	1,732,489	-946,430
CL	CHILE	279	1	85,926	10	0	1,372	0	-1,372
CN	CHINA	6,860	232	4,180,693	38,752	140,180	24,136,049	81,636,678	57,500,629
CO	COLOMBIA	214	1	179,701	322	0	443,581	0	-443,581
CR	COSTA RICA	206	1	125,274	13	0	2,197	0	-2,197
CZ	CZECH REPUBLIC	102	2	53,172	97	55	30,157	22,500	-7,657
DE	GERMANY	3,337	120	1,468,285	80,012	56,353	21,216,965	12,975,953	-8,241,011
DK	DENMARK	231	5	106,843	258	956	160,239	275,339	115,100
DO	DOMINICAN REP	205	5	111,639	6,457	1,533	3,570,923	1,090,719	-2,480,203
EC	ECUADOR	106	0	57,300	0	0	0	0	0
EG	EGYPT	35	3	17,226	656	307	288,409	50,089	-238,320
ES	SPAIN	474	13	256,822	647	12,394	484,773	3,042,707	2,557,934
FI	FINLAND	164	5	52,851	117	438	75,484	136,617	61,133
FR	FRANCE	1,580	44	744,535	28,264	19,123	7,437,582	6,135,650	-1,301,932
GB	UNITED KINGDOM	2,320	66	996,674	7,876	31,266	2,408,150	13,186,020	10,777,869
GR	GREECE	50	2	20,967	122	1,373	65,736	1,449,386	1,383,650
GT	GUATEMALA	114	2	76,146	56,698	0	59,805,171	0	-59,805,171
HK	HONG KONG	725	19	477,522	1,561	8,036	639,151	4,640,597	4,001,446
HN	HONDURAS	136	2	95,820	3,190	452	4,394,482	50,300	-4,344,182
HU	HUNGARY	84	0	44,642	0	0	0	0	0
ID	INDONESIA	613	6	360,782	793	5,463	439,212	1,147,897	708,685
IE	IRELAND	220	2	107,006	0	1,911	0	464,479	464,479
IL	ISRAEL	493	3	211,251	0	6,458	0	2,888,792	2,888,792

Table II.C.5. FY 01 CM Revenue Summary for AR by Country of Origin

Country Code	Country Name	Sample Size	Sample w/ Rev Change	Population Size	Refund in Sample	Recovery in Sample	Estimated Total Overpayment	Estimated Total Underpayment	Estimated Total Net Underpayment
IN	INDIA	881	19	479,848	6,021	16,240	2,201,449	4,014,594	1,813,145
IT	ITALY	2,239	78	1,253,673	19,122	94,918	21,585,744	40,879,102	19,293,359
JM	JAMAICA	18	1	12,750	55	0	42,314	0	-42,314
JP	JAPAN	5,047	136	2,258,737	97,555	80,743	47,854,162	21,660,868	-26,193,294
KH	KAMPUCHEA (CAMBO	22	3	13,334	40	1,925	11,938	880,193	868,255
KR	KOREA	1,559	38	701,888	3,126	36,105	1,464,873	7,401,323	5,936,450
LI	LIECHTENSTEIN	13	1	5,187	392	0	161,735	0	-161,735
LK	SRI LANKA	128	0	88,927	0	0	0	0	0
LU	LUXEMBOURG	27	1	8,099	15	0	2,668	0	-2,668
MO	MACAO (MACAU)	82	0	65,435	0	0	0	0	0
MT	MALTA AND GOZO	11	1	6,970	0	260	0	256,129	256,129
MV	MALDIVE ISLANDS	6	1	3,688	0	306	0	161,120	161,120
MX	MEXICO	6,284	55	3,612,359	3,653	95,922	793,865	64,595,540	63,801,675
MY	MALAYSIA	951	13	496,270	1,084	12,284	448,258	15,137,413	14,689,155
NI	NICARAGUA	35	1	17,229	0	2,075	0	230,913	230,913
NL	NETHERLANDS	550	14	261,234	216	14,628	110,157	3,708,179	3,598,022
NO	NORWAY	98	5	39,516	2,452	626	827,814	287,400	-540,414
NZ	NEW ZEALAND	187	2	69,218	0	12,986	0	5,313,111	5,313,111
PE	PERU	84	0	52,436	0	0	0	0	0
PH	PHILIPPINES	582	10	345,864	420	6,985	374,775	4,179,572	3,804,796
PK	PAKISTAN	184	10	113,998	1,818	6,761	907,592	3,845,549	2,937,958
PL	POLAND	98	5	60,173	156	1,942	164,679	498,179	333,500
PT	PORTUGAL	132	0	71,407	0	0	0	0	0
RU	RUSSIA	112	2	35,653	524	1,047	71,876	146,481	74,605
SA	SAUDI ARABIA	13	1	5,974	0	60	0	34,513	34,513
SE	SWEDEN	551	14	217,329	3,815	2,931	1,946,905	893,186	-1,053,719
SG	SINGAPORE	550	6	236,281	274	2,906	179,316	523,195	343,879
SV	EL SALVADOR	94	1	74,127	0	1,986	0	601,485	601,485
SZ	SWAZILAND	5	1	2,162	290	0	154,899	0	-154,899
TH	THAILAND	904	11	492,624	951	2,641	347,589	1,377,061	1,029,471
TR	TURKEY	225	1	131,004	0	52	0	13,078	13,078
TW	TAIWAN	2,411	93	1,283,860	9,568	54,014	3,437,461	16,845,880	13,408,419
US	UNITED STATES	1,949	53	1,649,745	1,808	18,869	366,949	19,162,336	18,795,388
VE	VENEZUELA	95	4	39,551	2,006	1,866	1,543,292	921,580	-621,713
VN	VIETNAM	65	4	29,712	0	35,893	0	10,482,297	10,482,297
ZA	SOUTH AFRICA	139	5	58,243	1,773	2,458	240,807	622,804	381,997
ZW	ZIMBABWE	8	1	1,475	0	237	0	32,509	32,509

SECTION III. CM RESULTS FOR BRASS RELEASE

A separate CM sample was selected from import transactions processed and released through the Border Release Advanced Screening and Selectivity (BRASS). This section contains three sets of tables showing the FY 2001 CM results of BRASS Release (BR) transactions. These three sets of tables show, respectively, the compliance rates, discrepancy rates and revenue summary, by Primary Focus Industry (PFI), by Harmonized Tariff Schedule (HTS) section, by HTS chapter, by 4-digit HTS number and by country of origin, as listed below. The population and sample sizes that are included in the tables show the numbers of cargo entry lines, not C4 transactions based on which the sampled population was counted (see Section I). The population size is an estimate based on the sample lines that fall in the specific row of the table. These population size estimates might contain sampling errors. The sample size shown represents the number of entry lines in the sample (i.e., CM examined and reviewed) that fall in the row.

It should be noted Customs does not officially define PFI for BR transactions, because PFI was defined based on 10-digit HTS numbers, while BR transactions are submitted to Customs via C-4 codes that identify commodities only by 4-digit HTS numbers. It is not possible to define PFI for BR transactions. The PFI tables for BR below are based on HTS numbers of the entry submitted to Customs after a CM hit on a C-4 transaction. It is possible that the submitted HTS number does not match or agree with the C-4 code under which the transaction was hit. Thus, the results in these PFI tables for BR do not exactly reflect the state of PFI import of BR. This is different from the meaning of the results in the PFI table for ACS Release (AR), where the results reflect the state of PFI imports of AR as initially entered to Customs. The PFI tables for BR are produced for FY 2001 as an indication of the state of BR, and should be understood as such. The results are not direct estimates of the actual conditions of the sampled universe.

A.   Compliance Rates

For Tables III.A.4 and III.A.5, only those 4-digit HTS numbers or countries of origin that included at least 25 examinations (i.e., sample size of at least 25) are listed. The reasons for this are to not display estimates that are not expected to be reliable and to reduce the size of the table. This implies that the estimates displayed in the tables are at least somewhat accurate and that these HTS numbers or countries have a sufficient volume of entry lines.

These tables show (weighted) compliance rates, and 95% confidence intervals of the compliance rates. The compliance rate is calculated by dividing the estimated population into the estimated total number of compliant lines in the population. A 95% confidence interval is a means to show the precision of the compliance rate estimate. This means that if a sample of the same size were repeatedly taken from the same population and a 95% confidence interval were calculated based on the sample, then the chances would be 95% that the calculated confidence interval would encompass the actual compliance rate in the population.

The confidence interval on each table was calculated using a normal approximation method which only works for a moderately large sample size, e.g., 25 or 50 (or even 100), depending on the range of the actual compliance rate, and with at least a few discrepant lines found. The normal approximation method failed in some situations, e.g., where the 95% confidence has the same lower and upper bounds of 100% or is extremely narrow for a moderate sample size. This is misleading due to a normal approximation with a moderately small sample size under an extreme compliance situation. (In this case, there are alternative estimation methods that may yield a more reliable confidence interval.)

B.   Discrepancy Rates

The discrepancy rate is calculated by dividing the estimated total number of discrepant lines of the specific discrepancy type in the population by the estimated population. In the above tables, discrepancy rates were displayed for those types of discrepancies or violations that were detected with at least a noticeable frequency. These discrepancy or violation types include: Overall, Discrepancies at Cargo, Discrepancies at Summary, Quantity, Quota, Marking, Classification, Other Agency, Trademark and Copy Rights, Value, Country of Origin, AD/CVD, Clerical, Health and Safety, C4 and Miscellaneous. As before, for Tables III.B.4 and III.B.5, only those 4-digit HTS numbers or countries of origin that included at least 25 examinations (i.e., sample size of at least 25) were displayed.

The estimated total number of discrepant lines in the population is not included in the tables. This kind of estimate can be calculated easily by multiplying the (estimated) population size by the corresponding discrepancy rate. (It is noted that when the actual population size for the

particular row is available, one should use the actual in the calculation instead of the estimate shown in the table.)

C. Revenue Summary

For Tables III.C.4 and III.C.5, only those 4-digit HTS numbers or countries of origin that included at least 50 examinations (i.e., sample size of at least 50) or with at least two examinations resulting in revenue changes are displayed. This exclusion is to avoid displaying revenue estimates whose reliability is extremely questionable and to show only those HTS numbers or countries that had a sufficient volume of entry lines during FY 2001.

The above tables contain (total) refunds and recoveries in the sample, as well as estimated total overpayment, total underpayment, and total net underpayment of the population. The estimated total overpayment represents the total amount the government should refund the importers with total compliance. The estimated total underpayment represents the total increase in revenue the government should receive from the importers with total compliance. (The estimated total activity is the sum of estimated total overpayment and estimated total underpayment, constituting the total revenue impropriety due to non-compliance. This estimated total activity is included to indicate the absolute magnitude of the impact due to non-compliance.) The estimated total net underpayment represents the total net revenue loss (i.e., total underpayment less total overpayment) to the government due to non-compliance.

A 95% confidence interval is also included in Table III.C.1 through Table III.C.3 to show the precision of the estimated total net underpayment. This means that if a sample of the same size were repeatedly taken from the same population and a 95% confidence interval of the total net underpayment were calculated based on the sample, then the chances would be 95% that the calculated confidence interval would encompass the actual total net underpayment in the population.

A confidence interval provides an indication of the precision of the estimate of the actual total net underpayment in the population. It is noted that the 95% confidence intervals in Table III.C.1 through Table III.C.3 are in general very wide, indicating that the estimates in these tables are not precise. This is because there were not many sample lines with a revenue change and the

variability of the change is very large when the change does occur. Those estimates in the tables by 4-digit HTS and by country of origin have an even worse precision because of smaller sample sizes. Nevertheless, it should be stressed that the point estimate is estimated total net underpayment and it represents the most likely occurrence of actual total net underpayment that the statistical method can provide with the given data.

Table III.A.1. FY 01 CM Compliance Rates for BR by PFI

PFI Description	Sample Size	Population Size	Compliance Rate	95% Confidence Interval Lower Bound	Upper Bound
BRASS (BR) Overall	**7,526**	**4,822,180**	**83.42%**	**82.58%**	**84.26%**
BR: Non PFI	**4,196**	**2,536,988**	**83.94%**	**82.83%**	**85.05%**
BR: All PFI Combined	**3,330**	**2,285,193**	**82.85%**	**81.57%**	**84.13%**
AGRICULTURE	1,057	643,022	81.44%	79.09%	83.78%
AUTOMOTIVE	757	624,226	81.30%	78.52%	84.07%
INFORMATION TECHNOLOGY	305	146,422	92.29%	89.30%	95.29%
PRODUCTION EQUIPMENT	215	59,092	81.63%	76.46%	86.81%
STEEL MILL PRODUCTS	295	202,532	72.52%	67.43%	77.61%
TEXTILES & WEARING APPAREL	124	39,564	79.06%	71.90%	86.22%
WOOD PRODUCTS	577	570,333	87.77%	85.10%	90.45%

Table III.A.2. FY 01 Compliance Rates for BR by HTS Section

HTS Section	HTS Section Description	Sample Size	Population Size	Compliance Rate	95% Confidence Interval Lower Bound	Upper Bound
.	**All Sections Combined**	**7,526**	**4,822,180**	**83.42%**	**82.58%**	**84.26%**
1	Animals, Animal Products	267	143,493	80.38%	75.62%	85.14%
2	Vegetable Products	277	174,360	79.81%	75.09%	84.54%
3	Fats, Oils	46	14,420	85.76%	75.66%	95.86%
4	Foodstuffs, Beverages, Tobacco	467	310,749	82.64%	79.20%	86.08%
5	Mineral Products	163	149,373	91.12%	86.75%	95.48%
6	Chemical Products	478	278,624	92.55%	90.20%	94.91%
7	Plastic and Rubber	689	348,261	76.97%	73.83%	80.12%
9	Wood Products	577	570,333	87.77%	85.10%	90.45%
10	Pulp Products	904	729,230	87.38%	85.21%	89.54%
11	Textiles	121	38,240	78.34%	71.00%	85.68%
13	Plastic, Glass, and Products	215	151,633	81.10%	75.87%	86.34%
15	Base Metals and Articles	1,129	601,172	76.42%	73.94%	78.90%
16	Machinery, Elect Equipment	974	422,253	86.00%	83.82%	88.18%
17	Transportation Equipment	768	586,187	82.72%	80.04%	85.39%
18	Hi-Tech Equipment/Instruments	72	20,719	87.32%	79.63%	95.01%
20	Misc Manufactured Articles	341	268,142	78.51%	74.15%	82.87%

Table III.A.3. FY 01 CM Compliance Rates for BR by HTS Chapter

HTS Chapter	Chapter Description	Sample Size	Population Size	Compliance Rate	95% Confidence Interval Lower Bound	95% Confidence Interval Upper Bound
	All Chapters Combined	**7,526**	**4,822,180**	**83.42%**	**82.58%**	**84.26%**
1	Live Animals	63	41,271	93.07%	86.79%	99.34%
2	Meat	104	55,259	73.98%	65.55%	82.42%
3	Fish	88	40,486	75.15%	66.12%	84.18%
6	Live Trees, Plants	29	26,011	93.63%	84.74%	100.00%
7	Vegetables	86	57,826	73.53%	64.21%	82.86%
10	Cereals	60	44,983	79.81%	69.65%	89.96%
11	Grain Products	42	19,880	78.06%	65.54%	90.57%
12	Oil Seeds	35	18,595	74.25%	59.77%	88.74%
15	Fats	46	14,420	85.76%	75.66%	95.86%
17	Sugars	50	32,523	79.67%	68.51%	90.82%
18	Cocoa	47	14,410	86.97%	77.34%	96.59%
19	Prepared Cereals, Flour	77	53,167	81.23%	72.51%	89.95%
20	Prepared Vegie, Fruits	76	53,020	92.97%	87.23%	98.72%
21	Misc Edible	37	14,375	91.68%	82.79%	100.00%
22	Beverages	87	84,934	75.11%	66.02%	84.19%
23	Food Residues	72	53,171	83.93%	75.44%	92.41%
25	Salt, Sulfur, Cement	75	69,006	89.55%	82.63%	96.47%
27	Oils	86	79,918	92.42%	86.82%	98.01%
28	Inorganic Chemicals	143	79,993	93.30%	89.21%	97.40%
29	Organic Chemicals	75	25,760	95.26%	90.46%	100.00%
31	Fertilizers	110	126,194	95.68%	91.88%	99.48%
32	Inks and Dyes	37	12,222	69.28%	54.41%	84.14%
38	Misc Chemicals	51	14,753	87.48%	78.39%	96.56%
39	Plastics	504	257,677	79.66%	76.14%	83.17%
40	Rubber	185	90,585	69.34%	62.70%	75.99%
44	Articles of Wood	577	570,333	87.77%	85.10%	90.45%
47	Pulp	124	74,044	78.11%	70.83%	85.39%
48	Paper Products	688	620,422	88.85%	86.49%	91.20%
49	Printed Books	92	34,763	80.95%	72.92%	88.97%
54	Man-Made Filaments	35	9,742	66.98%	51.40%	82.56%
68	Stone Articles	102	89,473	84.64%	77.64%	91.64%
70	Glassware	91	53,575	76.63%	67.94%	85.33%
72	Iron, Steel	296	211,489	74.19%	69.21%	79.18%
73	Steel Articles	277	172,566	72.73%	67.48%	77.97%
74	Copper	89	23,215	83.06%	75.26%	90.85%
76	Aluminum	298	105,223	80.82%	76.34%	85.29%
79	Zinc	36	12,129	83.65%	71.57%	95.73%
83	Misc Metal Articles	70	58,573	82.20%	73.24%	91.16%
84	Mechanical Apparatus	538	239,149	82.72%	79.53%	85.92%
85	Electrical Equipment	436	183,104	90.27%	87.49%	93.06%
87	Vehicles	702	573,051	82.56%	79.75%	85.36%
89	Ships, Boats	26	3,985	90.41%	79.09%	100.00%
90	Hi-Tech Instruments	66	19,683	86.65%	78.45%	94.86%
94	Furniture	287	249,302	77.89%	73.09%	82.69%
95	Toys, Games	47	17,755	85.88%	75.92%	95.83%

Table III.A.4. FY 01 CM Compliance Rates for BR by 4 Digit HTS

4-Digit HTS	Sample Size	Population Size	Compliance Rate	95% Confidence Interval	
				Lower Bound	Upper Bound
Overall	7,526	4,822,180	83.42%	82.58%	84.26%
0102	40	22,433	93.16%	85.34%	100.00%
0201	55	35,439	75.03%	63.59%	86.47%
0203	32	14,875	66.03%	49.62%	82.44%
0302	39	14,556	72.06%	57.98%	86.14%
0702	28	16,347	58.68%	40.44%	76.92%
1514	25	7,453	76.23%	59.54%	92.92%
1704	27	12,426	73.45%	56.80%	90.11%
1806	46	14,185	86.76%	76.97%	96.56%
1905	47	33,251	82.36%	71.46%	93.26%
2004	35	34,562	100.00%	100.00%	100.00%
2203	31	37,566	80.59%	66.67%	94.51%
2309	31	21,715	82.40%	68.99%	95.81%
2523	37	44,060	91.36%	82.31%	100.00%
2703	40	46,835	90.62%	81.58%	99.65%
3102	48	56,259	93.52%	86.56%	100.00%
3104	57	67,379	98.12%	94.59%	100.00%
3901	84	31,236	93.03%	87.59%	98.48%
3907	33	10,280	83.40%	70.70%	96.09%
3916	29	12,236	72.47%	56.21%	88.73%
3920	37	23,580	73.06%	58.76%	87.35%
3921	31	13,512	82.81%	69.53%	96.09%
3923	89	78,608	77.42%	68.73%	86.10%
3926	36	22,110	77.32%	63.65%	91.00%
4002	28	7,919	56.26%	37.89%	74.64%
4011	86	37,568	53.94%	43.40%	64.47%
4016	25	25,919	76.60%	60.00%	93.19%
4407	246	291,669	88.32%	84.31%	92.33%
4408	25	10,648	66.55%	48.06%	85.05%
4410	75	89,192	96.11%	91.74%	100.00%
4411	36	36,814	92.33%	83.64%	100.00%
4418	77	51,211	80.36%	71.49%	89.23%
4421	33	36,452	73.22%	58.11%	88.33%
4703	92	60,438	82.87%	75.17%	90.57%
4801	207	196,196	88.10%	83.69%	92.51%
4802	115	112,930	89.82%	84.30%	95.35%

Table III.A.4. FY 01 CM Compliance Rates for BR by 4 Digit HTS

4-Digit HTS	Sample Size	Population Size	Compliance Rate	95% Confidence Interval	
				Lower Bound	Upper Bound
4804	43	26,876	81.36%	69.72%	93.00%
4805	44	52,301	88.18%	78.64%	97.72%
4810	62	72,741	92.16%	85.47%	98.85%
4811	31	33,529	89.47%	78.67%	100.00%
4818	47	48,804	85.76%	75.77%	95.75%
4819	53	32,298	87.57%	78.69%	96.45%
4823	35	23,342	94.24%	86.52%	100.00%
4911	36	18,111	88.62%	78.24%	98.99%
5402	30	8,964	67.98%	51.29%	84.68%
6810	39	45,905	85.27%	74.15%	96.39%
7019	35	21,499	87.49%	76.53%	98.45%
7204	31	31,946	56.72%	39.28%	74.17%
7210	29	15,300	32.96%	15.86%	50.07%
7213	27	27,297	93.54%	84.26%	100.00%
7225	26	15,530	83.94%	69.82%	98.05%
7306	39	27,831	38.95%	23.65%	54.26%
7308	50	25,510	80.23%	69.19%	91.27%
7310	25	22,823	80.56%	65.04%	96.07%
7320	40	38,938	84.04%	72.69%	95.39%
7326	29	17,830	79.97%	65.41%	94.54%
7403	26	5,232	100.00%	100.00%	100.00%
7601	112	42,664	78.34%	70.71%	85.97%
7602	34	15,666	94.06%	86.12%	100.00%
7604	30	9,987	69.88%	53.47%	86.30%
7606	50	15,530	76.61%	64.88%	88.35%
7901	29	10,864	85.01%	72.02%	98.00%
8302	58	54,887	82.26%	72.43%	92.09%
8409	39	25,662	86.19%	75.36%	97.01%
8414	29	9,262	71.77%	55.38%	88.15%
8418	27	14,760	72.31%	55.43%	89.19%
8428	27	8,318	95.20%	87.14%	100.00%
8480	47	7,327	76.43%	64.29%	88.56%
8481	27	16,411	63.04%	44.83%	81.25%
8483	43	27,613	73.46%	60.26%	86.66%
8504	45	19,938	85.63%	75.39%	95.88%

Table III.A.4. FY 01 CM Compliance Rates for BR by 4 Digit HTS

4-Digit HTS	Sample Size	Population Size	Compliance Rate	95% Confidence Interval	
				Lower Bound	Upper Bound
8516	30	10,096	84.53%	71.59%	97.47%
8528	88	55,834	98.47%	95.90%	100.00%
8544	41	24,971	90.70%	81.80%	99.59%
8703	90	49,784	95.48%	91.18%	99.77%
8704	118	21,648	76.82%	69.20%	84.43%
8707	26	6,124	92.00%	81.57%	100.00%
8708	393	462,922	81.27%	77.42%	85.13%
8903	26	3,985	90.41%	79.09%	100.00%
9401	102	84,307	75.18%	66.80%	83.57%
9403	152	146,097	78.56%	72.03%	85.08%
9506	30	10,459	97.39%	91.69%	100.00%

Table III.A.5. FY 01 CM Compliance Rates for BR by Country of Origin

Country Code	Sample Size	Population Size	Compliance Rate	95% Confidence Interval	
				Lower Bound	Upper Bound
	7,526	**4,822,180**	**83.42%**	**82.58%**	**84.26%**
CA	6,852	4,462,098	82.84%	81.94%	83.73%
MX	587	312,299	93.86%	91.92%	95.80%

Table III.B.1. FY 01 CM Discrepancy Rates for BR by PFI

PFI Description	Sample Size	Population Size	Overall Disc	Cargo Disc	Summ Disc	Qntity Disc	Quota Disc	Markg Disc	Class Disc	OAgy Disc	IPR Disc	Value Disc	COrgn Disc	AD/CV Disc	Cleric Disc	Health Disc	FrdLbr Disc	Misc Disc	SPI Disc	C-4 Disc
BRASS (BR) Overall	**7,526**	**4,822,180**	**16.58%**	**13.58%**	**6.07%**	**0.57%**	**0.22%**	**0.39%**	**12.95%**	**0.10%**	**0.03%**	**0.89%**	**0.09%**	**0.24%**	**1.01%**	**0.19%**	**0.05%**	**1.02%**	**0.40%**	**0.00%**
BR: Non PFI	**4,196**	**2,536,988**	**16.06%**	**13.11%**	**5.93%**	**0.60%**	**0.00%**	**0.49%**	**12.71%**	**0.04%**	**0.00%**	**1.02%**	**0.05%**	**0.00%**	**0.98%**	**0.33%**	**0.04%**	**1.18%**	**0.47%**	**0.00%**
BR: All PFI Combined	**3,330**	**2,285,193**	**17.15%**	**14.10%**	**6.22%**	**0.54%**	**0.46%**	**0.28%**	**13.22%**	**0.18%**	**0.06%**	**0.75%**	**0.13%**	**0.52%**	**1.04%**	**0.02%**	**0.07%**	**0.85%**	**0.32%**	**0.00%**
AGRICULTURE	1,057	643,022	18.56%	13.76%	8.77%	1.34%	1.57%	0.13%	12.63%	0.52%	0.00%	1.72%	0.11%	0.00%	1.24%	0.08%	0.00%	0.56%	0.17%	0.00%
AUTOMOTIVE	757	624,226	18.70%	16.63%	6.06%	0.32%	0.00%	0.06%	16.72%	0.00%	0.00%	0.00%	0.18%	0.00%	0.80%	0.00%	0.20%	0.64%	0.39%	0.00%
INFORMATION TECHNOLOGY	305	146,422	7.71%	6.72%	4.22%	0.00%	0.00%	0.39%	5.59%	0.00%	0.87%	0.00%	0.00%	0.00%	0.58%	0.00%	0.00%	1.00%	1.01%	0.00%
PRODUCTION EQUIPMENT	215	59,092	18.37%	16.62%	3.63%	0.38%	0.38%	1.06%	15.46%	0.00%	0.00%	1.06%	0.00%	0.00%	0.00%	0.00%	0.43%	2.88%	0.00%	0.00%
STEEL MILL PRODUCTS	295	202,532	27.48%	24.18%	8.39%	0.00%	0.00%	1.21%	20.80%	0.00%	0.00%	1.86%	0.00%	3.95%	3.20%	0.00%	0.00%	0.31%	0.00%	0.00%
TEXTILES & WEARING APPAREL	124	39,564	20.94%	17.04%	7.09%	0.00%	0.30%	0.41%	16.05%	0.00%	0.00%	0.00%	0.00%	0.00%	3.66%	0.00%	0.00%	0.57%	2.72%	0.00%
WOOD PRODUCTS	577	570,333	12.23%	9.56%	3.48%	0.26%	0.00%	0.22%	8.89%	0.12%	0.00%	0.31%	0.19%	0.67%	0.36%	0.00%	0.00%	1.37%	0.19%	0.00%

Table III.B.2. FY 01 CM Discrepancy Rates for BR by HTS Section

HTS Section	HTS Section Description	Sample Size	Population Size	Overall Disc	Cargo Disc	Summ Disc	Qntity Disc	Quota Disc	Markg Disc	Class Disc	OAgy Disc	IPR Disc	Value Disc	COrgn Disc	AD/CV Disc	Cleric Disc	Health Disc	Frd Lbr Disc	Misc Disc	SPI Disc	C-4 Disc
.	All Sections Combined	7,526	4,822,180	16.58%	13.58%	6.07%	0.57%	0.22%	0.39%	12.95%	0.10%	0.03%	0.89%	0.09%	0.24%	1.01%	0.19%	0.05%	1.02%	0.40%	0.00%
1	Animals, Animal Products	267	143,493	19.62%	15.48%	5.77%	1.87%	6.17%	0.00%	8.10%	0.58%	0.00%	1.08%	0.49%	0.00%	0.20%	0.00%	0.00%	1.12%	0.49%	0.00%
2	Vegetable Products	277	174,360	20.19%	15.51%	10.48%	0.79%	0.00%	0.00%	16.80%	0.00%	0.00%	1.82%	0.00%	0.00%	1.50%	0.00%	0.00%	0.28%	0.00%	0.00%
3	Fats, Oils	46	14,420	14.24%	11.70%	2.54%	0.00%	0.00%	0.00%	9.90%	0.00%	0.00%	2.54%	0.00%	0.00%	0.00%	3.60%	0.00%	0.00%	0.00%	0.00%
4	Foodstuffs, Beverages, Tobacco	467	310,749	17.36%	12.09%	9.48%	1.46%	0.41%	0.28%	12.51%	0.82%	0.00%	1.92%	0.00%	0.00%	1.63%	0.00%	0.00%	0.48%	0.13%	0.00%
5	Mineral Products	163	149,373	8.88%	5.53%	4.45%	0.74%	0.00%	0.00%	4.81%	0.00%	0.00%	1.99%	0.00%	0.00%	0.74%	0.85%	0.00%	0.23%	0.27%	0.00%
6	Chemical Products	478	278,624	7.45%	6.05%	2.79%	0.17%	0.00%	0.23%	4.28%	0.23%	0.00%	0.00%	0.00%	0.00%	0.75%	1.16%	0.00%	1.68%	0.36%	0.00%
7	Plastic and Rubber	689	348,261	23.03%	17.27%	8.12%	0.63%	0.00%	0.58%	18.28%	0.00%	0.00%	2.95%	0.00%	0.00%	1.53%	0.29%	0.00%	1.62%	1.05%	0.00%
9	Wood Products	577	570,333	12.23%	9.56%	3.48%	0.26%	0.00%	0.22%	8.89%	0.12%	0.00%	0.31%	0.19%	0.67%	0.36%	0.00%	0.00%	1.37%	0.19%	0.00%
10	Pulp Products	904	729,230	12.62%	10.76%	3.67%	0.33%	0.00%	0.54%	10.52%	0.00%	0.00%	0.18%	0.00%	0.00%	0.55%	0.17%	0.00%	0.72%	0.28%	0.00%
11	Textiles	121	38,240	21.66%	17.63%	7.34%	0.00%	0.31%	0.43%	16.61%	0.00%	0.00%	0.00%	0.00%	0.00%	3.79%	0.00%	0.00%	0.59%	2.81%	0.00%
13	Plastic, Glass, and Products	215	151,633	18.90%	16.27%	6.26%	0.32%	0.00%	1.05%	16.01%	0.00%	0.00%	0.84%	0.00%	0.00%	0.72%	0.56%	0.00%	1.56%	1.23%	0.00%
15	Base Metals and Articles	1,129	601,172	23.58%	19.91%	8.40%	1.23%	0.00%	0.69%	18.48%	0.00%	0.00%	1.32%	0.00%	1.33%	2.26%	0.13%	0.00%	1.08%	0.09%	0.00%
16	Machinery, Elect Equipment	974	422,253	14.00%	12.77%	4.80%	0.15%	0.05%	0.44%	11.79%	0.00%	0.30%	0.33%	0.29%	0.00%	0.66%	0.00%	0.23%	1.24%	0.65%	0.00%
17	Transportation Equipment	768	586,187	17.28%	15.27%	6.03%	0.25%	0.00%	0.12%	15.16%	0.05%	0.00%	0.14%	0.19%	0.00%	0.80%	0.00%	0.22%	0.62%	0.28%	0.00%
18	Hi-Tech Equipment/Instruments	72	20,719	12.68%	9.82%	7.18%	0.00%	0.00%	1.32%	8.55%	0.00%	0.00%	0.98%	0.00%	0.00%	0.00%	0.00%	0.00%	1.83%	0.00%	0.00%
20	Misc Manufactured Articles	341	268,142	21.49%	17.74%	9.55%	0.47%	0.00%	0.47%	17.64%	0.00%	0.00%	1.50%	0.00%	0.00%	0.86%	0.00%	0.00%	1.05%	0.72%	0.00%

Table III.B.3. FY 01 CM Discrepancy Rates for BR by HTS Chapter

HTS Chapter	Chapter Description	Sample Size	Population Size	Overall Disc	Cargo Disc	Summ Disc	Qntity Disc	Quota Disc	Markg Disc	Class Disc	OAgy Disc	IPR Disc	Value Disc	COrgn Disc	AD/CV Disc	Cleric Disc	Health Disc	FrdLbr Disc	Misc Disc	SPI Disc	C-4 Disc
	All Chapters Combined	7,526	4,822,180	16.58%	13.58%	6.07%	0.57%	0.22%	0.39%	12.95%	0.10%	0.03%	0.89%	0.09%	0.24%	1.01%	0.19%	0.05%	1.02%	0.40%	0.00%
1	Live Animals	63	41,271	6.93%	4.47%	2.46%	0.00%	0.00%	0.00%	4.47%	0.00%	0.00%	2.46%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
2	Meat	104	55,259	26.02%	24.01%	2.76%	0.00%	16.02%	0.00%	8.50%	1.50%	0.00%	0.00%	0.00%	0.00%	0.52%	0.00%	0.00%	0.00%	0.00%	0.00%
3	Fish	88	40,486	24.85%	17.52%	12.07%	4.53%	0.00%	0.00%	12.55%	0.00%	0.00%	1.33%	1.75%	0.00%	0.00%	0.00%	0.00%	3.96%	1.75%	0.00%
6	Live Trees, Plants	29	26,011	6.37%	6.37%	4.88%	0.00%	0.00%	0.00%	6.37%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
7	Vegetables	86	57,826	26.47%	17.37%	12.27%	1.92%	0.00%	0.00%	22.24%	0.00%	0.00%	3.54%	0.00%	0.00%	2.56%	0.00%	0.00%	0.00%	0.00%	0.00%
10	Cereals	60	44,983	20.19%	20.19%	6.86%	0.00%	0.00%	0.00%	19.11%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	1.08%	0.00%	0.00%
11	Grain Products	42	19,880	21.94%	14.17%	1.37%	0.00%	0.00%	0.00%	10.60%	0.00%	0.00%	2.10%	0.00%	0.00%	5.68%	0.00%	0.00%	0.00%	0.00%	0.00%
12	Oil Seeds	35	18,595	25.75%	18.53%	22.38%	0.00%	0.00%	0.00%	21.93%	0.00%	0.00%	3.81%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
15	Fats	46	14,420	14.24%	11.70%	2.54%	0.00%	0.00%	0.00%	9.90%	0.00%	0.00%	2.54%	0.00%	0.00%	0.00%	3.60%	0.00%	0.00%	0.00%	0.00%
17	Sugars	50	32,523	20.33%	15.16%	9.07%	0.00%	3.90%	0.00%	16.67%	0.00%	0.00%	0.00%	0.00%	0.00%	7.80%	0.00%	0.00%	2.39%	1.27%	0.00%
18	Cocoa	47	14,410	13.03%	7.95%	8.69%	0.00%	0.00%	0.00%	13.03%	0.00%	0.00%	2.54%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
19	Prepared Cereals, Flour	77	53,167	18.77%	17.85%	2.35%	1.61%	0.00%	1.61%	15.55%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.51%	0.00%	0.00%
20	Prepared Vegie, Fruits	76	53,020	7.03%	2.00%	5.80%	3.18%	0.00%	0.00%	2.87%	0.00%	0.00%	1.06%	0.00%	0.00%	0.00%	0.00%	0.00%	0.45%	0.00%	0.00%
21	Misc Edible	37	14,375	8.32%	4.55%	5.54%	3.77%	0.00%	0.00%	4.55%	0.00%	0.00%	3.77%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
22	Beverages	87	84,934	24.90%	17.78%	17.38%	0.41%	0.00%	0.00%	17.78%	1.49%	0.00%	2.63%	0.00%	0.00%	2.99%	0.00%	0.00%	0.00%	0.00%	0.00%
23	Food Residues	72	53,171	16.07%	9.02%	9.19%	1.18%	0.00%	0.00%	10.64%	2.39%	0.00%	3.83%	0.00%	0.00%	0.00%	0.00%	0.00%	0.39%	0.00%	0.00%
25	Salt, Sulfur, Cement	75	69,006	10.45%	9.67%	1.56%	0.00%	0.00%	0.00%	8.11%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	1.84%	0.00%	0.51%	0.00%	0.00%
27	Oils	86	79,918	7.58%	1.99%	6.97%	1.37%	0.00%	0.00%	1.99%	0.00%	0.00%	3.72%	0.00%	0.00%	1.37%	0.00%	0.00%	0.00%	0.50%	0.00%
28	Inorganic Chemicals	143	79,993	6.70%	5.20%	2.80%	0.46%	0.00%	0.00%	2.94%	0.00%	0.00%	0.70%	0.00%	0.00%	0.00%	1.85%	0.00%	2.26%	0.77%	0.00%
29	Organic Chemicals	75	25,760	4.74%	4.74%	4.74%	0.00%	0.00%	0.00%	0.74%	2.54%	0.00%	0.00%	0.00%	0.00%	0.00%	0.99%	0.00%	2.02%	0.00%	0.00%
31	Fertilizers	110	126,194	4.32%	3.32%	1.43%	0.00%	0.00%	0.00%	2.31%	0.00%	0.00%	0.00%	0.00%	0.00%	1.01%	1.01%	0.00%	0.00%	0.00%	0.00%
32	Inks and Dyes	37	12,222	30.72%	27.45%	16.53%	0.00%	0.00%	2.34%	24.19%	0.00%	0.00%	0.00%	0.00%	0.00%	3.27%	0.00%	0.00%	6.53%	2.08%	0.00%
38	Misc Chemicals	51	14,753	12.52%	9.07%	3.45%	0.00%	0.00%	0.00%	10.18%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	1.53%	0.00%	3.06%	0.82%	0.00%
39	Plastics	504	257,677	20.34%	13.28%	9.76%	0.49%	0.00%	0.63%	14.53%	0.00%	0.00%	3.83%	0.00%	0.00%	1.77%	0.39%	0.00%	1.44%	0.39%	0.00%
40	Rubber	185	90,585	30.66%	28.59%	3.45%	1.04%	0.00%	0.43%	28.93%	0.00%	0.00%	0.44%	0.00%	0.00%	0.87%	0.00%	0.26%	2.12%	1.12%	0.00%
44	Articles of Wood	577	570,333	12.23%	9.56%	3.48%	0.26%	0.00%	0.22%	8.89%	0.12%	0.00%	0.31%	0.19%	0.67%	0.36%	0.00%	0.00%	1.37%	0.19%	0.00%
47	Pulp	124	74,044	21.89%	16.62%	8.08%	0.00%	0.00%	0.00%	20.09%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.85%	0.96%	0.00%
48	Paper Products	688	620,422	11.15%	9.76%	2.96%	0.29%	0.00%	0.63%	9.23%	0.00%	0.00%	0.14%	0.00%	0.00%	0.65%	0.20%	0.00%	0.60%	0.13%	0.00%
49	Printed Books	92	34,763	19.05%	16.12%	7.10%	1.72%	0.00%	0.00%	13.10%	0.00%	0.00%	1.37%	0.00%	0.00%	0.00%	0.00%	0.00%	2.68%	1.56%	0.00%
54	Man-Made Filaments	35	9,742	33.02%	26.59%	8.74%	0.00%	0.00%	0.00%	24.28%	0.00%	0.00%	0.00%	0.00%	0.00%	2.31%	0.00%	0.00%	2.31%	6.43%	0.00%
68	Stone Articles	102	89,473	15.36%	11.86%	7.18%	0.00%	0.00%	1.23%	12.52%	0.00%	0.00%	1.42%	0.00%	0.00%	1.23%	0.00%	0.00%	2.65%	2.08%	0.00%
70	Glassware	91	53,575	23.37%	23.37%	4.15%	0.91%	0.00%	0.91%	19.95%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	1.60%	0.00%	0.00%	0.00%	0.00%
72	Iron, Steel	296	211,489	25.81%	21.88%	8.37%	1.42%	0.00%	1.16%	17.82%	0.00%	0.00%	1.48%	0.00%	3.78%	2.56%	0.00%	0.00%	0.62%	0.26%	0.00%
73	Steel Articles	277	172,566	27.27%	23.60%	11.81%	0.77%	0.00%	0.98%	24.16%	0.00%	0.00%	1.60%	0.00%	0.00%	2.71%	0.24%	0.00%	1.91%	0.00%	0.00%
74	Copper	89	23,215	16.94%	14.14%	6.66%	1.23%	0.00%	0.00%	10.98%	0.00%	0.00%	0.00%	0.00%	0.00%	1.58%	0.00%	0.00%	3.15%	0.00%	0.00%
76	Aluminum	298	105,223	19.18%	15.13%	6.57%	1.04%	0.00%	0.00%	14.32%	0.00%	0.00%	1.75%	0.00%	0.00%	2.40%	0.37%	0.00%	1.08%	0.00%	0.00%
79	Zinc	36	12,129	16.35%	14.78%	1.58%	1.58%	0.00%	0.00%	14.78%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
83	Misc Metal Articles	70	58,573	17.80%	16.47%	3.49%	1.33%	0.00%	0.00%	16.47%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
84	Mechanical Apparatus	538	239,149	17.28%	16.14%	5.36%	0.09%	0.09%	0.53%	14.72%	0.00%	0.53%	0.26%	0.34%	0.00%	0.46%	0.00%	0.40%	1.99%	1.06%	0.00%
85	Electrical Equipment	436	183,104	8.36%	4.06%	0.22%	0.00%	0.31%	7.95%	0.00%	0.00%	0.43%	0.21%	0.00%	0.91%	0.00%	0.00%	0.25%	0.11%	0.00%	
87	Vehicles	702	573,051	17.44%	15.39%	6.11%	0.26%	0.00%	0.12%	15.29%	0.05%	0.00%	0.14%	0.19%	0.00%	0.80%	0.00%	0.22%	0.59%	0.29%	0.00%
89	Ships, Boats	26	3,985	9.59%	9.59%	4.80%	0.00%	0.00%	0.00%	9.59%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
90	Hi-Tech Instruments	66	19,683	13.35%	10.33%	7.55%	0.00%	0.00%	1.38%	9.00%	0.00%	0.00%	1.04%	0.00%	0.00%	0.00%	0.00%	0.00%	1.93%	0.00%	0.00%
94	Furniture	287	249,302	22.11%	18.52%	9.64%	0.51%	0.00%	0.51%	18.40%	0.00%	0.00%	1.61%	0.00%	0.00%	0.82%	0.00%	0.00%	1.13%	0.25%	0.00%
95	Toys, Games	47	17,755	14.12%	7.92%	8.85%	0.00%	0.00%	0.00%	7.92%	0.00%	0.00%	0.00%	0.00%	0.00%	1.54%	0.00%	0.00%	0.00%	7.31%	0.00%

Table III.B.4. FY 01 CM Discrepancy Rates for BR by 4 Digit HTS

4-Digit HTS	Sample Size	Population Size	Overall Disc	Cargo Disc	Summ Disc	Qntity Disc	Quota Disc	Markg Disc	Class Disc	OAgy Disc	IPR Disc	Value Disc	COrgn Disc	AD/CV Disc	Cleric Disc	Health Disc	FrdLbr Disc	Misc Disc	SPI Disc	C-4 Disc
All HTS4	7,526	4,822,180	16.58%	13.58%	6.07%	0.57%	0.22%	0.39%	12.95%	0.10%	0.03%	0.89%	0.09%	0.24%	1.01%	0.19%	0.05%	1.02%	0.40%	0.00%
0102	40	22,433	6.84%	3.16%	3.68%	0.00%	0.00%	0.00%	3.16%	0.00%	0.00%	3.68%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
0201	55	35,439	24.97%	24.97%	0.00%	0.00%	24.97%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
0203	32	14,875	33.97%	28.42%	8.32%	0.00%	0.00%	0.00%	30.33%	3.64%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
0302	39	14,556	27.94%	25.89%	8.20%	2.05%	0.00%	0.00%	20.12%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	5.77%	0.00%	0.00%
0702	28	16,347	41.32%	36.23%	16.32%	0.00%	0.00%	0.00%	41.32%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
1514	25	7,453	23.77%	18.86%	4.91%	0.00%	0.00%	0.00%	15.37%	0.00%	0.00%	4.91%	0.00%	0.00%	0.00%	6.97%	0.00%	0.00%	0.00%	0.00%
1704	27	12,426	26.55%	23.23%	3.32%	0.00%	0.00%	0.00%	23.23%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	3.32%	0.00%
1806	46	14,185	13.24%	8.08%	8.83%	0.00%	0.00%	0.00%	13.24%	0.00%	0.00%	2.58%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
1905	47	33,251	17.64%	16.17%	2.93%	2.58%	0.00%	2.58%	12.49%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
2004	35	34,562	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
2203	31	37,566	19.41%	9.70%	9.70%	0.00%	0.00%	0.00%	9.70%	3.38%	0.00%	2.95%	0.00%	0.00%	3.38%	0.00%	0.00%	0.00%	0.00%	0.00%
2309	31	21,715	17.60%	6.83%	14.72%	2.88%	0.00%	0.00%	17.60%	0.00%	0.00%	2.88%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
2523	37	44,060	8.64%	8.64%	0.00%	0.00%	0.00%	0.00%	5.76%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	2.88%	0.00%	0.00%	0.00%	0.00%
2703	40	46,835	9.38%	2.35%	9.38%	2.35%	0.00%	0.00%	2.35%	0.00%	0.00%	4.69%	0.00%	0.00%	2.35%	0.00%	0.00%	0.00%	0.00%	0.00%
3102	48	56,259	6.48%	4.23%	2.25%	0.00%	0.00%	0.00%	1.97%	0.00%	0.00%	0.00%	0.00%	0.00%	2.25%	2.25%	0.00%	0.00%	0.00%	0.00%
3104	57	67,379	1.88%	1.88%	0.00%	0.00%	0.00%	0.00%	1.88%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
3901	84	31,236	6.97%	6.10%	0.87%	0.00%	0.00%	0.00%	5.22%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.87%	0.00%	0.00%
3907	33	10,280	16.60%	14.13%	2.47%	0.00%	0.00%	0.00%	16.60%	0.00%	0.00%	0.00%	0.00%	0.00%	2.47%	0.00%	0.00%	3.88%	0.00%	0.00%
3916	29	12,236	27.53%	27.53%	2.85%	0.00%	0.00%	0.00%	24.68%	0.00%	0.00%	2.85%	0.00%	0.00%	0.00%	0.00%	0.00%	2.85%	0.00%	0.00%
3920	37	23,580	26.94%	13.47%	13.47%	0.00%	0.00%	0.00%	17.10%	0.00%	0.00%	7.77%	0.00%	0.00%	3.63%	0.00%	0.00%	0.00%	2.07%	0.00%
3921	31	13,512	17.19%	12.03%	9.17%	0.00%	0.00%	0.00%	14.61%	0.00%	0.00%	2.58%	0.00%	0.00%	2.58%	0.00%	0.00%	0.00%	0.00%	0.00%
3923	89	78,608	22.58%	13.71%	14.52%	1.61%	0.00%	0.00%	16.94%	0.00%	0.00%	4.03%	0.00%	0.00%	3.22%	0.00%	0.00%	2.42%	1.61%	0.00%
3926	36	22,110	22.68%	15.38%	12.17%	0.00%	0.00%	5.64%	20.24%	0.00%	0.00%	2.43%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
4002	28	7,919	43.74%	43.74%	5.97%	0.00%	0.00%	0.00%	40.75%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	2.99%	7.61%	5.97%	0.00%
4011	86	37,568	46.06%	42.14%	5.99%	1.44%	0.00%	1.04%	43.59%	0.00%	0.00%	0.00%	0.00%	0.00%	1.04%	0.00%	0.00%	3.51%	1.44%	0.00%
4016	25	25,919	23.40%	23.40%	0.00%	0.00%	0.00%	0.00%	23.40%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
4407	246	291,669	11.68%	10.06%	2.38%	0.00%	0.00%	0.00%	8.43%	0.00%	0.00%	0.00%	0.38%	0.87%	0.00%	0.00%	0.00%	1.94%	0.00%	0.00%
4408	25	10,648	33.45%	30.17%	6.55%	0.00%	0.00%	0.00%	30.17%	0.00%	0.00%	0.00%	0.00%	0.00%	6.55%	0.00%	0.00%	0.00%	0.00%	0.00%
4410	75	89,192	3.89%	1.42%	2.46%	1.23%	0.00%	0.00%	1.42%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	1.23%	0.00%	0.00%
4411	36	36,814	7.67%	7.67%	0.00%	0.00%	0.00%	3.45%	4.22%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
4418	77	51,211	19.64%	17.03%	5.22%	0.00%	0.00%	0.00%	16.87%	0.00%	0.00%	0.00%	0.00%	0.00%	2.61%	0.00%	0.00%	4.16%	0.00%	0.00%
4421	33	36,452	26.78%	12.86%	13.92%	0.00%	0.00%	0.00%	19.82%	0.00%	0.00%	3.48%	0.00%	3.48%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
4703	92	60,438	17.13%	13.74%	6.49%	0.00%	0.00%	0.00%	14.92%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	1.04%	1.17%	0.00%
4801	207	196,196	11.90%	10.46%	2.48%	0.65%	0.00%	0.00%	10.86%	0.00%	0.00%	0.00%	0.00%	0.00%	0.65%	0.00%	0.00%	0.79%	0.00%	0.00%
4802	115	112,930	10.18%	7.68%	3.19%	0.00%	0.00%	0.00%	7.68%	0.00%	0.00%	0.00%	0.00%	0.00%	0.69%	1.12%	0.00%	0.69%	0.00%	0.00%
4804	43	26,876	18.64%	18.64%	0.00%	0.00%	0.00%	0.00%	18.64%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
4805	44	52,301	11.82%	11.82%	4.55%	0.00%	0.00%	0.00%	11.82%	0.00%	0.00%	0.00%	0.00%	0.00%	2.12%	0.00%	0.00%	0.00%	0.00%	0.00%
4810	62	72,741	7.84%	7.84%	1.52%	0.00%	0.00%	1.74%	6.10%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%

Table III.B.4. FY 01 CM Discrepancy Rates for BR by 4 Digit HTS

4-Digit HTS	Sample Size	Population Size	Overall Disc	Cargo Disc	Summ Disc	Qntity Disc	Quota Disc	Markg Disc	Class Disc	OAgy Disc	IPR Disc	Value Disc	COrgn Disc	AD/CV Disc	Cleric Disc	Health Disc	FrdLbr Disc	Misc Disc	SPI Disc	C-4 Disc
4811	31	33,529	10.53%	7.89%	2.63%	0.00%	0.00%	2.63%	5.26%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
4818	47	48,804	14.24%	11.64%	4.41%	0.00%	0.00%	3.62%	8.81%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	4.41%	0.00%	0.00%
4819	53	32,298	12.43%	12.43%	4.39%	0.00%	0.00%	0.00%	12.43%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
4823	35	23,342	5.76%	2.09%	3.67%	0.00%	0.00%	0.00%	2.09%	0.00%	0.00%	3.67%	0.00%	0.00%	3.67%	0.00%	0.00%	0.00%	0.00%	0.00%
4911	36	18,111	11.38%	11.38%	2.28%	0.00%	0.00%	0.00%	11.38%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
5402	30	8,964	32.02%	25.03%	6.98%	0.00%	0.00%	0.00%	25.03%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	6.98%	0.00%
6810	39	45,905	14.73%	12.34%	9.57%	0.00%	0.00%	2.39%	11.97%	0.00%	0.00%	0.00%	0.00%	0.00%	2.39%	0.00%	0.00%	5.16%	2.39%	0.00%
7019	35	21,499	12.51%	12.51%	0.00%	2.27%	0.00%	0.00%	6.25%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	3.98%	0.00%	0.00%	0.00%	0.00%
7204	31	31,946	43.28%	34.44%	22.53%	8.83%	0.00%	0.00%	34.44%	0.00%	0.00%	0.00%	0.00%	0.00%	4.86%	0.00%	0.00%	2.43%	0.00%	0.00%
7210	29	15,300	67.04%	59.68%	12.80%	0.00%	0.00%	0.00%	14.72%	0.00%	0.00%	5.44%	0.00%	52.32%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
7213	27	27,297	6.46%	3.23%	3.23%	0.00%	0.00%	0.00%	3.23%	0.00%	0.00%	0.00%	0.00%	0.00%	3.23%	0.00%	0.00%	0.00%	0.00%	0.00%
7225	26	15,530	16.06%	12.60%	3.46%	0.00%	0.00%	4.57%	8.03%	0.00%	0.00%	3.46%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
7306	39	27,831	61.05%	52.61%	27.45%	0.00%	0.00%	0.00%	55.69%	0.00%	0.00%	2.28%	0.00%	0.00%	12.18%	0.00%	0.00%	2.28%	0.00%	0.00%
7308	50	25,510	19.77%	19.77%	8.83%	1.63%	0.00%	1.63%	13.24%	0.00%	0.00%	1.63%	0.00%	0.00%	1.63%	0.00%	0.00%	3.27%	0.00%	0.00%
7310	25	22,823	19.44%	16.67%	5.55%	0.00%	0.00%	5.56%	19.44%	0.00%	0.00%	2.78%	0.00%	0.00%	2.78%	0.00%	0.00%	0.00%	0.00%	0.00%
7320	40	38,938	15.96%	13.97%	7.98%	0.00%	0.00%	0.00%	15.96%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
7326	29	17,830	20.03%	13.99%	13.03%	3.02%	0.00%	0.00%	13.99%	0.00%	0.00%	3.02%	0.00%	0.00%	0.00%	0.00%	0.00%	3.98%	0.00%	0.00%
7403	26	5,232	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
7601	112	42,664	21.66%	16.32%	5.34%	0.70%	0.00%	0.00%	15.05%	0.00%	0.00%	0.00%	0.00%	0.00%	4.64%	0.00%	0.00%	1.27%	0.00%	0.00%
7602	34	15,666	5.94%	2.48%	3.46%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	3.46%	2.48%	0.00%	2.48%	0.00%	0.00%
7604	30	9,987	30.12%	21.64%	16.47%	4.00%	0.00%	0.00%	24.47%	0.00%	0.00%	2.82%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
7606	50	15,530	23.39%	23.39%	4.33%	0.00%	0.00%	0.00%	23.39%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
7901	29	10,864	14.99%	14.99%	0.00%	0.00%	0.00%	0.00%	14.99%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
8302	58	54,887	17.74%	16.32%	2.83%	1.42%	0.00%	0.00%	16.32%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
8409	39	25,662	13.81%	13.81%	0.00%	0.00%	0.00%	0.00%	11.91%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
8414	29	9,262	28.23%	28.23%	4.31%	0.00%	0.00%	7.06%	21.18%	0.00%	0.00%	0.00%	4.31%	0.00%	4.31%	0.00%	0.00%	2.75%	0.00%	0.00%
8418	27	14,760	27.69%	27.69%	7.63%	0.00%	0.00%	0.00%	22.88%	0.00%	0.00%	0.00%	2.82%	0.00%	0.00%	0.00%	4.81%	2.82%	0.00%	0.00%
8428	27	8,318	4.80%	4.80%	0.00%	0.00%	0.00%	4.80%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
8480	47	7,327	23.57%	21.94%	4.71%	3.08%	3.08%	3.08%	20.50%	0.00%	0.00%	3.08%	0.00%	0.00%	0.00%	0.00%	0.00%	4.71%	0.00%	0.00%
8481	27	16,411	36.96%	36.96%	17.44%	0.00%	0.00%	0.00%	36.96%	0.00%	0.00%	0.00%	0.00%	0.00%	4.32%	0.00%	0.00%	4.32%	0.00%	0.00%
8483	43	27,613	26.54%	26.54%	10.17%	0.00%	0.00%	0.00%	26.54%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
8504	45	19,938	14.37%	14.37%	9.90%	0.00%	0.00%	1.75%	12.62%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
8516	30	10,096	15.47%	12.95%	9.00%	3.96%	0.00%	0.00%	15.47%	0.00%	0.00%	0.00%	0.00%	0.00%	3.96%	0.00%	0.00%	0.00%	0.00%	0.00%
8528	88	55,834	1.53%	0.00%	1.53%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	1.53%	0.00%	0.00%	0.00%	0.00%	0.00%
8544	41	24,971	9.30%	9.30%	4.31%	0.00%	0.00%	0.00%	9.30%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
8703	90	49,784	4.52%	4.52%	0.00%	0.00%	0.00%	1.42%	2.26%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.84%	0.00%	0.00%
8704	118	21,648	23.18%	23.18%	0.00%	0.00%	0.00%	0.00%	21.10%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	2.08%	0.00%	0.00%
8707	26	6,124	8.00%	4.67%	3.33%	3.33%	0.00%	0.00%	4.67%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	4.67%	0.00%	0.00%
8708	393	462,922	18.73%	16.43%	7.22%	0.27%	0.00%	0.00%	16.64%	0.00%	0.00%	0.00%	0.24%	0.00%	0.99%	0.00%	0.27%	0.48%	0.27%	0.00%
8903	26	3,985	9.59%	9.59%	4.80%	0.00%	0.00%	0.00%	9.59%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
9401	102	84,307	24.82%	19.55%	11.28%	1.50%	0.00%	0.00%	21.06%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	1.50%	0.75%	0.00%
9403	152	146,097	21.44%	19.18%	9.13%	0.00%	0.00%	0.53%	18.45%	0.00%	0.00%	1.93%	0.00%	0.00%	1.40%	0.00%	0.00%	1.06%	0.00%	0.00%
9506	30	10,459	2.61%	0.00%	2.61%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	2.61%	0.00%	0.00%	0.00%	0.00%	0.00%

Table III.B.5. FY 01 CM Discrepancy Rates for BR by Country of Origin

Country Code	Country Name	Sample Size	Population Size	Overall Disc	Cargo Disc	Summ Disc	Qntity Disc	Quota Disc	Markg Disc	Class Disc	OAgy Disc	IPR Disc	Value Disc	COrgn Disc	AD/CV Disc	Cleric Disc	Health Disc	FrdLbr Disc	Misc Disc	SpPgm Disc	C-4 Disc
	All Countries	**7,526**	**4,822,180**	**16.58%**	**13.58%**	**6.07%**	**0.57%**	**0.22%**	**0.39%**	**12.95%**	**0.10%**	**0.03%**	**0.89%**	**0.09%**	**0.24%**	**1.01%**	**0.19%**	**0.05%**	**1.02%**	**0.40%**	**0.00%**
CA	CANADA	6,852	4,462,098	17.16%	14.16%	6.24%	0.58%	0.21%	0.36%	13.55%	0.08%	0.00%	0.93%	0.09%	0.26%	1.00%	0.20%	0.06%	1.02%	0.36%	0.00%
MX	MEXICO	587	312,299	6.14%	3.85%	2.98%	0.47%	0.00%	0.58%	3.30%	0.41%	0.41%	0.13%	0.00%	0.00%	0.36%	0.00%	0.00%	0.48%	1.05%	0.00%

Table III.C.1. FY 01 CM Revenue Summary for BR by PFI

PFI Industry	Sample Size	Sample w/ Rev Change	Population Size	Refund in Sample	Recovery in Sample	Estimated Total Overpayment	Estimated Total Underpayment	Estimated Total Net Underpayment	95% Confi Int of Net Underpayment Lower Bound	Upper Bound
BRASS (BR) Overall	**7,526**	**42**	**4,822,180**	**7,130**	**27,663**	**2,004,605**	**18,263,783**	**16,259,179**	**3,004,622**	**29,513,736**
BR: Non PFI	**4,196**	**30**	**2,536,988**	**7,130**	**16,917**	**2,004,605**	**12,811,243**	**10,806,638**	**-1,259,869**	**22,873,145**
BR: All PFI Combined	**3,330**	**12**	**2,285,193**	**0**	**10,746**	**0**	**5,452,541**	**5,452,541**	**667,453**	**10,237,628**
AGRICULTURE	1,057	3	643,022	0	1,250	0	1,400,733	1,400,733	NA	NA
AUTOMOTIVE	757	3	624,226	0	2,909	0	2,612,058	2,612,058	NA	NA
INFORMATION TECHNOLOGY	305	1	146,422	0	199	0	40,606	40,606	NA	NA
PRODUCTION EQUIPMENT	215	0	59,092	0	0	0	0	0	NA	NA
STEEL MILL PRODUCTS	295	2	202,532	0	68	0	47,356	47,356	NA	NA
TEXTILES & WEARING APPAREL	124	3	39,564	0	6,320	0	1,351,786	1,351,786	NA	NA
WOOD PRODUCTS	577	0	570,333	0	0	0	0	0	NA	NA

Table III.C.2. FY 01 CM Revenue Summary for BR by HTS Section

HTS Section	HTS Section Description	Sample Size	Sample w/ Rev Change	Population Size	Refund in Sample	Recovery in Sample	Estimated Total Overpayment	Estimated Total Underpayment	Estimated Total Net Underpayment	95% Confi Int of Net Underpayment	
										Lower Bound	Upper Bound
.	**All Sections Combined**	**7,526**	**42**	**4,822,180**	**7,130**	**27,663**	**2,004,605**	**18,263,783**	**16,259,179**	**3,004,622**	**29,513,736**
1	Animals, Animal Products	267	1	143,493	0	12	0	3,431	3,431	NA	NA
2	Vegetable Products	277	0	174,360	0	0	0	0	0	NA	NA
4	Foodstuffs, Beverages, Tobacco	467	2	310,749	0	1,238	0	1,397,302	1,397,302	NA	NA
5	Mineral Products	163	0	149,373	0	0	0	0	0	NA	NA
6	Chemical Products	478	4	278,624	0	1,783	0	261,981	261,981	NA	NA
7	Plastic and Rubber	689	10	348,261	6,511	11,237	1,774,580	9,971,396	8,196,816	-2,494,237	18,887,869
9	Wood Products	577	0	570,333	0	0	0	0	0	NA	NA
10	Pulp Products	904	3	729,230	0	209	0	150,743	150,743	NA	NA
11	Textiles	121	3	38,240	0	6,320	0	1,351,786	1,351,786	NA	NA
13	Plastic, Glass, and Products	215	2	151,633	0	151	0	134,417	134,417	NA	NA
14	Precious Stone and Metal	4	1	480	0	1,429	0	171,474	171,474	NA	NA
15	Base Metals and Articles	1,129	4	601,172	0	795	0	300,599	300,599	NA	NA
16	Machinery, Elect Equipment	974	6	422,253	314	2,795	108,222	2,601,598	2,493,376	-132,488	5,119,240
17	Transportation Equipment	768	2	586,187	305	1,423	121,802	1,804,868	1,683,066	NA	NA
18	Hi-Tech Equipment/Instruments	72	0	20,719	0	0	0	0	0	NA	NA
20	Misc Manufactured Articles	341	4	268,142	0	271	0	114,187	114,187	NA	NA

Table III.C.3. FY 01 CM Revenue Summary for BR by HTS Chapter

HTS Chapter	Chapter Description	Sample Size	Sample w/ Rev Change	Population Size	Refund in Sample	Recovery in Sample	Estimated Total Overpayment	Estimated Total Underpayment	Estimated Total Net Underpayment	95% Confi Int of Net Underpayment Lower Bound	Upper Bound
	All Chapters	7,526	42	4,822,180	7,130	27,663	2,004,605	18,263,783	16,259,179	3,004,622	29,513,736
1	Live Animals	63	0	41,271	0	0	0	0	0	NA	NA
2	Meat	104	1	55,259	0	12	0	3,431	3,431	NA	NA
3	Fish	88	0	40,486	0	0	0	0	0	NA	NA
7	Vegetables	86	0	57,826	0	0	0	0	0	NA	NA
10	Cereals	60	0	44,983	0	0	0	0	0	NA	NA
17	Sugars	50	2	32,523	0	1,238	0	1,397,302	1,397,302	NA	NA
19	Prepared Cereals, Flour	77	0	53,167	0	0	0	0	0	NA	NA
20	Prepared Vegie, Fruits	76	0	53,020	0	0	0	0	0	NA	NA
22	Beverages	87	0	84,934	0	0	0	0	0	NA	NA
23	Food Residues	72	0	53,171	0	0	0	0	0	NA	NA
25	Salt, Sulfur, Cement	75	0	69,006	0	0	0	0	0	NA	NA
27	Oils	86	0	79,918	0	0	0	0	0	NA	NA
28	Inorganic Chemicals	143	2	79,993	0	54	0	17,058	17,058	NA	NA
29	Organic Chemicals	75	0	25,760	0	0	0	0	0	NA	NA
31	Fertilizers	110	0	126,194	0	0	0	0	0	NA	NA
32	Inks and Dyes	37	1	12,222	0	270	0	68,688	68,688	NA	NA
38	Misc Chemicals	51	1	14,753	0	1,459	0	176,235	176,235	NA	NA
39	Plastics	504	7	257,677	6,511	9,517	1,774,580	9,118,790	7,344,209	-3,299,142	17,987,560
40	Rubber	185	3	90,585	0	1,720	0	852,607	852,607	NA	NA
44	Articles of Wood	577	0	570,333	0	0	0	0	0	NA	NA
47	Pulp	124	1	74,044	0	85	0	60,294	60,294	NA	NA
48	Paper Products	688	1	620,422	0	99	0	76,908	76,908	NA	NA
49	Printed Books	92	1	34,763	0	25	0	13,541	13,541	NA	NA
54	Man-Made Filaments	35	2	9,742	0	2,128	0	666,037	666,037	NA	NA
56	Ropes, Twine	19	1	4,565	0	4,192	0	685,750	685,750	NA	NA
68	Stone Articles	102	2	89,473	0	151	0	134,417	134,417	NA	NA
70	Glassware	91	0	53,575	0	0	0	0	0	NA	NA
71	Precious Metals/Stones	4	1	480	0	1,429	0	171,474	171,474	NA	NA
72	Iron, Steel	296	2	211,489	0	68	0	47,356	47,356	NA	NA
73	Steel Articles	277	0	172,566	0	0	0	0	0	NA	NA
74	Copper	89	0	23,215	0	0	0	0	0	NA	NA
76	Aluminum	298	1	105,223	0	368	0	105,222	105,222	NA	NA
78	Lead	19	1	9,486	0	359	0	148,020	148,020	NA	NA
83	Misc Metal Articles	70	0	58,573	0	0	0	0	0	NA	NA
84	Mechanical Apparatus	538	3	239,149	152	1,890	41,428	2,286,448	2,245,020	NA	NA
85	Electrical Equipment	436	3	183,104	162	905	66,795	315,150	248,356	NA	NA
87	Vehicles	702	2	573,051	305	1,423	121,802	1,804,868	1,683,066	NA	NA
90	Hi-Tech Instruments	66	0	19,683	0	0	0	0	0	NA	NA
94	Furniture	287	1	249,302	0	25	0	15,841	15,841	NA	NA
95	Toys, Games	47	3	17,755	0	246	0	98,347	98,347	NA	NA

Table III.C.4. FY 01 CM Revenue Summary for BR by 4-Digit HTS

4-Digit HTS	Sample Size	Sample w/ Rev Change	Population Size	Refund in Sample	Recovery in Sample	Estimated Total Overpayment	Estimated Total Underpayment	Estimated Total Net Underpayment
All HTS4	7,526	42	4,822,180	7,130	27,663	2,004,605	18,263,783	16,259,179
0201	55	0	35,439	0	0	0	0	0
0210	10	1	2,172	0	12	0	3,431	3,431
1702	20	1	19,469	0	1,036	0	1,314,015	1,314,015
1704	27	1	12,426	0	202	0	83,287	83,287
2818	14	1	6,366	0	29	0	11,957	11,957
2849	2	1	408	0	25	0	5,101	5,101
3104	57	0	67,379	0	0	0	0	0
3208	13	1	4,467	0	270	0	68,688	68,688
3814	4	1	554	0	1,459	0	176,235	176,235
3901	84	1	31,236	6,511	0	1,774,580	0	-1,774,580
3920	37	1	23,580	0	160	0	78,061	78,061
3922	5	1	3,065	0	214	0	100,278	100,278
3923	89	3	78,608	0	6,554	0	7,862,139	7,862,139
3925	22	1	12,091	0	2,589	0	1,078,312	1,078,312
4002	28	2	7,919	0	259	0	61,258	61,258
4011	86	1	37,568	0	1,461	0	791,349	791,349
4407	246	0	291,669	0	0	0	0	0
4410	75	0	89,192	0	0	0	0	0
4418	77	0	51,211	0	0	0	0	0
4703	92	1	60,438	0	85	0	60,294	60,294
4801	207	0	196,196	0	0	0	0	0
4802	115	1	112,930	0	99	0	76,908	76,908
4810	62	0	72,741	0	0	0	0	0
4819	53	0	32,298	0	0	0	0	0
4902	19	1	8,764	0	25	0	13,541	13,541
5402	30	2	8,964	0	2,128	0	666,037	666,037
5601	9	1	1,962	0	4,192	0	685,750	685,750
6802	9	1	3,910	0	42	0	14,648	14,648
6810	39	1	45,905	0	109	0	119,770	119,770
7113	3	1	360	0	1,429	0	171,474	171,474
7210	29	2	15,300	0	68	0	47,356	47,356
7308	50	0	25,510	0	0	0	0	0
7601	112	0	42,664	0	0	0	0	0
7606	50	0	15,530	0	0	0	0	0
7608	6	1	1,471	0	368	0	105,222	105,222
7801	17	1	9,088	0	359	0	148,020	148,020
8302	58	0	54,887	0	0	0	0	0
8407	14	1	14,316	0	1,760	0	2,232,303	2,232,303
8418	27	1	14,760	0	130	0	54,145	54,145
8451	10	1	3,106	152	0	41,428	0	-41,428
8503	10	1	4,500	0	706	0	274,544	274,544
8507	15	1	3,225	0	199	0	40,606	40,606
8528	88	0	55,834	0	0	0	0	0
8539	7	1	2,886	162	0	66,795	0	-66,795
8702	22	1	7,730	305	0	121,802	0	-121,802
8703	90	0	49,784	0	0	0	0	0

Table III.C.4. FY 01 CM Revenue Summary for BR by 4-Digit HTS

4-Digit HTS	Sample Size	Sample w/ Rev Change	Population Size	Refund in Sample	Recovery in Sample	Estimated Total Overpayment	Estimated Total Underpayment	Estimated Total Net Underpayment
8704	118	0	21,648	0	0	0	0	0
8708	393	1	462,922	0	1,423	0	1,804,868	1,804,868
9401	102	1	84,307	0	25	0	15,841	15,841
9403	152	0	146,097	0	0	0	0	0
9503	12	2	6,345	0	127	0	84,067	84,067
9504	2	1	240	0	119	0	14,279	14,279

Table III.C.5. FY 01 CM Revenue Summary for BR by Country of Origin

Country Code	Country Name	Sample Size	Sample w/ Rev Change	Population Size	Refund in Sample	Recovery in Sample	Estimated Total Overpayment	Estimated Total Underpayment	Estimated Total Net Underpayment
	All Countries	**7,526**	**42**	**4,822,180**	**7,130**	**27,663**	**2,004,605**	**18,263,783**	**16,259,179**
BR	BRAZIL	2	1	1,681	0	1,036	0	1,314,015	1,314,015
CA	CANADA	6,852	38	4,462,098	7,130	23,576	2,004,605	14,932,820	12,928,216
MX	MEXICO	587	3	312,299	0	3,051	0	2,016,948	2,016,948

SECTION IV. MAJOR DISCREPANCY DEFINITIONS AND COMPLIANCE RATES

The United States Customs Service enforces diverse trade laws, regulations and agreements. For the Compliance Measurement (CM) program, a discrepancy is indicated whenever any of these trade laws, regulations or agreements is violated. This is, in effect, a "letter-of-the-law" (LOL) definition of discrepancies. It has been justifiably perceived that some discrepancy types, as currently defined, have a minimal practical impact. In 1997, a task force consisting of inspectors, import specialists, trade specialists, Automated Commercial System (ACS) specialists, auditors, managers and employee union representatives was set up to review the discrepancy definitions and apply a standard for significance.

The task group created two indicators. The first is Major Transaction Discrepancies (MTD) based on lines and determined by significant criteria for each line. The second is Major Issue Discrepancies (MID), which provides a view of compliance based on aggregate value, rather than lines, with added significance criteria. Compliance rates based on these discrepancy definitions were first reported in the FY 1998 Trade Compliance Report and have been used extensively in the Customs risk management process.

It must be stressed that these definitions are internal, based on Customs best analysis. It is anticipated that there may be a need for adjustments in the future. As is evidenced by this report, Customs will continue to measure and strive for overall compliance. Due to current data limitations, some definition conditions cannot be programmed at this time.

MAJOR TRANSACTION DISCREPANCIES (MTD): DISCRIMINATING INDIVIDUAL LINES

Major transaction discrepancies are defined in terms of entry lines. A major transaction discrepancy is always recorded if any of the discrepancies are related to the following issues:

- Narcotics;
- Intellectual Property Rights;
- Refused Admission by Customs or Other Agency;
- Misdelivery of Merchandise;
- Forced Labor.

-

A major transaction discrepancy is also recorded if any of the discrepancies listed below, and the associated conditions, occur:

> Anti Dumping and Countervailing Duties - where the line value is greater than the Case's median line value, or greater than the median value of the Primary Focus Industries (PFI) or the Chapter;

> Quota/Visa - where the quantity is 10% or greater than the entered amount, or the line value is greater than the designated threshold line value;

> Un-Entered Merchandise - where the total value exceeds the threshold for a formal entry;

> Value - where the increased value is greater than 10% of the entered value.

The rest of the discrepancies are stratified for significance by the relative value of the line transaction, with some further *de minimus* application (e.g., clerical errors that do not cause any other discrepancy). The relative value creates a "value threshold" defined by all imports within each individual Primary Focus Industry (PFI). All other imports are grouped together for the same relational testing of line value.

MAJOR ISSUE DISCREPANCIES (MID): AN AGGREGATE VALUE PERSPECTIVE

There is no value-threshold associated with major issue discrepancies. The reason is that the portrayal here is the impact of non-compliance as a factor of aggregate value. The same conditions for significance and *de minimus* apply as in Major Transaction Discrepancies. The absence of a value threshold for "all other" discrepancies provides another perspective which captures the impact of repetitive low value transactions which individually are not significant.

Customs has used line transactions as its base of measurement. Since the Entry and Entry Summary process is conducted via line transactions, such a line-based measure will continue to be the primary indicator of compliance. The Customs Modernization Act anticipated an evolution of trade operations away from transactional processing. The Trade Compliance Redesign efforts, and the development of the Automated Commercial Environment (ACE), have been geared to accommodate such an evolution. The importing community, cognizant of the "move'" away from transactional review, has commented on the need to measure compliance in an aggregated perspective. The introduction of the definition of major issue discrepancies was an attempt to apply relevancy to CM in view of such an evolution.

ALTERNATIVE DEFINITIONS OF COMPLIANCE

In this section, four Compliance Rates will be displayed in tables following the narrative. These four compliance rates are defined using the major transaction and issue discrepancy indicators defined previously in this section, as well as the original definition of discrepancies. These four compliance rates can be shown in the 2x2 matrix in the table below.

	Based on Line Count	**Based on Line Value**
Using Original Definition of Discrepancies	LOL Line Compliance Rate (Original Compliance Rate)	LOL Value Compliance Rate
Using Definitions Related to Major Discrepancies	MTD Compliance Rate	MID Compliance Rate

All compliance rates displayed are weighted to reflect the different sampling rates used in CM sampling. These four Compliance Rates are further explained below.

> **LOL Line Compliant Rate** - This is the original definition of compliance rate. This compliance rate is calculated as the total number of compliant lines, according to the original definition of discrepancies, expressed as a percentage of the total number of all import lines.

> **LOL Value Compliance Rate** - This term applies to compliance according to the original definition of discrepancies as it relates to value. This compliance rate is calculated as the total value of all compliant lines, according to the original definition of discrepancies, expressed as a percentage of the total value of all import lines.

> **MTD Compliance Rate** - This term applies to the compliance according to the major transaction discrepancy definition as it relates to lines. This compliance rate is calculated as the total number of all compliant lines, according to the major transaction discrepancy definition, expressed as a percentage of the total number of import lines.

> **MID Compliance Rate** - This term applies to compliance according to the major issue discrepancy definition as it relates to value. This compliance rate is calculated as the total value of all compliant lines, according to the major issue discrepancy definition, expressed as a percentage of the total value of all import lines.

These alternative compliance rates are displayed in tables listed below. Note that the line compliance rate using the LOL definition of discrepancies had also been reported in Sections II and III.

A.   Alternative Compliance Rates for ACS Release

B.   Alternative Compliance Rates for BRASS Release

Table IV.A.1. FY 01 Alternative Compliance Rates for AR by Primary Focus Industry (PFI)

PFI Description	Sample Size	Population Size	LOL (Line) Compl Rates	LOL (Value) Compl Rates	MTD (Line) Compl Rates	MID (Value) Compl Rates
ACS Release (AR) Overall	**57,169**	**28,995,425**	**84.64%**	**83.86%**	**90.44%**	**91.14%**
AR: Non PFI	**28,916**	**15,974,746**	**83.83%**	**81.17%**	**89.74%**	**90.39%**
AR: All PFI Combined	**28,253**	**13,020,679**	**85.63%**	**86.92%**	**91.30%**	**92.00%**
AGRICULTURE	4,924	2,462,511	88.31%	90.27%	93.86%	93.57%
AUTOMOTIVE	2,519	1,425,097	85.84%	90.59%	91.03%	95.84%
INFORMATION TECHNOLOGY	8,068	3,885,305	83.65%	85.89%	90.19%	91.36%
PRODUCTION EQUIPMENT	3,272	768,439	78.56%	74.98%	87.81%	82.16%
STEEL MILL PRODUCTS	1,326	348,410	81.66%	83.33%	90.72%	87.05%
TEXTILES & WEARING APPAREL	5,498	3,643,583	88.24%	88.96%	91.72%	93.15%
WOOD PRODUCTS	2,646	487,334	81.64%	79.94%	90.88%	86.48%

Table IV.A.2. FY 01 Alternative Compliance Rates for AR by HTS Section

Section Number	HTS Section Description	Sample Size	Population Size	LOL (Line) Compl Rates	LOL (Value) Compl Rates	MTD (Line) Compl Rates	MID (Value) Compl Rates
.	**All Sections Combined**	**57,169**	**28,995,425**	**84.64%**	**83.86%**	**90.44%**	**91.14%**
1	Animals, Animal Products	1,249	507,861	89.09%	89.65%	94.42%	94.41%
2	Vegetable Products	1,635	1,042,930	88.51%	91.32%	94.34%	94.02%
3	Fats, Oils	182	24,217	82.47%	89.23%	86.57%	91.74%
4	Foodstuffs, Beverages, Tobacco	1,858	887,503	87.79%	89.96%	93.18%	92.63%
5	Mineral Products	404	73,961	86.38%	82.95%	91.70%	88.06%
6	Chemical Products	3,111	847,602	84.60%	89.77%	90.28%	94.91%
7	Plastic and Rubber	2,208	1,328,822	79.73%	80.08%	85.69%	84.67%
8	Leathers, Furskins	652	442,743	83.85%	86.16%	89.66%	91.67%
9	Wood Products	2,718	530,751	82.23%	80.43%	90.78%	86.69%
10	Pulp Products	1,064	472,415	79.48%	80.65%	87.57%	88.25%
11	Textiles	5,172	3,413,273	88.32%	89.18%	91.80%	93.26%
12	Footwear, Headgear	1,223	848,034	89.65%	89.77%	92.14%	91.93%
13	Plastic, Glass, and Products	1,397	908,299	85.89%	85.11%	91.04%	88.12%
14	Precious Stone and Metal	909	448,143	82.59%	70.27%	90.42%	75.98%
15	Base Metals and Articles	4,412	2,105,399	80.94%	82.99%	87.51%	87.18%
16	Machinery, Elect Equipment	18,087	8,130,291	83.70%	84.53%	90.16%	90.02%
17	Transportation Equipment	3,218	1,472,174	83.81%	90.13%	89.69%	93.94%
18	Hi-Tech Equipment/Instruments	2,752	1,603,928	84.11%	57.32%	89.86%	95.20%
19	Arms and Ammunition	79	19,123	94.04%	98.03%	96.25%	99.56%
20	Misc Manufactured Articles	3,099	2,393,308	85.95%	88.71%	90.91%	92.26%
21	Artwork, Antiques	320	85,532	90.46%	94.72%	95.96%	98.42%
22	Special Legislation	1,420	1,409,116	83.22%	83.98%	91.69%	89.21%

Table IV.A.3. FY 01 Alternative Compliance Rates for AR by HTS Chapter

Chapter Number	HTS Chapter Description	Sample Size	Population Size	LOL (Line) Compl Rates	LOL (Value) Compl Rates	MTD (Line) Compl Rates	MID (Value) Compl Rates
	All Chapters Combined	57,169	28,995,425	84.64%	83.86%	90.44%	91.14%
1	Live Animals	230	54,320	81.69%	85.88%	91.33%	93.78%
2	Meat	242	79,628	91.92%	92.60%	94.85%	96.74%
3	Fish	593	322,343	89.50%	89.10%	95.35%	93.99%
4	Dairy Products	86	32,983	90.50%	93.17%	91.73%	94.91%
5	Misc Animal Products	98	18,588	88.79%	91.50%	90.46%	92.60%
6	Live Trees, Plants	171	278,327	88.41%	86.03%	93.91%	89.12%
7	Vegetables	372	428,465	87.81%	91.14%	94.95%	94.33%
8	Fruits	408	167,532	92.79%	94.79%	95.01%	95.25%
9	Coffee, Tea, etc.	320	73,177	86.82%	89.82%	93.00%	93.79%
10	Cereals	138	31,682	82.57%	87.33%	92.32%	94.24%
11	Grain Products	45	15,900	93.88%	92.63%	93.88%	92.63%
12	Oil Seeds	102	33,562	83.27%	85.39%	92.62%	92.41%
13	Gums, Resins	64	10,644	90.67%	97.77%	92.28%	97.94%
14	NSPF Vegie Materials	15	3,642	85.18%	98.69%	91.41%	100.00%
15	Fats	182	24,217	82.47%	89.23%	86.57%	91.74%
16	Prepared Meat, Fish	253	58,543	87.41%	90.68%	90.70%	93.94%
17	Sugars	138	38,245	87.51%	90.49%	93.24%	91.36%
18	Cocoa	114	23,030	86.21%	95.52%	90.95%	96.22%
19	Prepared Cereals, Flour	198	136,713	82.80%	82.17%	87.99%	82.17%
20	Prepared Vegie, Fruits	266	171,218	87.45%	88.52%	92.69%	91.62%
21	Misc Edible	180	81,983	83.13%	86.15%	90.67%	88.51%
22	Beverages	578	351,161	91.60%	93.06%	96.52%	96.60%
23	Food Residues	54	19,020	84.66%	89.35%	97.17%	96.94%
24	Tobacco	77	7,590	76.93%	74.22%	85.96%	76.13%
25	Salt, Sulfur, Cement	276	50,380	84.09%	73.59%	90.00%	78.27%
26	Ores, Slag and Ash	41	5,624	87.80%	99.02%	95.12%	99.10%
27	Oils	87	17,957	92.36%	86.56%	95.42%	98.86%
28	Inorganic Chemicals	418	86,023	86.86%	75.12%	92.04%	78.66%
29	Organic Chemicals	988	160,775	85.63%	91.75%	90.58%	97.43%
30	Pharmaceutical	261	41,264	85.61%	88.89%	91.07%	94.59%
31	Fertilizers	66	11,152	82.57%	98.54%	97.07%	99.74%

Table IV.A.3. FY 01 Alternative Compliance Rates for AR by HTS Chapter

Chapter Number	HTS Chapter Description	Sample Size	Population Size	LOL (Line) Compl Rates	LOL (Value) Compl Rates	MTD (Line) Compl Rates	MID (Value) Compl Rates
32	Inks and Dyes	291	91,704	85.49%	88.98%	91.75%	92.82%
33	Perfumes, Cosmetics	222	149,337	84.33%	83.85%	88.16%	89.46%
34	Soaps	170	99,707	78.95%	80.07%	86.12%	83.86%
35	Starches, Glues	135	34,606	79.27%	92.12%	91.63%	94.74%
37	Photographic Goods	174	58,554	87.75%	91.84%	91.75%	97.01%
38	Misc Chemicals	386	114,481	85.88%	90.37%	91.64%	93.41%
39	Plastics	1,467	866,603	76.98%	77.56%	82.96%	82.09%
40	Rubber	741	462,219	84.87%	84.69%	90.81%	89.39%
41	Leather Products	88	33,663	89.60%	96.04%	93.39%	97.44%
42	Articles of Leather	486	397,702	83.31%	84.87%	89.19%	91.06%
43	Furskins	78	11,378	85.53%	90.11%	95.18%	90.87%
44	Articles of Wood	2,646	487,334	81.64%	79.94%	90.88%	86.48%
45	Cork	17	3,720	100.00%	100.00%	100.00%	100.00%
46	Basketware	55	39,697	87.83%	83.65%	88.75%	86.85%
47	Pulp	156	22,653	77.38%	83.27%	83.47%	85.60%
48	Paper Products	605	279,744	81.59%	84.83%	87.49%	88.81%
49	Printed Books	303	170,018	76.28%	71.80%	88.26%	87.76%
50	Silk	28	21,197	96.20%	98.81%	100.00%	100.00%
51	Wool	62	19,819	87.07%	78.22%	90.20%	82.61%
52	Cotton	256	88,346	83.94%	89.13%	86.36%	89.95%
53	Natural Fibers	44	14,533	76.16%	77.54%	79.00%	79.69%
54	Man-Made Filaments	248	87,522	84.10%	85.52%	89.50%	89.66%
55	Man-Made fibers	187	50,391	83.09%	84.69%	86.39%	86.98%
56	Ropes, Twine	137	35,304	79.47%	80.03%	86.20%	87.41%
57	Carpets	173	59,505	83.94%	87.23%	88.48%	91.44%
58	Woven Fabrics	105	57,348	78.54%	87.70%	82.39%	88.66%
59	Coated Fabrics	101	32,595	75.77%	88.16%	83.11%	92.22%
60	Knitted Fabrics	172	62,689	83.10%	82.95%	86.39%	87.38%
61	Knitted Apparel	1,400	1,129,793	90.57%	90.63%	93.14%	94.98%
62	Non-Knitted Apparel	1,811	1,455,620	89.63%	89.15%	93.25%	93.17%
63	NSPF Textile Articles	448	298,611	83.20%	86.84%	88.15%	91.25%
64	Footwear	932	692,816	90.52%	90.45%	92.92%	92.53%

Table IV.A.3. FY 01 Alternative Compliance Rates for AR by HTS Chapter

Chapter Number	HTS Chapter Description	Sample Size	Population Size	LOL (Line) Compl Rates	LOL (Value) Compl Rates	MTD (Line) Compl Rates	MID (Value) Compl Rates
65	Headgear	115	75,330	86.15%	81.63%	88.35%	85.88%
66	Umbrellas	33	14,790	86.96%	93.00%	95.26%	93.23%
67	Feathers, Down	143	65,098	84.99%	89.58%	87.46%	90.76%
68	Stone Articles	420	233,124	79.52%	75.11%	84.04%	77.82%
69	Ceramic Products	567	413,022	87.21%	86.37%	93.21%	89.55%
70	Glassware	410	262,152	89.47%	91.01%	93.84%	94.02%
71	Precious Metals/Stones	909	448,143	82.59%	70.27%	90.42%	75.98%
72	Iron, Steel	1,136	278,182	82.39%	76.85%	90.04%	79.94%
73	Steel Articles	1,472	891,138	78.97%	81.46%	86.88%	87.74%
74	Copper	280	105,828	82.37%	89.09%	85.64%	92.03%
75	Nickel	56	11,725	97.66%	99.17%	100.00%	100.00%
76	Aluminum	402	170,329	82.11%	86.06%	88.95%	90.30%
78	Lead	10	2,316	100.00%	100.00%	100.00%	100.00%
79	Zinc	54	12,136	71.79%	71.10%	74.91%	71.97%
80	Tin	15	5,878	69.14%	72.67%	80.20%	76.41%
81	Other Base Metals	94	16,254	87.38%	88.06%	90.91%	94.49%
82	Tools	474	298,954	79.34%	76.58%	84.40%	80.04%
83	Misc Metal Articles	419	312,658	85.18%	87.26%	89.72%	90.49%
84	Mechanical Apparatus	9,859	3,887,389	83.20%	83.49%	89.60%	88.36%
85	Electrical Equipment	8,228	4,242,902	84.16%	85.62%	90.67%	91.73%
86	Locomotives	88	25,988	92.23%	84.24%	94.69%	90.53%
87	Vehicles	2,692	1,311,902	84.56%	90.76%	90.33%	95.85%
88	Aircraft	370	124,120	75.27%	88.94%	82.85%	89.48%
89	Ships, Boats	68	10,165	70.24%	81.34%	78.34%	86.50%
90	Hi-Tech Instruments	2,375	1,269,404	84.59%	54.31%	90.51%	95.74%
91	Clocks, Watches	229	291,581	81.95%	85.36%	86.86%	88.11%
92	Musical Instruments	148	42,944	84.71%	94.58%	91.00%	97.64%
93	Arms and Ammunition	79	19,123	94.04%	98.03%	96.25%	99.56%
94	Furniture	1,658	1,479,389	86.02%	88.26%	92.09%	92.26%
95	Toys, Games	1,091	742,536	85.89%	88.58%	88.68%	92.03%
96	Misc Manufactured Articles	350	171,383	85.63%	92.94%	90.46%	93.80%
97	Art Work	320	85,532	90.46%	94.72%	95.96%	98.42%
98	Special Class Provisions	1,420	1,409,116	83.22%	83.98%	91.69%	89.21%

Table IV.A.4. FY 01 Alternative Compliance Rates for AR by HTS Heading

HTS Heading	Sample Size	Population Size	LOL (Line) Compl Rates	LOL (Value) Compl Rates	MTD (Line) Compl Rates	MID (Value) Compl Rates
Overall	57,169	28,995,425	84.64%	83.86%	90.44%	91.14%
0101	61	4,778	83.61%	93.81%	90.16%	95.76%
0102	111	27,450	83.36%	85.90%	96.49%	96.30%
0103	33	12,583	75.93%	74.47%	84.96%	84.08%
0201	38	6,798	78.95%	84.95%	84.21%	91.63%
0202	83	42,153	98.67%	98.81%	98.67%	98.81%
0203	48	8,076	71.20%	72.27%	86.71%	89.00%
0210	32	2,507	71.88%	82.85%	84.38%	96.30%
0302	88	82,636	92.05%	94.03%	97.73%	97.15%
0303	72	12,880	77.78%	85.17%	87.50%	88.59%
0304	146	79,763	89.48%	90.02%	95.09%	94.87%
0306	218	114,520	90.31%	89.12%	95.83%	94.59%
0307	38	20,467	83.59%	84.06%	91.80%	88.50%
0406	51	28,091	91.81%	93.77%	91.81%	94.22%
0505	29	2,272	86.21%	88.41%	86.21%	90.21%
0511	39	11,983	87.03%	90.04%	89.62%	91.37%
0602	35	30,228	79.34%	77.19%	88.19%	81.22%
0603	117	223,794	90.60%	88.66%	94.87%	90.44%
0702	74	72,898	89.19%	89.18%	93.24%	93.76%
0707	29	28,568	79.31%	82.45%	96.55%	84.26%
0709	102	195,103	92.16%	94.70%	96.08%	96.36%
0710	35	33,081	97.02%	97.47%	97.02%	97.47%
0713	32	8,048	68.75%	85.26%	81.25%	92.91%
0801	37	10,211	100.00%	100.00%	100.00%	100.00%
0802	34	2,663	82.35%	90.40%	85.29%	90.40%
0803	67	10,740	93.63%	91.09%	96.81%	91.09%
0804	37	26,683	91.27%	94.79%	91.27%	94.79%
0805	29	22,521	89.66%	93.92%	93.10%	95.40%
0806	43	10,624	95.82%	98.54%	98.25%	99.11%
0807	59	44,740	95.60%	96.58%	95.60%	96.58%
0808	34	5,817	97.06%	99.71%	100.00%	100.00%
0810	27	21,722	88.89%	92.71%	96.30%	92.71%
0901	216	37,338	92.80%	92.58%	97.71%	94.88%
0904	36	9,291	75.71%	87.70%	83.83%	88.26%
1001	40	5,581	80.60%	52.93%	95.15%	84.03%
1006	33	13,500	84.85%	92.35%	90.91%	94.41%
1302	55	9,410	90.91%	97.87%	92.73%	98.05%

Table IV.A.4. FY 01 Alternative Compliance Rates for AR by HTS Heading

HTS Heading	Sample Size	Population Size	LOL (Line) Compl Rates	LOL (Value) Compl Rates	MTD (Line) Compl Rates	MID (Value) Compl Rates
1509	102	14,180	84.32%	87.54%	86.46%	88.75%
1515	34	4,417	85.29%	93.96%	91.18%	94.54%
1602	53	12,818	86.79%	85.59%	90.57%	88.68%
1604	106	27,014	90.51%	92.39%	92.29%	93.82%
1605	84	16,429	86.93%	91.44%	91.29%	96.24%
1701	32	2,377	86.44%	98.83%	91.86%	98.89%
1704	86	32,448	87.53%	85.06%	93.89%	86.56%
1806	45	14,996	80.34%	90.82%	87.62%	92.46%
1902	52	39,872	86.49%	90.43%	94.21%	90.43%
1904	30	9,939	76.98%	81.54%	78.68%	81.54%
1905	98	74,795	83.32%	82.55%	88.46%	82.55%
2001	27	21,220	88.63%	93.52%	96.21%	94.69%
2005	68	39,161	82.32%	86.46%	89.04%	89.59%
2008	64	53,733	93.36%	95.39%	98.34%	98.34%
2009	56	25,042	83.66%	83.92%	83.66%	85.32%
2101	43	10,989	71.07%	62.58%	79.34%	66.38%
2103	46	36,505	93.39%	97.72%	97.80%	98.97%
2106	54	21,532	75.30%	88.16%	86.70%	91.20%
2202	41	39,248	87.02%	93.94%	94.98%	95.77%
2203	116	112,268	95.30%	97.93%	96.24%	98.62%
2204	216	133,563	91.81%	93.24%	97.84%	97.75%
2208	149	38,222	89.01%	88.92%	95.14%	94.32%
2401	25	1,804	89.27%	88.55%	96.42%	98.04%
2402	48	5,342	72.92%	71.19%	83.33%	71.59%
2523	136	24,330	97.06%	76.80%	98.53%	76.85%
2712	27	3,704	77.78%	91.66%	81.48%	91.66%
2713	28	3,841	89.29%	97.91%	96.43%	97.91%
2804	25	4,472	92.00%	90.68%	96.00%	93.65%
2811	30	8,068	86.67%	94.44%	90.00%	96.06%
2818	44	6,156	88.64%	92.30%	95.45%	94.15%
2901	60	8,362	80.31%	93.24%	88.52%	95.96%
2902	27	3,727	92.64%	96.31%	92.64%	96.31%
2905	52	8,994	86.68%	74.23%	92.39%	97.71%
2909	30	4,138	93.37%	92.57%	96.69%	99.77%
2914	27	4,405	96.30%	99.81%	96.30%	99.81%
2915	38	12,207	92.31%	97.23%	94.88%	98.69%
2916	27	6,530	85.19%	40.25%	96.30%	100.00%

Table IV.A.4. FY 01 Alternative Compliance Rates for AR by HTS Heading

HTS Heading	Sample Size	Population Size	LOL (Line) Compl Rates	LOL (Value) Compl Rates	MTD (Line) Compl Rates	MID (Value) Compl Rates
2917	28	6,772	78.57%	78.77%	78.57%	78.77%
2918	92	12,871	86.96%	92.11%	92.39%	94.35%
2921	38	6,922	82.70%	88.08%	85.29%	93.24%
2922	59	10,094	83.05%	98.24%	86.44%	99.42%
2924	38	5,508	79.68%	42.55%	82.22%	44.09%
2930	35	4,801	85.71%	81.73%	88.57%	82.91%
2931	35	4,917	97.15%	99.99%	97.15%	99.99%
2932	43	5,898	76.74%	79.67%	88.37%	98.03%
2933	90	12,534	77.02%	88.15%	87.96%	97.99%
2934	37	5,098	91.93%	83.46%	91.93%	100.00%
2936	32	7,265	90.63%	98.05%	93.75%	99.04%
3004	170	23,479	85.98%	88.57%	92.99%	94.47%
3005	35	8,316	82.60%	76.68%	82.60%	81.31%
3006	35	6,588	88.02%	96.36%	91.01%	97.03%
3204	84	35,430	88.45%	92.41%	91.92%	94.17%
3206	53	12,780	94.34%	98.57%	98.11%	99.73%
3208	41	11,026	82.93%	78.86%	90.24%	96.35%
3214	32	8,606	75.00%	68.72%	90.62%	88.76%
3301	30	11,357	80.00%	76.18%	86.67%	84.80%
3303	42	22,630	92.86%	93.30%	92.86%	93.30%
3304	82	78,726	84.18%	78.18%	88.74%	87.89%
3402	72	45,047	78.94%	86.41%	86.05%	89.59%
3406	43	30,122	82.12%	76.00%	87.23%	77.36%
3506	48	18,495	72.95%	86.91%	88.19%	93.22%
3507	25	4,472	92.00%	96.45%	100.00%	100.00%
3701	38	16,003	92.53%	89.38%	95.74%	89.64%
3702	48	15,290	91.21%	98.82%	96.48%	99.45%
3707	40	11,260	74.13%	89.21%	74.95%	96.80%
3808	40	5,550	69.77%	84.15%	77.18%	90.44%
3809	25	7,683	93.27%	94.70%	96.63%	97.44%
3815	33	4,527	81.82%	79.97%	84.85%	88.74%
3818	53	25,742	89.25%	97.84%	92.64%	98.58%
3822	74	22,403	84.74%	72.98%	93.06%	77.10%
3824	62	25,363	91.94%	94.71%	95.16%	95.26%
3901	44	11,101	77.19%	87.12%	86.41%	91.45%
3903	40	14,565	85.00%	88.85%	85.00%	95.15%
3904	34	9,817	82.35%	94.97%	91.18%	95.34%

Table IV.A.4. FY 01 Alternative Compliance Rates for AR by HTS Heading

HTS Heading	Sample Size	Population Size	LOL (Line) Compl Rates	LOL (Value) Compl Rates	MTD (Line) Compl Rates	MID (Value) Compl Rates
3907	152	24,906	79.92%	92.23%	86.47%	95.61%
3909	28	8,705	75.00%	81.85%	89.29%	84.83%
3915	25	14,212	71.67%	65.65%	87.86%	86.53%
3916	29	10,560	65.52%	52.84%	79.31%	61.63%
3917	53	33,512	74.97%	73.02%	78.82%	79.90%
3918	35	12,046	81.93%	86.90%	89.68%	96.03%
3919	43	23,781	67.05%	53.81%	76.47%	78.39%
3920	126	68,011	83.51%	85.07%	89.00%	86.25%
3921	54	27,392	72.80%	71.88%	86.57%	82.97%
3923	180	156,196	78.56%	83.06%	87.89%	85.36%
3924	139	130,020	75.98%	77.29%	79.23%	79.66%
3925	58	34,263	79.85%	85.94%	81.53%	88.70%
3926	261	238,764	74.53%	65.76%	78.70%	69.75%
4001	30	8,236	97.95%	99.31%	97.95%	100.00%
4002	43	17,591	93.02%	89.79%	93.02%	95.60%
4009	50	35,120	89.31%	90.53%	96.94%	91.14%
4010	32	20,822	90.51%	94.12%	90.51%	94.12%
4011	291	119,516	85.96%	88.17%	90.38%	90.99%
4015	50	27,982	90.00%	88.88%	92.00%	94.13%
4016	150	198,947	82.81%	73.35%	90.67%	81.37%
4104	40	21,553	92.50%	97.52%	97.50%	98.89%
4202	326	273,450	84.76%	86.60%	89.30%	92.19%
4203	117	106,196	79.97%	82.75%	89.23%	90.10%
4303	71	9,739	83.10%	83.19%	94.37%	84.48%
4401	95	6,699	84.60%	88.65%	99.04%	91.25%
4403	54	13,581	57.41%	44.95%	87.04%	54.41%
4407	1,260	140,217	84.21%	81.31%	94.05%	92.12%
4408	37	8,922	86.49%	79.50%	94.59%	91.23%
4409	283	31,387	76.27%	79.46%	82.37%	84.53%
4410	32	17,909	84.38%	86.21%	90.63%	87.41%
4411	72	21,776	81.48%	76.37%	83.95%	81.21%
4412	101	31,400	78.22%	86.99%	88.12%	92.10%
4414	37	22,505	88.47%	88.91%	90.96%	89.27%
4415	39	21,902	89.78%	88.98%	97.44%	100.00%
4416	27	2,115	81.48%	84.26%	92.59%	91.80%
4418	425	55,385	79.12%	81.45%	90.85%	89.71%
4420	56	42,507	84.46%	88.42%	90.14%	88.42%

Table IV.A.4. FY 01 Alternative Compliance Rates for AR by HTS Heading

HTS Heading	Sample Size	Population Size	LOL (Line) Compl Rates	LOL (Value) Compl Rates	MTD (Line) Compl Rates	MID (Value) Compl Rates
4421	85	50,668	76.04%	71.09%	88.53%	72.37%
4602	47	36,783	89.84%	85.82%	89.84%	86.61%
4703	64	8,954	76.56%	89.90%	81.25%	92.50%
4707	71	9,739	83.10%	58.80%	92.96%	61.97%
4802	49	13,516	71.47%	74.00%	75.55%	74.83%
4805	40	5,487	75.00%	79.46%	80.00%	82.56%
4810	81	30,663	86.42%	92.46%	92.59%	95.07%
4811	58	23,547	73.68%	77.97%	78.90%	84.74%
4818	52	27,397	89.77%	94.47%	94.57%	94.47%
4819	77	56,059	78.04%	77.98%	87.65%	80.71%
4820	52	34,057	87.40%	90.19%	91.27%	91.54%
4823	54	31,000	84.98%	86.78%	84.98%	96.75%
4901	154	82,552	77.16%	67.87%	90.21%	89.17%
4911	49	47,936	70.90%	75.20%	83.23%	84.10%
5007	26	20,917	96.15%	98.79%	100.00%	100.00%
5205	51	10,770	90.84%	90.45%	92.67%	90.66%
5208	66	28,812	82.07%	87.76%	83.60%	88.31%
5209	67	25,925	86.23%	93.14%	87.80%	94.72%
5211	28	11,515	85.26%	90.20%	92.37%	91.57%
5402	100	22,852	90.06%	91.03%	92.05%	93.07%
5407	97	51,593	80.25%	80.60%	87.25%	86.50%
5503	47	13,571	89.36%	81.07%	91.49%	84.67%
5516	35	12,956	71.89%	68.48%	74.70%	69.29%
5603	58	11,492	79.40%	87.07%	84.55%	92.13%
5701	62	17,782	86.06%	87.35%	87.68%	87.83%
5702	62	25,755	83.83%	86.49%	89.71%	91.32%
5703	35	12,541	85.48%	96.04%	91.29%	100.00%
5806	37	16,376	86.49%	85.45%	89.19%	86.67%
5903	26	10,636	84.62%	83.77%	84.62%	83.77%
5911	33	11,656	70.98%	83.68%	83.48%	92.20%
6001	47	11,333	82.98%	80.86%	82.98%	80.86%
6002	125	51,356	83.13%	83.40%	87.15%	88.77%
6101	31	14,471	98.00%	98.33%	98.00%	98.33%
6102	31	15,290	86.62%	96.60%	89.30%	98.84%
6103	33	27,147	90.69%	93.81%	90.69%	95.52%
6104	94	90,907	91.74%	91.36%	93.03%	92.01%
6105	132	82,503	92.47%	94.18%	93.12%	97.81%

Table IV.A.4. FY 01 Alternative Compliance Rates for AR by HTS Heading

HTS Heading	Sample Size	Population Size	LOL (Line) Compl Rates	LOL (Value) Compl Rates	MTD (Line) Compl Rates	MID (Value) Compl Rates
6106	68	37,687	84.53%	86.94%	84.53%	92.27%
6107	35	23,805	79.45%	73.55%	81.69%	77.40%
6108	107	97,635	95.79%	98.09%	96.87%	99.52%
6109	142	127,017	84.13%	85.57%	88.36%	93.65%
6110	451	431,073	93.68%	92.44%	96.13%	96.16%
6111	84	88,893	87.06%	86.24%	94.18%	94.66%
6112	48	15,776	84.26%	79.19%	91.25%	94.40%
6114	25	19,302	80.07%	62.81%	80.07%	66.82%
6115	44	25,384	85.27%	95.11%	89.48%	95.68%
6116	41	15,332	83.99%	92.76%	86.66%	93.14%
6201	107	61,295	84.69%	79.71%	87.53%	87.52%
6202	78	49,844	92.23%	92.31%	92.23%	93.17%
6203	314	242,324	92.40%	92.39%	95.48%	95.18%
6204	543	525,248	88.42%	89.62%	92.72%	92.72%
6205	178	122,546	91.13%	93.92%	93.38%	98.02%
6206	140	139,105	93.34%	88.51%	96.96%	94.11%
6207	33	15,788	83.79%	88.74%	84.81%	97.63%
6208	49	38,072	80.78%	64.05%	86.69%	87.72%
6209	54	43,186	94.73%	77.02%	96.26%	77.02%
6210	62	39,099	96.70%	98.03%	98.35%	98.03%
6211	81	80,633	81.67%	79.33%	86.91%	81.85%
6212	85	46,865	90.61%	91.79%	97.29%	99.38%
6214	30	23,080	90.00%	93.99%	90.00%	97.22%
6302	154	120,733	84.82%	92.23%	88.01%	93.92%
6303	43	26,696	88.30%	62.51%	88.30%	88.66%
6304	27	20,360	84.74%	81.50%	84.74%	81.50%
6305	32	8,048	84.38%	90.34%	90.63%	95.04%
6307	143	105,137	79.73%	85.81%	88.76%	89.23%
6402	210	147,032	89.72%	92.94%	91.76%	94.36%
6403	560	438,813	91.69%	91.99%	94.41%	93.83%
6404	100	82,379	91.32%	87.13%	92.12%	92.16%
6405	31	13,521	75.62%	80.63%	81.01%	89.21%
6505	59	59,174	86.80%	77.61%	88.59%	82.64%
6506	35	10,106	77.14%	95.10%	80.00%	96.77%
6702	76	42,237	82.89%	86.11%	86.38%	87.82%
6704	53	20,064	88.68%	95.07%	88.68%	95.51%
6802	181	96,678	78.45%	68.34%	82.32%	70.93%

Table IV.A.4. FY 01 Alternative Compliance Rates for AR by HTS Heading

HTS Heading	Sample Size	Population Size	LOL (Line) Compl Rates	LOL (Value) Compl Rates	MTD (Line) Compl Rates	MID (Value) Compl Rates
6804	26	13,344	92.31%	91.43%	92.31%	91.43%
6805	31	16,346	81.16%	90.07%	84.30%	96.43%
6810	70	43,237	80.23%	79.45%	86.20%	79.64%
6815	31	16,959	75.36%	66.10%	81.71%	68.79%
6908	95	179,964	90.43%	93.73%	95.75%	94.42%
6910	25	23,474	84.79%	86.08%	100.00%	99.21%
6911	39	26,714	87.60%	74.68%	90.01%	75.38%
6912	139	76,057	87.92%	82.41%	91.97%	85.13%
6913	94	61,258	79.29%	77.94%	88.97%	83.06%
6914	80	11,307	80.59%	86.70%	84.23%	91.87%
7007	59	25,254	96.76%	98.35%	100.00%	100.00%
7009	32	25,741	92.70%	97.16%	100.00%	97.16%
7010	42	41,375	92.86%	89.02%	95.24%	91.00%
7013	98	96,743	86.31%	84.59%	90.68%	88.83%
7019	41	16,916	80.49%	85.45%	85.37%	88.37%
7101	33	10,320	75.76%	89.90%	84.85%	90.12%
7102	230	66,411	95.22%	98.84%	98.70%	99.83%
7103	119	21,289	89.08%	96.13%	94.12%	98.00%
7108	42	5,761	88.10%	52.40%	92.86%	98.83%
7110	41	5,790	76.31%	27.41%	81.05%	33.59%
7113	258	227,189	78.79%	82.38%	86.65%	87.16%
7117	80	78,226	83.63%	89.52%	94.96%	95.76%
7202	27	3,704	92.59%	93.70%	92.59%	98.58%
7204	37	13,473	78.38%	95.43%	78.38%	95.88%
7207	27	1,741	66.67%	41.78%	74.07%	41.78%
7208	165	30,386	74.33%	87.72%	87.31%	91.20%
7209	93	17,646	81.83%	72.89%	95.15%	74.78%
7210	55	23,128	85.87%	92.14%	91.16%	96.52%
7211	33	12,059	81.53%	80.75%	90.59%	89.63%
7213	97	7,932	88.82%	93.15%	90.44%	97.58%
7214	38	6,371	84.63%	44.63%	97.44%	45.26%
7216	48	20,826	86.84%	93.68%	94.60%	96.42%
7217	52	27,503	74.53%	75.73%	84.33%	85.64%
7219	61	17,613	88.52%	83.49%	91.80%	88.49%
7222	41	13,607	90.81%	95.43%	97.70%	98.36%
7223	29	7,799	86.21%	94.07%	89.66%	95.24%
7225	76	11,267	84.17%	91.15%	87.83%	93.02%

Table IV.A.4. FY 01 Alternative Compliance Rates for AR by HTS Heading

HTS Heading	Sample Size	Population Size	LOL (Line) Compl Rates	LOL (Value) Compl Rates	MTD (Line) Compl Rates	MID (Value) Compl Rates
7228	79	26,379	84.16%	91.02%	90.42%	92.87%
7302	37	2,386	78.38%	96.23%	91.89%	99.10%
7304	90	25,535	90.52%	98.54%	95.79%	99.47%
7305	31	3,450	61.29%	88.85%	83.87%	91.96%
7306	109	59,230	75.72%	71.08%	90.29%	80.50%
7307	82	65,019	64.17%	69.47%	78.50%	89.75%
7308	75	50,220	73.45%	79.34%	83.95%	87.49%
7312	41	15,754	73.81%	58.77%	84.29%	81.61%
7314	26	17,667	81.02%	90.63%	92.54%	91.34%
7315	31	16,840	84.00%	86.12%	93.60%	98.91%
7317	49	42,286	89.13%	93.79%	96.19%	97.06%
7318	298	223,946	83.73%	84.46%	88.05%	89.29%
7320	36	55,613	76.83%	65.50%	84.53%	71.54%
7321	84	35,664	81.23%	78.43%	82.86%	79.04%
7323	182	73,327	82.13%	85.72%	88.04%	89.28%
7325	40	21,553	77.50%	82.18%	85.00%	84.68%
7326	172	146,517	76.94%	79.40%	84.62%	88.35%
7403	31	7,038	93.55%	98.01%	93.55%	98.15%
7407	32	11,652	84.38%	79.58%	87.50%	93.38%
7408	26	6,724	92.31%	93.77%	96.15%	97.70%
7409	43	10,125	85.71%	93.00%	92.86%	96.22%
7419	45	25,475	69.52%	56.45%	71.81%	59.61%
7601	78	12,037	87.47%	96.20%	90.88%	97.36%
7602	36	19,385	86.10%	87.73%	88.88%	98.23%
7606	52	22,732	80.53%	66.86%	88.32%	73.46%
7607	40	14,960	81.50%	80.09%	84.03%	85.38%
7610	37	15,136	70.27%	88.93%	94.59%	97.20%
7615	30	11,938	96.83%	98.65%	96.83%	98.65%
7616	75	55,125	84.65%	86.54%	90.23%	87.24%
8201	25	14,411	78.63%	89.67%	85.75%	93.82%
8202	35	16,551	83.79%	82.19%	91.81%	84.41%
8204	35	17,396	83.50%	81.26%	90.79%	86.97%
8205	59	61,862	67.58%	73.98%	70.99%	78.86%
8207	114	62,591	80.18%	72.20%	84.45%	74.97%
8209	29	10,560	89.66%	96.67%	96.55%	98.88%
8211	31	19,670	86.60%	65.12%	96.65%	67.91%
8215	39	29,469	80.89%	71.64%	83.62%	76.16%

Table IV.A.4. FY 01 Alternative Compliance Rates for AR by HTS Heading

HTS Heading	Sample Size	Population Size	LOL (Line) Compl Rates	LOL (Value) Compl Rates	MTD (Line) Compl Rates	MID (Value) Compl Rates
8301	55	40,507	82.13%	89.00%	84.11%	90.02%
8302	182	164,101	84.54%	85.52%	89.70%	90.37%
8306	66	51,147	86.35%	91.03%	92.42%	91.35%
8407	112	33,733	73.32%	87.22%	89.25%	99.44%
8408	49	19,629	86.82%	84.64%	96.05%	95.86%
8409	229	135,352	85.66%	88.48%	90.89%	92.07%
8411	475	127,745	87.58%	86.31%	90.53%	88.01%
8412	62	49,747	84.29%	89.13%	89.15%	95.74%
8413	206	157,671	88.12%	80.69%	93.15%	94.38%
8414	395	136,773	79.57%	83.06%	85.77%	89.66%
8415	116	49,956	90.73%	92.15%	94.27%	94.04%
8418	99	36,647	89.07%	89.10%	92.05%	91.87%
8419	142	58,529	80.16%	66.81%	88.02%	71.25%
8421	214	114,486	85.26%	88.36%	94.54%	94.97%
8422	134	37,343	84.91%	64.06%	92.83%	67.50%
8423	35	9,413	71.43%	69.76%	85.71%	90.84%
8424	96	37,148	74.82%	63.16%	83.70%	88.33%
8425	50	19,690	81.18%	87.31%	86.54%	87.63%
8427	59	12,394	82.49%	70.33%	84.08%	78.87%
8428	370	24,942	80.13%	72.36%	87.85%	82.36%
8429	78	24,029	92.55%	92.68%	94.79%	94.45%
8430	40	5,487	72.50%	78.95%	80.00%	90.46%
8431	202	155,561	85.24%	76.51%	91.39%	83.75%
8432	53	17,338	73.65%	71.24%	85.31%	79.54%
8433	75	22,539	81.63%	77.55%	91.25%	94.57%
8438	60	15,459	73.23%	80.11%	83.27%	86.86%
8439	54	7,742	66.79%	88.62%	75.64%	93.71%
8441	77	10,042	80.94%	74.01%	87.58%	86.26%
8442	36	7,011	85.47%	97.21%	88.03%	98.61%
8443	139	26,051	78.67%	71.88%	86.95%	79.98%
8448	28	22,526	96.43%	98.92%	96.43%	98.92%
8451	64	13,546	88.15%	86.22%	93.68%	94.85%
8452	29	12,646	82.04%	85.93%	85.03%	86.10%
8454	35	4,716	72.45%	84.00%	83.47%	91.81%
8455	41	5,665	80.63%	95.11%	87.89%	97.60%
8456	26	4,230	90.85%	98.18%	90.85%	98.18%
8457	38	3,345	92.29%	82.56%	92.29%	82.56%

Table IV.A.4. FY 01 Alternative Compliance Rates for AR by HTS Heading

HTS Heading	Sample Size	Population Size	LOL (Line) Compl Rates	LOL (Value) Compl Rates	MTD (Line) Compl Rates	MID (Value) Compl Rates
8458	52	4,583	83.11%	86.84%	90.15%	95.94%
8459	54	4,230	68.52%	58.56%	74.07%	58.85%
8460	51	3,995	80.39%	93.71%	88.24%	97.17%
8461	37	4,238	84.93%	79.35%	89.96%	79.82%
8462	144	12,204	77.28%	72.18%	85.20%	76.05%
8463	26	1,677	84.62%	72.09%	92.31%	74.83%
8464	59	4,636	79.41%	75.05%	81.10%	95.32%
8465	154	20,008	80.29%	77.05%	88.20%	85.94%
8466	156	100,018	75.70%	73.06%	87.85%	81.81%
8467	81	47,688	85.92%	83.63%	90.61%	84.01%
8470	73	19,542	75.55%	81.88%	83.27%	87.53%
8471	1,897	756,228	87.39%	88.21%	91.58%	91.62%
8472	66	23,013	90.14%	80.28%	92.24%	80.37%
8473	1,272	466,172	77.74%	80.60%	86.53%	84.45%
8474	96	23,218	70.83%	58.34%	78.13%	63.76%
8476	27	4,619	77.78%	94.95%	88.89%	95.85%
8477	202	36,772	80.10%	62.39%	89.30%	66.26%
8479	321	93,943	75.07%	70.46%	86.22%	77.19%
8480	124	21,372	73.58%	78.16%	82.39%	82.27%
8481	296	289,948	85.98%	87.13%	91.68%	89.57%
8482	158	121,117	87.79%	82.93%	92.79%	85.34%
8483	230	320,685	82.07%	78.67%	88.51%	94.02%
8484	29	17,101	82.53%	95.63%	91.37%	96.55%
8501	229	168,025	83.26%	82.31%	91.78%	83.26%
8502	42	5,761	90.48%	96.95%	95.24%	97.00%
8503	85	46,313	78.45%	75.94%	85.91%	82.48%
8504	488	319,608	85.58%	89.17%	92.81%	93.90%
8505	35	25,005	90.77%	96.72%	90.77%	96.72%
8506	50	12,565	87.74%	92.22%	91.36%	92.22%
8507	152	63,980	84.75%	74.48%	89.48%	81.82%
8508	76	38,852	83.75%	85.22%	89.38%	90.04%
8509	87	31,163	96.01%	97.99%	97.01%	98.33%
8510	31	5,602	78.62%	63.42%	84.73%	70.06%
8511	136	79,339	92.09%	88.54%	94.76%	89.43%
8512	105	80,754	88.63%	73.97%	94.14%	77.91%
8514	39	10,257	87.74%	87.69%	92.64%	97.61%
8515	71	16,246	74.73%	60.66%	87.42%	68.85%

Table IV.A.4. FY 01 Alternative Compliance Rates for AR by HTS Heading

HTS Heading	Sample Size	Population Size	LOL (Line) Compl Rates	LOL (Value) Compl Rates	MTD (Line) Compl Rates	MID (Value) Compl Rates
8516	180	106,593	93.29%	95.16%	96.02%	95.89%
8517	647	238,895	76.51%	78.92%	83.78%	83.49%
8518	179	110,501	87.30%	90.82%	90.39%	93.01%
8519	71	20,462	96.46%	91.26%	96.46%	91.26%
8520	37	9,224	86.42%	73.97%	88.36%	91.84%
8521	115	19,361	94.22%	98.07%	95.66%	98.07%
8522	46	18,348	80.11%	91.51%	84.24%	93.52%
8523	107	31,584	81.07%	74.93%	90.03%	80.47%
8524	108	90,632	77.76%	66.86%	91.14%	72.89%
8525	645	145,116	84.96%	95.92%	90.95%	98.03%
8526	61	23,734	93.11%	98.01%	98.47%	99.85%
8527	270	79,884	88.75%	88.55%	95.40%	97.04%
8528	152	39,642	89.92%	86.68%	91.99%	93.16%
8529	261	138,288	82.13%	86.27%	87.46%	89.58%
8531	162	81,560	80.46%	85.22%	86.01%	93.95%
8532	97	61,380	86.05%	94.23%	94.45%	97.87%
8533	67	66,684	81.43%	79.55%	89.35%	94.22%
8534	269	142,780	78.44%	70.35%	88.48%	91.12%
8535	35	14,087	63.89%	66.67%	73.86%	81.48%
8536	401	400,596	79.57%	83.50%	88.81%	91.09%
8537	174	95,777	89.02%	87.60%	94.60%	95.50%
8538	98	91,321	84.58%	77.30%	84.58%	87.89%
8539	137	74,597	80.09%	73.05%	91.91%	88.97%
8540	60	16,943	85.76%	98.62%	95.42%	99.36%
8541	344	195,476	85.03%	80.93%	91.34%	88.67%
8542	1,183	589,132	84.80%	83.53%	91.49%	94.35%
8543	143	84,809	85.12%	78.92%	89.48%	82.85%
8544	442	312,691	88.72%	93.04%	93.16%	94.89%
8607	51	18,571	92.16%	89.78%	94.12%	89.78%
8701	56	22,575	89.28%	96.32%	94.04%	99.13%
8702	28	4,568	89.29%	95.95%	92.86%	97.10%
8703	837	256,600	93.09%	93.94%	96.74%	99.01%
8704	126	55,443	87.39%	95.69%	88.95%	96.14%
8707	27	4,144	71.76%	69.50%	82.03%	72.81%
8708	1,299	839,223	81.61%	78.50%	88.21%	85.93%
8711	78	12,022	87.45%	98.52%	93.15%	98.59%
8712	51	18,302	88.11%	90.94%	93.21%	94.97%

Table IV.A.4. FY 01 Alternative Compliance Rates for AR by HTS Heading

HTS Heading	Sample Size	Population Size	LOL (Line) Compl Rates	LOL (Value) Compl Rates	MTD (Line) Compl Rates	MID (Value) Compl Rates
8714	71	45,247	85.39%	73.81%	93.97%	86.08%
8716	65	39,149	84.28%	86.30%	87.13%	86.93%
8802	26	3,378	76.92%	90.28%	84.62%	90.33%
8803	320	116,996	74.99%	79.97%	82.81%	84.06%
8903	56	7,681	75.00%	84.29%	85.71%	89.74%
9001	94	36,741	87.97%	98.58%	91.06%	98.62%
9002	49	24,309	82.98%	94.88%	83.36%	96.70%
9003	76	32,843	79.70%	71.01%	86.44%	72.45%
9004	55	41,070	84.33%	79.42%	90.21%	83.10%
9005	31	8,555	80.65%	93.56%	90.32%	94.53%
9006	96	24,510	74.25%	92.96%	83.31%	96.12%
9009	147	48,466	92.26%	96.66%	95.48%	98.13%
9010	48	11,630	75.54%	96.25%	82.21%	98.60%
9013	139	47,188	84.94%	85.52%	86.26%	85.99%
9014	45	22,685	77.38%	93.44%	88.69%	97.37%
9015	45	17,035	80.00%	80.55%	86.67%	82.75%
9017	93	12,789	86.06%	86.45%	94.64%	95.44%
9018	273	206,128	89.49%	90.34%	94.70%	96.68%
9019	42	23,427	72.76%	55.48%	92.63%	64.74%
9021	67	52,032	82.09%	76.26%	88.06%	85.56%
9022	77	51,833	89.78%	95.29%	93.02%	97.20%
9023	39	11,261	74.36%	56.22%	82.05%	63.34%
9025	36	23,306	82.60%	87.33%	91.70%	96.99%
9026	65	55,661	71.70%	69.68%	81.32%	83.08%
9027	188	110,635	84.11%	7.54%	91.68%	99.63%
9028	25	6,205	85.12%	97.35%	95.95%	98.99%
9029	58	43,487	85.10%	87.90%	92.24%	97.02%
9030	163	103,105	90.73%	89.51%	95.11%	92.23%
9031	155	92,351	84.26%	83.48%	88.62%	89.69%
9032	157	130,426	84.50%	91.64%	90.37%	92.35%
9101	29	15,626	75.86%	87.67%	86.21%	91.68%
9102	119	217,746	81.85%	85.18%	86.24%	86.97%
9105	36	30,796	85.51%	87.19%	91.31%	93.45%
9209	34	13,909	82.35%	91.15%	91.18%	94.45%
9401	469	394,074	88.35%	92.26%	93.72%	94.56%
9403	801	768,220	85.58%	85.95%	91.75%	91.00%
9404	71	38,997	89.47%	91.13%	90.79%	91.64%

Table IV.A.4. FY 01 Alternative Compliance Rates for AR by HTS Heading

HTS Heading	Sample Size	Population Size	LOL (Line) Compl Rates	LOL (Value) Compl Rates	MTD (Line) Compl Rates	MID (Value) Compl Rates
9405	261	254,777	83.89%	88.47%	91.58%	93.63%
9406	37	13,570	78.20%	61.46%	86.13%	63.37%
9501	26	14,501	84.13%	67.29%	88.10%	68.83%
9502	120	89,744	92.11%	91.65%	94.71%	92.17%
9503	388	352,900	84.49%	85.56%	86.72%	89.52%
9504	138	45,773	93.42%	94.84%	94.77%	98.84%
9505	179	129,965	86.59%	86.19%	90.14%	90.04%
9506	177	92,879	82.89%	89.71%	85.77%	91.81%
9507	41	13,697	70.32%	82.85%	84.02%	92.94%
9603	75	47,186	85.68%	92.43%	91.27%	92.83%
9608	67	38,956	85.06%	94.98%	88.05%	94.98%
9615	44	24,443	78.15%	78.20%	84.70%	83.72%
9701	183	25,102	90.16%	97.06%	95.63%	99.23%
9703	35	5,988	88.57%	98.81%	94.29%	99.20%
9706	75	48,387	92.00%	84.66%	97.33%	94.91%
9801	1,420	1,409,116	83.22%	83.98%	91.69%	89.21%

Table IV.A.5. FY 01 Alternative Compliance Rates for AR by Country of Origin

HTS Section	HTS Section Description	Sample Size	Population Size	LOL (Line) Compl Rates	LOL (Value) Compl Rates	MTD (Line) Compl Rates	MID (Value) Compl Rates
	All Countries	**57,169**	**28,995,425**	**84.64%**	**83.86%**	**90.44%**	**91.14%**
AE	UNITED ARAB EMIRATES	38	27,075	89.00%	98.44%	96.20%	98.78%
AR	ARGENTINA	119	50,149	87.35%	90.10%	94.03%	92.75%
AS	AMERICAN SAMOA	32	7,716	90.63%	95.53%	93.75%	96.55%
AT	AUSTRIA	254	99,590	86.45%	96.03%	92.13%	97.32%
AU	AUSTRALIA	300	126,140	84.49%	92.65%	91.81%	96.11%
BD	BANGLADESH	93	63,899	90.94%	77.01%	93.06%	89.88%
BE	BELGIUM	416	171,202	80.61%	94.08%	89.07%	97.83%
BR	BRAZIL	656	267,983	88.19%	92.87%	93.12%	97.09%
CA	CANADA	9,178	3,444,194	74.58%	74.10%	85.73%	81.00%
CH	SWITZERLAND	558	270,288	85.17%	84.92%	89.50%	88.94%
CL	CHILE	279	85,926	90.43%	95.41%	93.56%	96.43%
CN	CHINA	6,860	4,180,693	84.89%	86.06%	89.00%	89.46%
CO	COLOMBIA	214	179,701	88.98%	90.91%	94.57%	94.91%
CR	COSTA RICA	206	125,274	84.55%	90.33%	93.69%	98.68%
CZ	CZECH REPUBLIC	102	53,172	89.71%	90.41%	94.17%	93.82%
DE	GERMANY	3,337	1,468,285	81.97%	86.71%	89.22%	92.93%
DK	DENMARK	231	106,843	81.92%	96.02%	87.77%	97.07%
DO	DOMINICAN REP	205	111,639	85.45%	85.89%	90.76%	88.71%
EC	ECUADOR	106	57,300	90.16%	84.82%	96.65%	86.97%
EG	EGYPT	35	17,226	90.53%	94.59%	90.53%	98.04%
ES	SPAIN	474	256,822	84.87%	81.73%	92.50%	87.12%
FI	FINLAND	164	52,851	83.42%	88.40%	89.23%	92.60%
FR	FRANCE	1,580	744,535	85.74%	92.83%	90.75%	96.48%
GB	UNITED KINGDOM	2,320	996,674	83.84%	81.44%	89.77%	87.18%
GR	GREECE	50	20,967	72.90%	73.40%	75.01%	78.40%
GT	GUATEMALA	114	76,146	86.99%	94.61%	92.25%	98.07%
HK	HONG KONG	725	477,522	85.19%	85.56%	90.90%	94.22%
HN	HONDURAS	136	95,820	87.06%	94.66%	91.13%	97.56%
HU	HUNGARY	84	44,642	87.18%	93.32%	96.71%	98.39%
ID	INDONESIA	613	360,782	90.75%	92.36%	93.98%	95.56%

Table IV.A.5. FY 01 Alternative Compliance Rates for AR by Country of Origin

HTS Section	HTS Section Description	Sample Size	Population Size	LOL (Line) Compl Rates	LOL (Value) Compl Rates	MTD (Line) Compl Rates	MID (Value) Compl Rates
IE	IRELAND	220	107,006	87.19%	95.17%	92.43%	97.05%
IL	ISRAEL	493	211,251	85.29%	92.10%	89.04%	94.70%
IN	INDIA	881	479,848	84.32%	84.85%	87.42%	89.76%
IS	ICELAND	27	14,576	94.93%	86.61%	98.77%	95.17%
IT	ITALY	2,239	1,253,673	86.03%	85.49%	90.78%	88.53%
JP	JAPAN	5,047	2,258,737	87.84%	71.43%	91.77%	94.38%
KR	KOREA	1,559	701,888	84.12%	86.85%	90.20%	93.73%
LK	SRI LANKA	128	88,927	88.85%	87.12%	97.39%	99.10%
LU	LUXEMBOURG	27	8,099	75.04%	70.27%	83.18%	70.27%
MO	MACAO (MACAU)	82	65,435	91.50%	85.96%	94.72%	87.59%
MP	NORTHERN MARIANA IS	31	28,494	96.30%	98.74%	100.00%	100.00%
MX	MEXICO	6,284	3,612,359	91.54%	91.83%	95.15%	94.86%
MY	MALAYSIA	951	496,270	87.19%	89.84%	91.45%	93.96%
NI	NICARAGUA	35	17,229	93.80%	95.62%	98.98%	97.96%
NL	NETHERLANDS	550	261,234	83.32%	70.13%	87.43%	76.23%
NO	NORWAY	98	39,516	73.66%	60.06%	85.30%	63.72%
NZ	NEW ZEALAND	187	69,218	92.73%	92.39%	95.30%	93.05%
PA	PANAMA	28	14,563	95.39%	78.51%	100.00%	99.89%
PE	PERU	84	52,436	88.05%	89.47%	96.45%	98.87%
PH	PHILIPPINES	582	345,864	86.54%	88.35%	92.43%	91.57%
PK	PAKISTAN	184	113,998	78.89%	82.04%	83.43%	86.47%
PL	POLAND	98	60,173	84.04%	81.42%	86.13%	86.34%
PT	PORTUGAL	132	71,407	90.07%	94.48%	91.36%	95.64%
RO	ROMANIA	32	22,727	77.50%	87.61%	88.99%	91.14%
RU	RUSSIA	112	35,653	85.13%	35.86%	91.37%	43.04%
SE	SWEDEN	551	217,329	87.51%	85.71%	93.38%	94.79%
SG	SINGAPORE	550	236,281	88.23%	82.86%	93.99%	93.39%
SK	SLOVAKIA	25	9,368	97.89%	94.73%	100.00%	100.00%
SV	EL SALVADOR	94	74,127	88.74%	90.71%	90.36%	95.60%
TH	THAILAND	904	492,624	88.49%	89.39%	93.00%	93.46%
TR	TURKEY	225	131,004	87.79%	92.23%	95.75%	95.55%
TW	TAIWAN	2,411	1,283,860	79.86%	83.47%	86.64%	89.11%
US	UNITED STATES	1,949	1,649,745	82.49%	84.08%	91.24%	89.06%
VE	VENEZUELA	95	39,551	72.62%	77.93%	76.59%	84.65%
VN	VIETNAM	65	29,712	81.60%	92.83%	84.85%	95.84%
ZA	SOUTH AFRICA	139	58,243	82.33%	89.75%	88.63%	97.07%

Table IV.B.1. FY 01 Alternative Compliance Rates for BR by Primary Focus Industry (PFI)

PFI Description	Sample Size	Population Size	LOL (Line) Compl Rates	LOL (Value) Compl Rates	MTD (Line) Compl Rates	MID (Value) Compl Rates
BRASS (BR) Overall	**7,526**	**4,822,180**	**83.42%**	**82.33%**	**91.06%**	**87.61%**
BR: Non PFI	**4,196**	**2,536,988**	**83.94%**	**81.29%**	**90.38%**	**86.83%**
BR: All PFI Combined	**3,330**	**2,285,193**	**82.85%**	**83.34%**	**91.82%**	**88.37%**
AGRICULTURE	1,057	643,022	81.44%	83.34%	92.11%	90.41%
AUTOMOTIVE	757	624,226	81.30%	82.28%	89.40%	85.69%
INFORMATION TECHNOLOGY	305	146,422	92.29%	89.12%	96.62%	91.89%
PRODUCTION EQUIPMENT	215	59,092	81.63%	74.86%	88.86%	86.16%
STEEL MILL PRODUCTS	295	202,532	72.52%	67.31%	85.15%	78.59%
TEXTILES & WEARING APPAREL	124	39,564	79.06%	80.23%	82.61%	82.33%
WOOD PRODUCTS	577	570,333	87.77%	87.17%	96.24%	92.92%

Table IV.B.2. FY 01 Alternative Compliance Rates for BR by HTS Section

Section Number	HTS Section Description	Sample Size	Population Size	LOL (Line) Compl Rates	LOL (Value) Compl Rates	MTD (Line) Compl Rates	MID (Value) Compl Rates
.	**All Sections Combined**	**7,526**	**4,822,180**	**83.42%**	**82.33%**	**91.06%**	**87.61%**
1	Animals, Animal Products	267	143,493	80.38%	85.57%	88.41%	87.94%
2	Vegetable Products	277	174,360	79.81%	77.27%	93.76%	88.80%
3	Fats, Oils	46	14,420	85.76%	82.54%	87.56%	85.60%
4	Foodstuffs, Beverages, Tobacco	467	310,749	82.64%	83.72%	93.10%	94.03%
5	Mineral Products	163	149,373	91.12%	90.54%	97.53%	91.87%
6	Chemical Products	478	278,624	92.55%	88.44%	96.07%	93.17%
7	Plastic and Rubber	689	348,261	76.97%	71.48%	84.47%	77.46%
8	Leathers, Furskins	11	3,636	84.04%	93.59%	84.04%	97.28%
9	Wood Products	577	570,333	87.77%	87.17%	96.24%	92.92%
10	Pulp Products	904	729,230	87.38%	85.15%	92.03%	90.69%
11	Textiles	121	38,240	78.34%	80.10%	82.01%	82.21%
12	Footwear, Headgear	6	1,849	85.95%	55.62%	85.95%	100.00%
13	Plastic, Glass, and Products	215	151,633	81.10%	81.01%	91.55%	87.79%
14	Precious Stone and Metal	4	480	75.00%	74.33%	75.00%	74.33%
15	Base Metals and Articles	1,129	601,172	76.42%	77.54%	87.71%	84.72%
16	Machinery, Elect Equipment	974	422,253	86.00%	85.73%	91.86%	90.06%
17	Transportation Equipment	768	586,187	82.72%	83.43%	90.22%	87.29%
18	Hi-Tech Equipment/Instruments	72	20,719	87.32%	89.52%	94.09%	93.08%
20	Misc Manufactured Articles	341	268,142	78.51%	71.11%	84.61%	75.94%
22	Special Legislation	17	9,025	58.32%	72.49%	63.51%	73.45%

Table IV.B.3. FY01 Alternative Compliance Rates for BR by HTS Chapter

Chapter Number	HTS Chapter Description	Sample Size	Population Size	LOL (Line) Compl Rates	LOL (Value) Compl Rates	MTD (Line) Compl Rates	MID (Value) Compl Rates
	All Chapters Combined	**7,526**	**4,822,180**	**83.42%**	**82.33%**	**91.06%**	**87.61%**
1	Live Animals	63	41,271	93.07%	95.15%	93.68%	95.23%
2	Meat	104	55,259	73.98%	84.97%	78.70%	87.06%
3	Fish	88	40,486	75.15%	76.98%	94.43%	82.08%
4	Dairy Products	5	1,588	100.00%	100.00%	100.00%	100.00%
5	Misc Animal Products	7	4,889	82.48%	86.30%	100.00%	86.30%
6	Live Trees, Plants	29	26,011	93.63%	88.76%	95.12%	88.76%
7	Vegetables	86	57,826	73.53%	71.07%	89.10%	85.40%
8	Fruits	17	4,351	100.00%	100.00%	100.00%	100.00%
9	Coffee, Tea, etc.	6	1,889	100.00%	100.00%	100.00%	100.00%
10	Cereals	60	44,983	79.81%	75.96%	100.00%	98.44%
11	Grain Products	42	19,880	78.06%	77.17%	90.12%	82.65%
12	Oil Seeds	35	18,595	74.25%	68.39%	92.82%	81.76%
14	NSPF Vegie Materials	2	825	100.00%	100.00%	100.00%	100.00%
15	Fats	46	14,420	85.76%	82.54%	87.56%	85.60%
16	Prepared Meat, Fish	21	5,149	83.17%	81.26%	85.87%	82.12%
17	Sugars	50	32,523	79.67%	76.80%	90.93%	86.01%
18	Cocoa	47	14,410	86.97%	85.63%	97.46%	98.51%
19	Prepared Cereals, Flour	77	53,167	81.23%	80.51%	96.42%	96.94%
20	Prepared Vegie, Fruits	76	53,020	92.97%	91.98%	93.42%	92.64%
21	Misc Edible	37	14,375	91.68%	93.06%	91.68%	96.11%
22	Beverages	87	84,934	75.11%	78.85%	90.84%	96.07%
23	Food Residues	72	53,171	83.93%	87.13%	94.29%	92.76%
25	Salt, Sulfur, Cement	75	69,006	89.55%	88.71%	96.35%	91.72%
26	Ores, Slag and Ash	2	450	100.00%	100.00%	100.00%	100.00%
27	Oils	86	79,918	92.42%	91.85%	98.53%	91.85%
28	Inorganic Chemicals	143	79,993	93.30%	95.08%	96.62%	96.27%
29	Organic Chemicals	75	25,760	95.26%	92.88%	99.26%	99.53%
30	Pharmaceutical	5	974	100.00%	100.00%	100.00%	100.00%
31	Fertilizers	110	126,194	95.68%	94.74%	98.12%	98.73%
32	Inks and Dyes	37	12,222	69.28%	71.91%	81.16%	88.19%
33	Perfumes, Cosmetics	14	5,654	87.66%	87.27%	87.66%	87.27%
34	Soaps	24	7,708	74.67%	70.26%	89.22%	79.48%
35	Starches, Glues	7	2,110	100.00%	100.00%	100.00%	100.00%
37	Photographic Goods	12	3,255	85.70%	86.91%	92.63%	86.91%
38	Misc Chemicals	51	14,753	87.48%	60.86%	89.00%	65.36%
39	Plastics	504	257,677	79.66%	80.36%	85.63%	83.93%
40	Rubber	185	90,585	69.34%	52.59%	81.19%	63.69%
41	Leather Products	9	2,796	89.91%	95.95%	89.91%	100.00%
42	Articles of Leather	2	840	64.48%	69.38%	64.48%	69.38%

Table IV.B.3. FY01 Alternative Compliance Rates for BR by HTS Chapter

Chapter Number	HTS Chapter Description	Sample Size	Population Size	LOL (Line) Compl Rates	LOL (Value) Compl Rates	MTD (Line) Compl Rates	MID (Value) Compl Rates
44	Articles of Wood	577	570,333	87.77%	87.17%	96.24%	92.92%
47	Pulp	124	74,044	78.11%	79.14%	80.53%	83.92%
48	Paper Products	688	620,422	88.85%	86.57%	93.49%	92.37%
49	Printed Books	92	34,763	80.95%	83.64%	90.61%	88.13%
51	Wool	2	511	44.09%	64.89%	44.09%	64.89%
53	Natural Fibers	6	2,044	86.20%	90.63%	86.20%	100.00%
54	Man-Made Filaments	35	9,742	66.98%	73.89%	69.30%	75.19%
55	Man-Made fibers	5	1,654	100.00%	100.00%	100.00%	100.00%
56	Ropes, Twine	19	4,565	89.18%	69.58%	89.18%	69.58%
57	Carpets	13	7,777	69.71%	72.39%	84.85%	80.32%
58	Woven Fabrics	7	1,578	84.79%	56.14%	84.79%	56.14%
59	Coated Fabrics	22	7,329	91.11%	96.72%	91.11%	96.72%
60	Knitted Fabrics	2	572	50.00%	70.60%	50.00%	100.00%
61	Knitted Apparel	3	839	43.63%	7.37%	43.63%	7.37%
62	Non-Knitted Apparel	2	240	100.00%	100.00%	100.00%	100.00%
63	NSPF Textile Articles	5	1,388	100.00%	100.00%	100.00%	100.00%
64	Footwear	4	995	73.89%	46.48%	73.89%	100.00%
65	Headgear	1	488	100.00%	100.00%	100.00%	100.00%
66	Umbrellas	1	366	100.00%	100.00%	100.00%	100.00%
68	Stone Articles	102	89,473	84.64%	81.19%	95.36%	86.97%
69	Ceramic Products	22	8,585	72.18%	83.24%	90.14%	89.10%
70	Glassware	91	53,575	76.63%	80.56%	85.39%	88.31%
71	Precious Metals/Stones	4	480	75.00%	74.33%	75.00%	74.33%
72	Iron, Steel	296	211,489	74.19%	72.71%	88.69%	83.29%
73	Steel Articles	277	172,566	72.73%	70.17%	84.58%	78.15%
74	Copper	89	23,215	83.06%	89.84%	94.27%	96.30%
75	Nickel	22	3,589	100.00%	100.00%	100.00%	100.00%
76	Aluminum	298	105,223	80.82%	75.38%	88.33%	84.15%
78	Lead	19	9,486	77.28%	83.05%	88.18%	90.26%
79	Zinc	36	12,129	83.65%	84.71%	83.65%	84.71%
81	Other Base Metals	8	1,321	100.00%	100.00%	100.00%	100.00%
82	Tools	14	3,582	60.15%	51.85%	78.40%	56.70%
83	Misc Metal Articles	70	58,573	82.20%	81.52%	90.02%	81.52%
84	Mechanical Apparatus	538	239,149	82.72%	80.80%	88.93%	86.01%
85	Electrical Equipment	436	183,104	90.27%	91.72%	95.69%	94.98%
86	Locomotives	16	5,638	95.39%	99.05%	95.39%	99.05%
87	Vehicles	702	573,051	82.56%	83.30%	90.13%	86.72%
88	Aircraft	24	3,513	79.92%	76.89%	96.58%	99.08%
89	Ships, Boats	26	3,985	90.41%	97.94%	90.41%	98.65%
90	Hi-Tech Instruments	66	19,683	86.65%	88.72%	93.78%	92.55%
92	Musical Instruments	6	1,036	100.00%	100.00%	100.00%	100.00%
94	Furniture	287	249,302	77.89%	69.82%	84.34%	74.92%
95	Toys, Games	47	17,755	85.88%	81.37%	87.41%	83.98%
96	Misc Manufactured Articles	7	1,085	100.00%	100.00%	100.00%	100.00%
98	Special Class Provisions	17	9,025	58.32%	72.49%	63.51%	73.45%

Table IV.B.4. FY 01 Alternative Compliance Rates for BR by HTS Heading

HTS Heading	Sample Size	Population Size	LOL (Line) Compl Rates	LOL (Value) Compl Rates	MTD (Line) Compl Rates	MID (Value) Compl Rates
Overall	**7,526**	**4,822,180**	**83.42%**	**82.33%**	**91.06%**	**87.61%**
0102	40	22,433	93.16%	94.05%	93.16%	94.05%
0201	55	35,439	75.03%	89.60%	75.03%	89.60%
0203	32	14,875	66.03%	68.52%	81.63%	76.77%
0302	39	14,556	72.06%	91.00%	95.90%	94.61%
0702	28	16,347	58.68%	61.42%	86.23%	84.95%
1514	25	7,453	76.23%	76.21%	79.71%	80.69%
1704	27	12,426	73.45%	81.81%	96.68%	95.89%
1806	46	14,185	86.76%	85.53%	97.42%	98.50%
1905	47	33,251	82.36%	80.62%	97.42%	98.42%
2004	35	34,562	100.00%	100.00%	100.00%	100.00%
2203	31	37,566	80.59%	80.89%	97.05%	98.47%
2309	31	21,715	82.40%	89.61%	93.17%	95.07%
2523	37	44,060	91.36%	90.99%	97.12%	92.46%
2703	40	46,835	90.62%	90.54%	100.00%	90.54%
3102	48	56,259	93.52%	92.16%	98.03%	98.96%
3104	57	67,379	98.12%	98.41%	98.12%	98.41%
3901	84	31,236	93.03%	92.76%	94.78%	93.22%
3907	33	10,280	83.40%	91.86%	89.76%	93.99%
3916	29	12,236	72.47%	70.86%	82.60%	70.86%
3920	37	23,580	73.06%	66.56%	75.13%	66.56%
3921	31	13,512	82.81%	73.36%	86.82%	74.12%
3923	89	78,608	77.42%	73.83%	84.68%	80.08%
3926	36	22,110	77.32%	82.18%	85.40%	83.20%
4002	28	7,919	56.26%	44.15%	60.88%	44.15%
4011	86	37,568	53.94%	44.66%	73.35%	62.04%
4016	25	25,919	76.60%	59.07%	86.38%	59.07%
4407	246	291,669	88.32%	89.71%	96.87%	94.30%
4408	25	10,648	66.55%	68.71%	91.64%	92.98%
4410	75	89,192	96.11%	94.79%	96.11%	94.79%

Table IV.B.4. FY 01 Alternative Compliance Rates for BR by HTS Heading

HTS Heading	Sample Size	Population Size	LOL (Line) Compl Rates	LOL (Value) Compl Rates	MTD (Line) Compl Rates	MID (Value) Compl Rates
4411	36	36,814	92.33%	84.69%	95.78%	84.69%
4418	77	51,211	80.36%	78.05%	98.78%	98.75%
4421	33	36,452	73.22%	68.77%	87.14%	72.29%
4703	92	60,438	82.87%	83.24%	83.91%	87.76%
4801	207	196,196	88.10%	83.86%	95.68%	94.21%
4802	115	112,930	89.82%	86.68%	91.63%	88.15%
4804	43	26,876	81.36%	78.27%	88.18%	84.34%
4805	44	52,301	88.18%	83.27%	90.60%	91.95%
4810	62	72,741	92.16%	91.25%	93.90%	92.24%
4811	31	33,529	89.47%	80.04%	94.74%	88.80%
4818	47	48,804	85.76%	87.50%	94.58%	94.43%
4819	53	32,298	87.57%	89.21%	91.96%	97.30%
4823	35	23,342	94.24%	95.28%	94.24%	95.28%
4911	36	18,111	88.62%	96.20%	97.72%	98.47%
5402	30	8,964	67.98%	76.66%	67.98%	76.66%
6810	39	45,905	85.27%	87.12%	97.61%	95.49%
7019	35	21,499	87.49%	89.54%	96.02%	95.93%
7204	31	31,946	56.72%	54.18%	89.63%	70.99%
7210	29	15,300	32.96%	41.39%	32.96%	43.02%
7213	27	27,297	93.54%	94.38%	100.00%	100.00%
7225	26	15,530	83.94%	87.90%	87.40%	87.90%
7306	39	27,831	38.95%	24.95%	61.85%	45.29%
7308	50	25,510	80.23%	80.32%	86.28%	82.46%
7310	25	22,823	80.56%	73.30%	88.89%	73.30%
7320	40	38,938	84.04%	83.63%	90.02%	86.92%
7326	29	17,830	79.97%	82.19%	83.95%	83.51%
7403	26	5,232	100.00%	100.00%	100.00%	100.00%
7601	112	42,664	78.34%	74.63%	88.19%	85.12%
7602	34	15,666	94.06%	95.95%	100.00%	100.00%
7604	30	9,987	69.88%	51.48%	76.71%	55.84%

Table IV.B.4. FY 01 Alternative Compliance Rates for BR by HTS Heading

HTS Heading	Sample Size	Population Size	LOL (Line) Compl Rates	LOL (Value) Compl Rates	MTD (Line) Compl Rates	MID (Value) Compl Rates
7606	50	15,530	76.61%	64.06%	82.69%	75.92%
7901	29	10,864	85.01%	85.39%	85.01%	85.39%
8302	58	54,887	82.26%	81.22%	90.61%	81.22%
8409	39	25,662	86.19%	83.61%	91.42%	83.61%
8414	29	9,262	71.77%	66.20%	81.57%	88.89%
8418	27	14,760	72.31%	67.44%	87.57%	88.55%
8428	27	8,318	95.20%	96.75%	95.20%	96.75%
8480	47	7,327	76.43%	72.69%	90.37%	87.95%
8481	27	16,411	63.04%	55.85%	84.80%	67.57%
8483	43	27,613	73.46%	66.19%	80.09%	71.06%
8504	45	19,938	85.63%	94.84%	95.53%	96.40%
8516	30	10,096	84.53%	85.61%	88.49%	91.65%
8528	88	55,834	98.47%	98.17%	98.47%	100.00%
8544	41	24,971	90.70%	90.72%	97.85%	93.14%
8703	90	49,784	95.48%	97.49%	96.31%	98.56%
8704	118	21,648	76.82%	73.37%	82.71%	78.91%
8707	26	6,124	92.00%	96.38%	96.67%	98.07%
8708	393	462,922	81.27%	75.05%	89.83%	78.79%
8903	26	3,985	90.41%	97.94%	90.41%	98.65%
9401	102	84,307	75.18%	68.48%	85.71%	75.92%
9403	152	146,097	78.56%	71.10%	83.48%	75.63%
9506	30	10,459	97.39%	94.98%	100.00%	100.00%

Table IV.B.5. FY 01 Alternative Compliance Rates for BR by Country of Origin

HTS Section	HTS Section Description	Sample Size	Population Size	LOL (Line) Compl Rates	LOL (Value) Compl Rates	MTD (Line) Compl Rates	MID (Value) Compl Rates
	All Countries	**7,526**	**4,822,180**	**83.42%**	**82.33%**	**91.06%**	**87.61%**
CA	CANADA	6,852	4,462,098	82.84%	81.13%	90.90%	86.98%
MX	MEXICO	587	312,299	93.86%	91.44%	95.85%	92.57%

SECTION V. NAFTA COMPLIANCE MEASUREMENT

Since the full national implementation of the Trade Compliance Measurement Program in FY 1995, Customs has conducted many focused measurement activities on targeted segments of imports. The North America Free Trade Agreement (NAFTA) is a major program involving such activities. It has been an annual focused measurement program since FY 1997. Due to the time elements and complexity of the procedures to verify NAFTA eligibility for each transaction, NAFTA compliance measurement cannot be a part of the regular compliance measurement program.

VERIFICATION PROCESS OF NAFTA

NAFTA verifications can be conducted by a number of methods: a document review, a questionnaire, a visit and/or an audit. The methodology required generally depends on the nature of the NAFTA rule of origin. The language of the Agreement and the implementing regulations are complex and sometimes contain lengthy and arduous details to determine the veracity of the claim. "Reasonable" time must be provided to the exporter and importer to reply to a Customs request for information. This time period is a minimum of 30 days for an initial request for a "Certificate of Origin", which is the first step in a verification process. If analysis of certificate indicates there is a reason to request further information, another 30 days must be provided for a response. Due to the complexity of the information and application of the data to determine if the goods being imported qualify for preferential NAFTA treatment, Customs has 60 days to make such a determination. Dependent on the individual situation and analysis of the information, applying the NAFTA rules of origin may require a plant or exporter's premise visit.

FY 2001 MANDATORY NAFTA SAMPLING

In previous years, a separate simple or stratified random sample method was selected for NAFTA. FY 2001 NAFTA mandatory CM was subsampled monthly from those summary lines of FY 2000 and FY 2001 national CM samples selected between July 2000 and May 2001 whose summary lines were transmitted and associated with a CM entry line in months between October 2000 and June 2001.) Every CM lines of the specified time period that claimed for NAFTA preferential treatment was subject to one and only one chance of being selected for NAFTA CM.

For selected tariff headings and/or importers that were traditionally highly NAFTA compliant, the selected lines would not be reviewed but treated as compliant. This treatment of sampled lines was different from previous years' practice of excluding such tariff headings or importers from sampling and hence excluding them from NAFTA CM.

A total of 429 sampled summary lines fell into this category: 428 from compliant tariff headings and 4 from compliant importers. As results of the changes to include highly compliant headings, the prescribed treatment of sampled lines perceived to be highly compliant, and the fact that

compliant tariff headings were expanded for this fiscal year, this year's compliance rate was estimated to be substantially higher than in past few years.

RESULTS OF MANDATORY NAFTA CM

A total of 2,642 summary lines were sampled. Of these lines, 161 lines were excluded from tabulation. Among these excluded lines, 45 were due to incomplete NAFTA reviews and the rest due to exclusions applied to the national CM. Three types of exclusions were made for the national CM: low value, non-consumption entry types, or tariff headings of hazardous materials or special legislation. (Because of the sampling method, the NAFTA weight is calculated from the CM weight. The excluded CM lines do not carry a weight and hence do not have a NAFTA weight and cannot be included in the tabulation.)

For the first-three quarters of FY 2001, the universe consists of almost 10 million summary lines claiming preferential NAFTA treatment. A sample of 2,844 claims was actually generated for the NAFTA CM program. As of January 4, 2000, Customs has completed verifications of 2,787 of the sample lines. Based on the completed sample, it is estimated that 94.14 of NAFTA claims are legitimate. The following tables contain the results from these completed verifications.

It should be noted that Customs officially defines Primary Focus Industry (PFI) based on HTS numbers initiating cargo entry lines. The PFI tables for NAFTA below are based on HTS numbers on the summary lines. Thus, the results in these PFI tables for NAFTA do not exactly reflect the state of "PFI" imports as entered at cargo entry submission. The PFI tables for NAFTA are produced as an indication of the state of NAFTA transactions at summary time, and should be understood as such.

A.   Compliance Rates

Table V.A.1   FY 2001 NAFTA Compliance Rates by Primary Focus Industry (PFI)

Table V.A.2   FY 2001 NAFTA Compliance Rates by HTS Section

Table V.A.3   FY 2001 NAFTA Compliance Rates by HTS Chapter

Table V.A.4   FY 2001 NAFTA Compliance Rates by HTS Heading

Table V.A.5   FY 2001 NAFTA Compliance Rates by Country of Origin

For these tables, only those rows that included at least 15 verifications (i.e., sample size of at least 15) are listed. The reason for this is to avoid displaying estimates that are not expected to be reliable. The summary in the first row is based on all verifications.

These tables show compliance rates, and 95% confidence intervals of the compliance rates. The compliance rate is calculated by dividing the estimated total number of compliant lines in the population by the estimated population. A 95% confidence interval is a means to show the

precision of the compliance rate estimate. This means that if a sample of the same size were repeatedly taken from the same population and a 95% confidence interval were calculated based on the sample, then the chances would be 95% that the calculated confidence interval would encompass the actual compliance rate in the population.

Table V.A.1. FY 01 NAFTA Compliance Rates by PFI

PFI Description	Sample Size	Population Size	Compliance Rate	95% Confidence Interval Lower Bound	Upper Bound
NAFTA Overall	**2,481**	**9,851,691**	**95.93%**	**95.15%**	**96.71%**
NAFTA: Non PFI	**1,228**	**5,136,894**	**95.49%**	**94.33%**	**96.65%**
NAFTA: All PFI Combined	**1,253**	**4,714,797**	**96.40%**	**95.37%**	**97.44%**
AGRICULTURE	321	1,323,980	97.89%	96.32%	99.46%
AUTO & TRUCK PARTS	49	283,591	100.00%	100.00%	100.00%
AUTOMOBILES	23	104,795	100.00%	100.00%	100.00%
AUTOMOTIVE	215	1,051,494	94.94%	92.01%	97.87%
INFORMATION TECHNOLOGY	125	460,042	96.55%	93.35%	99.75%
PRODUCTION EQUIPMENT	82	158,584	91.29%	85.18%	97.39%
STEEL MILL PRODUCTS	75	213,743	98.72%	96.18%	100.00%
TELECOMMUNICATIONS	34	162,192	90.14%	80.12%	100.00%
TEXTILES & TEXTILE PRODUCTS	30	58,187	94.74%	86.76%	100.00%
TEXTILES & WEARING APPAREL	64	279,865	97.06%	92.93%	100.00%
WEARING APPAREL	30	101,668	88.17%	76.62%	99.73%
WOOD PRODUCTS	176	471,011	96.71%	94.08%	99.35%

Table V.A.2. FY 01 NAFTA Compliance Rates by HTS Section

HTS Section	HTS Section Description	Sample Size	Population Size	Compliance Rate	95% Confidence Interval	
					Lower Bound	Upper Bound
.	**All Sections Combined**	**2,481**	**9,851,691**	**95.93%**	**95.15%**	**96.71%**
1	Animals, Animal Products	101	305,662	97.20%	93.99%	100.00%
2	Vegetable Products	94	593,501	97.19%	93.85%	100.00%
4	Foodstuffs, Beverages, Tobacco	116	408,454	99.33%	97.84%	100.00%
5	Mineral Products	49	170,585	97.90%	93.89%	100.00%
6	Chemical Products	135	444,788	92.37%	87.89%	96.85%
7	Plastic and Rubber	151	659,008	88.68%	83.62%	93.73%
9	Wood Products	215	681,403	97.17%	94.95%	99.38%
10	Pulp Products	151	760,434	97.88%	95.58%	100.00%
11	Textiles	114	406,700	94.27%	90.01%	98.54%
13	Plastic, Glass, and Products	52	256,208	87.84%	78.95%	96.72%
15	Base Metals and Articles	257	901,468	97.00%	94.91%	99.09%
16	Machinery, Elect Equipment	523	1,962,752	95.24%	93.42%	97.07%
17	Transportation Equipment	319	1,307,912	97.07%	95.22%	98.92%
18	Hi-Tech Equipment/Instruments	58	257,249	98.07%	94.52%	100.00%
20	Misc Manufactured Articles	106	618,225	99.12%	97.35%	100.00%

Table V.A.3. FY 01 NAFTA Compliance Rates by HTS Chapter

HTS Chapter	Chapter Description	Sample Size	Population Size	Compliance Rate	95% Confidence Interval	
					Lower Bound	Upper Bound
	All Chapters Combined	**2,481**	**9,851,691**	**95.93%**	**95.15%**	**96.71%**
1	Live Animals	29	69,045	95.00%	87.06%	100.00%
2	Meat	29	94,191	98.73%	94.66%	100.00%
3	Fish	39	136,761	98.15%	93.93%	100.00%
7	Vegetables	37	341,088	95.12%	88.17%	100.00%
10	Cereals	15	61,061	100.00%	100.00%	100.00%
19	Prepared Cereals, Flour	21	95,097	100.00%	100.00%	100.00%
22	Beverages	24	100,637	100.00%	100.00%	100.00%
23	Food Residues	17	55,396	100.00%	100.00%	100.00%
25	Salt, Sulfur, Cement	29	84,261	100.00%	100.00%	100.00%
27	Oils	19	85,251	95.80%	86.79%	100.00%
28	Inorganic Chemicals	26	75,621	83.09%	68.69%	97.50%
29	Organic Chemicals	33	57,579	98.41%	94.15%	100.00%
34	Soaps	18	99,540	91.67%	78.90%	100.00%
38	Misc Chemicals	17	33,982	91.37%	78.02%	100.00%
39	Plastics	115	512,044	88.63%	82.83%	94.43%
40	Rubber	36	146,963	88.82%	78.53%	99.11%
44	Articles of Wood	215	681,403	97.17%	94.95%	99.38%
47	Pulp	39	127,155	96.28%	90.34%	100.00%
48	Paper Products	100	576,740	98.65%	96.39%	100.00%
61	Knitted Apparel	25	100,135	91.04%	79.84%	100.00%
62	Non-Knitted Apparel	29	153,868	98.02%	92.95%	100.00%
68	Stone Articles	24	131,880	85.82%	71.86%	99.78%
70	Glassware	20	94,811	86.85%	72.05%	100.00%
72	Iron, Steel	73	221,039	96.03%	91.56%	100.00%
73	Steel Articles	74	342,531	97.56%	94.04%	100.00%
74	Copper	20	45,564	91.49%	79.25%	100.00%
76	Aluminum	54	147,608	100.00%	100.00%	100.00%
83	Misc Metal Articles	16	100,564	100.00%	100.00%	100.00%
84	Mechanical Apparatus	269	887,360	95.24%	92.70%	97.78%
85	Electrical Equipment	254	1,075,392	95.25%	92.63%	97.86%
87	Vehicles	294	1,256,068	97.14%	95.24%	99.05%
90	Hi-Tech Instruments	50	231,870	97.86%	93.84%	100.00%
94	Furniture	89	556,795	99.24%	97.44%	100.00%

Table V.A.4. FY 01 NAFTA Compliance Rates by 4 Digit HTS

4-Digit HTS	Sample Size	Population Size	Compliance Rate	95% Confidence Interval	
				Lower Bound	Upper Bound
Overall	**2,481**	**9,851,691**	**95.93%**	**95.15%**	**96.71%**
0102	15	38,144	93.68%	81.36%	100.00%
0201	18	71,553	98.33%	92.42%	100.00%
2523	16	49,802	100.00%	100.00%	100.00%
3920	15	72,744	78.61%	57.86%	99.36%
3923	17	104,708	78.55%	59.04%	98.06%
4011	17	54,917	93.42%	81.64%	100.00%
4407	97	288,290	99.74%	98.73%	100.00%
4409	18	26,365	95.96%	86.87%	100.00%
4410	15	100,069	92.68%	79.50%	100.00%
4418	39	69,365	100.00%	100.00%	100.00%
4703	22	82,479	94.27%	84.55%	100.00%
4801	28	192,690	100.00%	100.00%	100.00%
7308	17	65,889	100.00%	100.00%	100.00%
7601	22	49,894	100.00%	100.00%	100.00%
8428	22	19,012	83.12%	67.47%	98.77%
8471	22	68,462	97.17%	90.24%	100.00%
8501	15	64,676	95.80%	85.66%	100.00%
8517	30	111,302	92.34%	82.82%	100.00%
8544	48	231,616	94.01%	87.30%	100.00%
8703	133	347,909	100.00%	100.00%	100.00%
8704	33	68,691	100.00%	100.00%	100.00%
8708	108	787,233	95.44%	91.50%	99.37%
9401	25	144,715	97.08%	90.48%	100.00%
9403	38	287,410	100.00%	100.00%	100.00%

Table V.A.5. FY 01 NAFTA Compliance Rates by Country of Origin

Country Code	Country Name	Sample Size	Population Size	Compliance Rate	95% Confidence Interval	
					Lower Bound	Upper Bound
	All Countries	**2,481**	**9,851,691**	**95.93%**	**95.15%**	**96.71%**
CA	CANADA	1,793	6,792,017	95.73%	94.79%	96.67%
MX	MEXICO	688	3,059,674	96.37%	94.97%	97.77%